

# **SUSAN LOUISE ETTNER**

## **CURRICULUM VITAE**

### Business Address:

David Geffen School of Medicine at UCLA  
Department of Medicine, Division of General Internal Medicine & Health Services Research  
1100 Glendon Avenue, Suite 800 - Room 812  
Los Angeles, CA 90024

### Business Telephone:

310-794-2289

### **EDUCATION**

1981            GED certificate

1981-82        Santa Barbara City College

1983-84        University of California at Santa Barbara

1984-85        University of Göttingen, Germany  
UC Education Abroad Program

1985-86        University of California at Santa Barbara  
B.A. in Mathematics/Economics  
B.A. in German

1991            Massachusetts Institute of Technology  
Ph.D. in Economics

### **PROFESSIONAL EXPERIENCE**

#### Current positions:

7/03-present    Professor (primary appointment)  
Division of General Internal Medicine & Health Services Research  
UCLA Department of Medicine, Los Angeles, CA

7/03-present    Professor (joint appointment)  
Department of Health Policy and Management (formerly Health Services)  
UCLA School of Public Health

3/17-7/20 Associate Dean  
UCLA Graduate Division

5/19-present Affiliate Professor  
School of Public Health  
Kazakh National Medical University, Almaty, Kazakhstan

8/20-6/21 Interim Dean  
UCLA Graduate Division

Previous positions:

8/91-7/98 Margaret T. Morris Assistant Professor  
Department of Health Care Policy  
Harvard Medical School, Boston, MA

7/98-1/99 Associate Professor  
Department of Health Care Policy  
Harvard Medical School, Boston, MA

7/00-6/03 Associate Professor (joint appointment)  
Department of Health Services, UCLA School of Public Health

2/99-6/03 Associate Professor (primary appointment)  
Division of General Internal Medicine & Health Services Research  
UCLA Department of Medicine, Los Angeles, CA

**TRAININGS/CERTIFICATIONS**

4/12/17 UndocuALLY Training

8/23/17 Title IX Training

10/10/18 Disability Awareness Training

1/03/19 Advancing Faculty Mentoring Practices Training

1/30/19 Active Shooter/Stop the Bleed Training

5/08/19 Discrimination Prevention Office Leadership Briefing

11/14/19 Faculty Search Briefing

7/19/20 FERPA (Family Educational Rights and Privacy Act of 1974) Training

## PROFESSIONAL ACTIVITIES

### Committee Service:

- 1994 Library Accreditation Committee, Harvard Medical School
- 1992-1998 Admissions Committee, Health Policy Ph.D. Program, Harvard University
- 1994-1999 Faculty Council, Harvard Medical School
- 1999-2000 Health Subcommittee, UC Academic Senate Committee on Faculty Welfare
- 1999-2003 Faculty Liaison for Access to Streamlined Healthcare (FLASH) committee
- 2000-2003 Chair (2002-2003) and Member (2000-2003), UCLA Academic Senate Committee on Faculty Welfare
- 2003-2004 Chair, Ph.D. Committee, UCLA Department of Health Services
- 2001-2005 Gender Equity Committee, UCLA
- 2008-2009 Acting Director, Department of Medicine Statistics Core
- 2007-2010 Chair (2009-2010) and Member (2007-2010), UCLA Academic Senate Committee on Charges
- 2000-2010 STAR Mentorship Committee
- 2000-2010 Advisory Committee, UCLA Institute of Industrial Relations
- 2003-2010 Advisory Board, UCLA Early Care and Education
- 2008-2012 Member, Provost's Committee on Faculty Work/Life Issues
- 2011-2013 Member, Research Advisory Committee, RWJ Clinical Scholars Program
- 2007-2015 Director, Steering Committee, Department of Medicine Statistics Core
- 2014-2015 Member, After the Moreno Report Task Force, UCLA Academic Senate
- 2014-2015 Member, Project Planning Committee, Developing an Interdisciplinary UCLA Program on Integrated Primary Care and Behavioral Health

1999-2016 Research Advisory Committee, RWJ Clinical Scholars Program

2016 Member, Graduate Division Dean Advisory Review Committee, UCLA Academic Senate

2014-2016 Faculty Executive Committee, UCLA Academic Senate

2001-2017 Ph.D. Committee, UCLA Department of Health Policy and Management (formerly Health Services)

2001-2017 Ph.D. Admissions Committee, UCLA Department of Health Policy and Management (formerly Health Services)

2015-2018 Member, Advisory Committee, UCLA Center for the Study of Women

2016 Member, Grievance Advisory Council, UCLA Academic Senate

2016-2018 Member, Advisory Committee, UCLA Center for the Study of Women

2018-2020 Member, Executive Committee, UCLA Center for the Study of Women

2020-present Member, Blackstone LaunchPad Stewardship Council, Startup UCLA

2020-present Member, Semel HCI Steering Committee

2020-present Member, Summer Sessions Committee

UCLA Search Committees:

2005 Member, Search Committee for Clinical Trials Methodologist, Department of Medicine

2005 Chair, Search Committee for Health Services Research Methodologist, Department of Medicine

2006 Chair, Search Committee for Biostatistician, Department of Medicine

2011-2012 Member, Search Committee for FTE Faculty Member, Department of Medicine

2016 Member, Search Committee for GIM & HSR Chief, Department of Medicine

2017-18 Member, Search Committee for GIM & HSR Faculty, Department of Medicine

- 2017 Member, Search Committee for Title IX Coordinator, Office of Equity, Diversity and Inclusion
- 2018-19 Member, Search Committee for GIM & HSR Faculty, Department of Medicine
- 2019 Member, Search Committee for Associate Director of Graduate Career Services, Career Center
- 2019 Member, Search Committee for Case Manager, Graduate Division
- 2019-20 Member, Search Committee for GIM & HSR Faculty, Department of Medicine
- 2019 Chair, Associate Dean Search Committee, Graduate Division
- 2020 Member, Search Committee for Director, UCLA Center for the Study of Women

Community Service:

- 1993-1998 Member, Research Committee for Healthy Adolescent Project, Alliance for Young Families
- 2001-2002 External advisor, World Health Organization, *Mental Health Policy Project*
- 2002 CeMENT Mentor, American Economic Association, Committee on the Status of Women in the Economics Profession
- 2002-2003 Member, Technical Expert Panel, *Design, Development, and Implementation of a Prospective Payment System for Inpatient Psychiatric Hospitals and Exempt Units.*
- 2003 Guest lecture at the USC School of Public Health, “Funding for Health Services Research: Where Is It and How Do You Get It?”
- 2001-2004 Member, Scientific Advisory Board, *National Vietnam Veterans Longitudinal Study.*
- 2005 External reviewer for doctoral program in Health Services Research at the University of North Carolina, Charlotte campus
- 2006 Chair, 13<sup>th</sup> NIMH Biennial Research Conference on the Economics of Mental Health: Pharmacoeconomics
- 2006-2007 Member, External Advisory Committee, *K07: Becoming a Doctor at Albert Einstein College of Medicine: A New Curriculum* (PI: Marantz).

- 2007 External advisor, Lundy Foundation
- 2006-2009 Member, Cost Team, *California Health Benefits Review Program*
- 2011 Conducted six-day research training workshop (*Methodology of Scientific Ecological, Hygienic and Epidemiological Studies*) at the National Center of Labour Hygiene and Occupational Diseases, Karaganda, Kazakhstan, July 2011.
- 2011- 2012 Member, Scientific Advisory Committee, Los Angeles County Enhanced Comprehensive HIV Prevention Planning (ECHPP)
- 2008- 2012 Member, Science Advisory Panel, Center for Rural Mental Health Research, Western Interstate Commission for Higher Education
- 2012 Organizing Committee, NIMH Sponsored Mental Health Economics Sessions at the 4<sup>th</sup> Biennial Conference of the American Society of Health Economists
- 2015-2016 Rater, 2015-16 Times Higher Education World University Rankings
- 2006-present Member, Task Force, California Health Benefits Review Program
- 2017 Moderator for session on “Legislating Care, Repair and Personhood” at the *Thinking Gender: Imaging Reparations* conference sponsored by the UCLA Center for the Study of Women.
- 2018 Participant, Working Session on Title IX and Sexual Violence in the University
- 2020- Member, World Psychiatric Association Section on Mental Health Economics

Grant Reviewer For:

National Institute of Mental Health  
 National Institute of Alcohol and Alcohol Abuse  
 National Institute on Drug Abuse  
 National Institutes of Health, Health Services Section  
 National Institute of Aging  
 World Health Organization  
 Swiss National Science Foundation

Manuscript Reviewer For:

New England Journal of Medicine  
 Journal of the American Medical Association  
 Journal of Health Economics

Health Economics  
Medical Care  
Health Services Research  
American Journal of Public Health  
Journal of General Internal Medicine  
Journal of Human Resources  
Social Science and Medicine  
Inquiry  
Review of Economics and Statistics  
Industrial and Labor Relations Review  
Health Care Financing Review  
Southern Economic Journal  
Journal of Labor Economics  
The Journal of Behavioral Health Services and Research  
Eastern Economic Journal  
Psychiatric Services  
Drug and Alcohol Dependence

Professional Associations and Scholarly Societies:

2002            Member, Alice S. Hersch Award Nomination Committee  
                  Academy for Health Services Research and Health Policy

2002            Member, Academy Fellows Committee  
                  Academy for Health Services Research and Health Policy

2005-2014     Board of Directors, American Society of Health Economists (ASHEcon)

Editorial Services

2005-2007     Advisory Board Member, *Health Economics*

2006-2009     Editorial Board, *Medical Decision Making*

2007-2010     Associate Editor, *Health Economics*

2003-present   Associate Editor, *Journal of Mental Health Policy and Economics*

Consulting Activities:

1993-1997     Consultant, *Changes in Population Characteristics and Medicaid Utilization/Expenditures among Children and Adolescent SSI Recipients*  
(PI: Perrin)

- 1997 Consultant, *Alternative Medicine* (PI: Eisenberg)
- 1995-1998 Consultant, *Wisconsin Special Care Initiative Project, Evaluation of Independent Care* (PI: Leff)
- 1996-1998 Consultant, *Patterns of Referral and Care for Children on Medicaid* (PI: Perrin)
- 1998 Consultant, *Epidemiology of Children's Mental Health in Rural Maine* (PI: Zahner)
- 1998-1999 Consultant, *Mental Health Services Research Program in Managed Care* (PI: Thomas Inui and Thomas McLaughlin)
- 1999 Consultant, Texas State Government
- 1993-2000 Associate, John D. and Catherine T. MacArthur Foundation Research Network on Successful Mid-Life Development
- 1995-2000 Consultant, *Private Payers Serving Disabled Individuals and Research Synthesis on Managed Care for Persons with Disabilities* (PI: Burwell)
- 1997-2000 Consultant, National Association of State Mental Health Program Directors
- 1999-2001 Consultant, *Ineffective Utilization, Somatization, and Undetected Psychiatric Disorder* (PI: Barsky)
- 2001-2003 Consultant, *Children with Chronic Health Conditions: Family Impact* (PI: Kuhlthau)
- 2001-2004 Consultant, Children's Hospital of Los Angeles, *Clinical, Emotional and Financial Impact Associated with the Current Treatment of Fever/ Neutropenia in Low Risk Pediatric Oncology Patients: A Pilot Study.*
- 2001-2004 Consultant, Children's Hospital of Los Angeles, *Clinical, Emotional and Financial Impact Associated with Acute Sickle Cell Pain Crisis: A Pilot Study.*
- 2002-2004 Consultant, *Do Free Glucose Strips Increase Self-Monitoring* (PI: Karter)
- 2006-2007 Member, *Health Outcomes Advisory Board*, Eli Lilly and Company.
- 2001-2009 Member, Scientific Advisory Board, *Costs and Benefits of Alcohol Services and Interventions*



- 2006-2009 Consultant, *Understanding and Improving Care for High Users of Medical and Mental Health Services Within the Safety Net* (PI: Lagomasino/Mohanty)
- 2006-2009 Member, Advisory Panel, *Economic Cost of Drug Abuse* (PI: Pacula/Ringel)
- 2006-2010 Consultant, *Economic Consequences of Alcohol Use Disorders* (PI: French)
- 2010 Consultant, *A Multinational Observational Study Advancing an understanding of the factors associated with differential treatment response and the role of Insulin in the care of patients with Type 2 Diabetes: The MOSAIc Type 2 Diabetes Study*, Eli Lilly and Company (PI: Shrank).
- 2006- 2010 Consultant, *Assuring the Emotional Well-Being of Children in Child Welfare Environments Through Stable Health Insurance Coverage* (PI: Raghavan)
- 2010- 2012 Consultant, *Oregon EASA Return on Investment Study*, Western Interstate Commission on Higher Education (PI: McGee).
- 2010- 2012 Consultant, *Analysis of Community Care of North Carolina* (PI: Girod).
- 2011-2013 Consultant, *Reducing Disparities in Mental Health Expenditure Among Children in Child Welfare* (PI: Raghavan)
- 2011-2013 Consultant, *Strengthening Medicaid-Funded Mental Health Coverage for Children in Child Welfare* (PI: Raghavan)
- 2014-2016 Consultant, *Development of a Universal Assessment for California's Home and Community-Based Services Programs* (PI: Saliba)
- 2016-2017 Consultant, *Benefits of treatment for schizophrenia with long-acting injectable atypical antipsychotics* (PI: Goldman/Lakdawalla)

## **HONORS AND SPECIAL AWARDS**

- 1985 Phi Beta Kappa
- 2001 AcademyHealth Annual Research Meeting Outstanding Abstract
- 2001 Alice S. Hersch New Investigator Award  
Academy for Health Services Research and Health Policy
- 2014 UCLA Faculty Leadership Program

2016 Delta Omega Honorary Society in Public Health, Iota Chapter

## **RESEARCH GRANTS AND FELLOWSHIPS RECEIVED**

### **Fellowships and Scholarships:**

1984 Scholarship, Deutscher Akademischer Austausch Dienst (DAAD)

1986 – 1988 Scholarship, Massachusetts Institute of Technology

1990 – 1991 Training grant, National Institute on Aging

### **Research Grants and Fellowships Received (Principal Investigator of Grant):**

**Project:** **The Impact of Having a Usual Source of Medical Care on the Timing of Preventive Services for Women**

**Source:** Harvard University Milton Fund

**Purpose:** To conduct instrumental variables analyses to assess the endogeneity of usual care to preventive services for women, using National Health Interview Survey data

**Years:** 1992

**Role:** Principal Investigator

**Direct Cost:** N/A (awarded while at Harvard)

**Grant:** Unavailable

**Project:** **Costs and Outcomes of Post-Acute Care in a Managed Care Setting**

**Source:** Harvard University Milton Fund

**Purpose:** To conduct a pilot study to determine the feasibility of a research study in a Massachusetts HMO

**Years:** 1998

**Role:** Principal Investigator

**Direct Cost:** N/A (awarded while at Harvard)

**Grant:** Unavailable

**Project:** **Psychiatric Treatment and Outcomes of Medicare Patients**

**Source:** National Institute of Mental Health

**Purpose:** To examine the patient, provider and market characteristics associated with psychiatric treatment patterns among elderly and disabled Medicare beneficiaries

**Dates:** 09/28/1995 – 9/27/1999

**Role:** Principal Investigator

**Direct Cost:** N/A (awarded while at Harvard)

**Grant:** Unavailable

Project: **Psychiatric Treatment and Outcomes of Medicare Patients– continuation of grant awarded while at Harvard**  
Source: National Institute of Mental Health  
Purpose: To examine the patient, provider and market characteristics associated with psychiatric treatment patterns among elderly and disabled Medicare beneficiaries  
Dates: 09/28/1999 – 11/30/2000  
Role: Principal Investigator  
Direct Cost: \$90,511  
Grant: Unavailable

Project: **The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey**  
Source: Institute for Health Policy Studies/California Wellness Foundation  
Purpose: To estimate the effect of health on employment and job characteristics.  
Dates: 01/01/2000 – 12/31/2000  
Role: Principal Investigator  
Direct Cost: \$5000  
Grant: Unavailable

Project: **A Longitudinal Analysis of Mediating Factors in the SES and Health Relationship**  
Source: Institute for Health Policy Studies/California Wellness Foundation  
Purpose: To examine factors mediating the effect of SES on mental and physical health  
Dates: 01/01/2001 – 12/31/2001  
Role: Principal Investigator  
Direct Cost: \$5000  
Grant: Unavailable

Project: **Measuring the Structural Effect of Depression on Changes in Insurance Coverage**  
Source: ERIU, The University of Michigan  
Purpose: This study will examine the structural impact of depression on health insurance, using longitudinal data and instrumental variables methods.  
Dates: 04/01/2003 – 03/31/2004  
Role: Principal Investigator  
Direct Cost: \$85,738  
Grant: Unavailable

Project: **Treatment Patterns, Expenditures, and Outcomes in MBHOs**  
Source: National Institute of Mental Health  
Purpose: To construct a merged MBHO database using data from the 2000 census and secondary sources, examine the relationship of patient clinical and sociodemographic characteristics with behavioral health care treatment patterns,

and estimate the “dose response” relationship of the number of visits with the marginal increase in expenditures.

Dates: 01/01/2004 – 3/31/2007  
Role: Principal Investigator  
Direct Cost: \$389,655  
Grant: R01MH065935-01A2

Project: **Costs of Preventing Alcohol Problems in Older Adults**  
Source: National Institute on Alcohol Abuse and Alcoholism  
Purpose: A randomized trial to study the effectiveness and cost-effectiveness of an integrated patient-provider intervention to prevent harmful (presence of alcohol-related problems such as liver disease or depression) and hazardous alcohol use (risks for problems) in older adults.

Dates: 08/01/2004 – 4/30/2010  
Role: Principal Investigator  
Direct Cost: \$2,085,134  
Grant: 1R01AA013990-01A1

Project: **Impact of the Medicare Part D Benzodiazepine Exclusion on Managed Care Patients**

Source: National Institute of Mental Health  
Purpose: To examine the implications of the loss of benzodiazepine coverage resulting from the Medicare Part D exclusion using linked prescription drug, behavioral and medical claims for UnitedHealth Group Medicare Advantage plan beneficiaries with anxiety diagnoses or a history of benzodiazepine use.

Dates: 06/01/2007-06/30/2011  
Role: Principal Investigator  
Direct Cost: \$400,000  
Grant: 1R01MH079034-01A1

Project: **Parity and Addiction Act: Impact on Benefits, Use and Costs (Supplement)**

Source: National Institute on Drug Abuse  
Purpose: We will use OptumHealth and UnitedHealth Care data from four different sources to address limitations with the data originally planned for analysis in the parent grant. Together, these datasets will provide comprehensive information on benefit design for behavioral healthcare insurance plans, including non-quantitative treatment limits; this information will in turn allow us to meet the original aims of the parent grant, an evaluation of how the Paul Wellstone and Pete Domenici Mental Health Parity and Addiction Equity Act of 2008 affected insurance coverage, utilization and expenditures for mental health and substance abuse treatment.

Dates: 03/01/2014 – 02/28/2015  
Role: Principal Investigator  
Direct Cost: \$99,757  
Grant: 3R01DA032619-03S1

**Project:** Parity and Addiction Act: Impact on Benefits, Use and Costs  
**Source:** National Institute on Drug Abuse  
**Purpose:** Using administrative data from the largest managed behavioral health organization in the country, we will look at how a landmark piece of parity legislation, the Paul Wellstone and Pete Domenici Mental Health Parity and Addiction Equity Act of 2008 (MHPAEA), affected insurance coverage for mental health and substance abuse (MH/SA) treatment.  
**Dates:** 03/15/2012-02/29/2016  
**Role:** Principal Investigator  
**Direct Cost:** \$1,712,471  
**Grant:** 1R01DA032619-01

**Project:** Parity and Addiction Act: Impact on Benefits, Use and Costs (Supplement)  
**Source:** National Institute on Drug Abuse  
**Purpose:** We propose to use administrative databases from Optum, the largest managed behavioral health organization in the country, to examine treatment patterns for substance use disorders (SUD) among young adults aged 19 to 25 who are newly covered as dependents under their parents' insurance. Although intermediate care (such as residential treatment, recovery home and day treatment programs) is of primary interest, we would also examine patterns of outpatient and inpatient service use to identify potential substitution or complementarity effects.  
**Dates:** 03/01/2015-02/29/2016  
**Role:** Principal Investigator  
**Direct Costs:** \$120,000  
**Grant:** R01DA032619-04S1

**Project:** UCLA Clinical Translational Science Institute (TL1 Component)  
**Source:** NIH/ NCATS  
**Purpose:** The goal of the UCLA CTSI TL1 program is to provide comprehensive training and experience in Type 4, community-partnered translational research (with a strong foundation in Type 3 translational research) to highly-qualified pre- and post-doctoral trainees. We will train fellows to effectively engage in, and eventually lead, research and implementation activities aimed at improving population health through evidence-based interventions and policies capable of overcoming the barriers to adoption that often arise when programs are implemented in the community.  
**Dates:** 07/01/2016 – 05/31/2021  
**Role:** Principal Investigator  
**Direct Cost:** \$853,390  
**Grant:** 1TL1TR001883-01

**Project:** Developing and Testing Innovative New Methodologies for Analyzing the Impact of Mental Healthcare Benefit Design on Outcomes  
**Source:** National Institute of Mental Health

Purpose: Although the design of health insurance coverage for mental health care is an important predictor of service use and outcomes, reliable, generalizable, and inexpensive benefit data can be inaccessible to researchers. This study will develop and test new methods for generating mental health insurance benefit information from more readily available claims data. Researchers using these methods will have powerful tools for studying how mental health insurance benefits impact mental health access, affordability, and quality.

Dates: 08/01/2018-07/31/2020

Role: Principal Investigator

Direct Cost: \$325,000

Grant: 1R01MH117013-01

**Research Grants and Fellowships Received (Principal Investigator of Subcontract):**

Project: **State Substance Abuse/Mental Health Managed Care Evaluation (PI: McCarty)**

Source: Center for Substance Abuse Treatment

Purpose: To evaluate the impact of managed care on the substance abuse treatment and outcomes of Medicaid and indigent patients

Dates: 08/01/2000 – 09/26/2001

Role: Principal Investigator (subcontract)

Direct Cost: \$71,777 (subcontract only)

Grant: Unavailable

Project: **HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study (PI: Bell)**

Source: SAMHSA/James Bell Associates

Purpose: To provide economic consultation to the research teams at the individual sites, coordinate the multi-site economic analyses, and provide verbal and written guidance to sites regarding the identification of economic research questions and measurement and estimation of economic outcomes.

Dates: 09/01/1998 – 08/31/2003

Role: Principal Investigator (subcontract)

Direct Cost: \$370,040 (subcontract only)

Grant: Unavailable

Project: **Costs of Care and Factors Associated with Terminal Admissions for Dying Veterans (PI: Hallenbeck)**

Source: Palo Alto Institute for Research and Education, Inc./Robert Wood Johnson Foundation

Purpose: This project will compare costs at the end of life among veterans who die in a palliative care unit vs. conventional setting of care.

Dates: 10/01/2002 – 09/30/2003

Role: Principal Investigator (subcontract)  
Direct Cost: \$65,324 (subcontract only)  
Grant: Unavailable

Project: **Development and Application of Psychiatric Risk Adjustment Methods in the VA (PI: Rosen)**

Source: Department of Veteran Affairs

Purpose: To derive a diagnosis-based, psychiatric case-mix measure, specific to the VA, to profile and predict concurrent and future resource consumption among patients with MH/SA disorders across VA facilities.

Dates: 04/01/2001 – 03/31/2004

Role: Principal Investigator (subcontract)

Direct Cost: \$68,324 (subcontract only)

Grant: Unavailable

Project: **Center for Research in Older People with Psychoses (PI: Jeste)**

Source: NIH

Purpose: The major goals of this center are to conduct research with the goal of improving care for older persons with mental illness.

Dates: 09/01/2008 – 08/31/2009

Role: Principal Investigator (subcontract)

Direct Cost: \$12,499 (subcontract only)

Grant: 1P30MH66248-01

Project: **VA ASSIST National Project (PI: Lorenz/Walling)**

Source: Veterans Health Administration (VHA)

Purpose: Using a national random sample of veterans diagnosed with colorectal, pancreatic and non-small cell lung cancer from the VA national cancer registry, we will use the Cancer Quality ASSIST indicators to evaluate (a) the overall quality of veterans' supportive cancer care; (b) patient and facility factors that may be associated with overall quality; and (c) whether palliative care use is associated with higher quality supportive care.

Dates: 10/01/2010 – 09/30/2013

Role: Principal Investigator (subcontract)

Direct Cost: \$92,724 (subcontract only)

Grant: IIR-09-097-2

Project: **Comparative Effectiveness of Comprehensive Care for Adults with SMI (PI: Gilmer)**

Source: AHRQ

Purpose: To examine the comparative effectiveness of usual care vs. the Housing First model of comprehensive care, which was implemented in California as Full Service Partnerships under the Mental Health Services Act.

Dates: 09/30/2010 – 09/29/2013

Role: Principal Investigator (sub-contract)

Direct Cost: \$341,150 (subcontract only)  
Grant: R01HS019986

Project: **National Evaluation of the Comprehensive Community Mental Health Services for Children and Their Families Program (PI: Manteuffel)**

Source: SAMHSA/ICF MACRO

Purpose: The major goal of this project is to conduct a national multisite evaluation of the implementation of CMHS-funded systems of care (SOC). The evaluation will describe the children and families served by SOC; determine the nature and extent of clinical and functional outcomes for children and families served in SOC; examine how children and families experience services within SOC and how they use services and supports (i.e., utilization patterns); assess the effectiveness of the SOC approach compared to usual service delivery approaches; and assess the effectiveness of evidence-based treatments within SOC.

Dates: 09/30/2008 – 09/29/2013

Role: Principal Investigator (subcontract)

Direct Cost: \$172,637 (subcontract only)

Grant: DHHS334309SI334

Project: **The Cost of Quality Home Care (PI: Mukamel)**

Source: NIA

Purpose: This project will investigate the relationship between costs and quality in home health care. It will offer HHAs and policy makers, such as the Centers of Medicare and Medicaid (CMS), information about the effectiveness and costliness of specific quality improvement strategies. It will inform efforts by CMS as it designs and updates the home health prospective payment system.

Dates: 09/01/16 – 08/31/20

Role: Co-Investigator, Site PI

Direct Cost: \$62,639 (Y1)

Grant: 1R01AG051719 - 01A1

Project: **Relationship between mental health coverage and outcomes for privately insured women with perinatal mood and anxiety disorders (PI: Zivin)**

Source: National Institute of Mental Health (sponsor); Office of Research on Women's Health (co-sponsor)

Purpose: This study will use a large and diverse national sample of women with diagnosed perinatal mood and anxiety disorders (PMAD) who were enrolled in employer-based insurance using Optum™ Clinformatics™ Data Mart from 2001-2017 to examine the association of mandated federal mental health (MH) policy changes with changes in (i) MH utilization, MH outcomes, and overall utilization in the perinatal period; (ii) delivery-related outcomes; and (iii) expenditures in the perinatal period.

Dates: 08/08/19 - 05/31/23

Role: Co-Investigator, Principal Investigator (subaward)



Direct Costs: \$136,112 (subaward budget)  
Grant: 1R01MH120124-01

**Research Grants and Fellowships Received (Co-Investigator):**

Project: **Risk Adjustment of Payments for Mental Health and Substance Abuse (PI: Richard Frank and Thomas McGuire)**  
Source: Health Care Financing Administration  
Purpose: To evaluate the performance of commercial risk adjustment systems in predicting behavioral health care expenditures and to develop a risk adjustment system specific to behavioral health  
Dates: 1994-1997  
Role: Co-Investigator  
Grant: Unavailable

Project: **Health, Work and Family in Mid-life (PI: Cleary)**  
Source: John D. and Catherine T. MacArthur Foundation  
Purpose: To examine the relationships between work, health, and work-family conflict among a representative sample of Americans in mid-life  
Years: 1995 – 1997  
Role: Co-Investigator  
Grant: Unavailable

Project: **State Substance Abuse/Mental Health Managed Care Evaluation (PI: McCarty)**  
Source: Center for Substance Abuse Treatment (CSAT)  
Purpose: To evaluate the impact of managed care on the substance abuse treatment and outcomes of Medicaid and indigent patients  
Years: 1996 – 1999  
Role: Co-Investigator  
Grant: Unavailable

Project: **California Treatment Outcome Project (PI: Hser)**  
Source: Center for Substance Abuse Treatment (CSAT)  
Purpose: To evaluate the outcomes and potential cost offset associated with substance abuse treatment.  
Dates: 10/01/1998 – 09/30/2002  
Role: Co-Investigator  
Direct Cost: \$176,786  
Grant: Unavailable

Project: **California Regional Alzheimer's Disease Care Improvement Project (PI: Vickrey/Mittman)**  
Source: *California Health Care Foundation*  
Purpose: To develop, demonstrate and evaluate an integrated, community-wide,

collaborative quality improvement strategy for the management of Alzheimer's Disease and other dementias in the greater San Diego area

Dates: 02/01/2000 – 1/31/2003

Role: Co-Investigator

Direct Cost: \$1,000,000

Grant: Unavailable

Project: **Cost Analysis and Operation Assessment of Community-based Alcohol and Drug Treatment Programs (PI: Hser)**

Source: Robert Wood Johnson Foundation (RWJF)

Purpose: Supplement to California Treatment Outcome Project. The major goal of this project is to collect program operation information and cost data from community-based treatment programs participating in the California Outcome Project (CalTOP) in order to identify key program factors influencing treatment effectiveness and to support the "cost-offset" analysis

Dates: 08/01/2002 – 05/31/2003

Role: Co-Investigator

Direct Cost: \$90,946

Grant: Unavailable

Project: **Care Management by Nurse Practitioner/Hospitalist Team (PI: Cowan)**

Source: National Institute of Nursing Research (NINR)

Purpose: To compare the effectiveness and costs of care management by a hospitalist MD/ nurse practitioner care management team to usual care

Dates: 07/01/2000 – 06/30/2003

Role: Co-Investigator

Direct Cost: \$2,381,229

Grant: Unavailable

Project: **Improving Alzheimer's Disease Care Through Education and Community Collaboration (PI: Vickrey/Mittman)**

Source: CA/HHS/Dept of Health Services University of California, San Francisco

Purpose: Supplement to California Regional Alzheimer's Disease Care Improvement Project

Dates: 07/01/2001 – 06/30/2003

Role: Co-Investigator

Direct Cost: \$331,481

Grant: Unavailable

Project: **Interventions for Outreach and Access for Underserved with HIV Infection (PI: Cunningham)**

Source: Health Resources Service Agency (HRSA)

Purpose: To evaluate the Mobile HIV Outreach Program, a mobile HIV testing unit that serves South Central Los Angeles

Dates: 09/01/2001 – 08/30/2003  
Role: Co-Investigator  
Direct Cost: \$200,000 (Y1 only)  
Grant: Unavailable

Project: **Long-Term Economic Outcomes in Depressed Patients (PI: Wells/Schoenbaum)**

Source: National Institute of Mental Health (NIMH)  
Purpose: To determine how remission from an initial depressive episode affects four-year followup economic outcomes.

Dates: 04/01/2001 – 03/28/2004  
Role: Co-Investigator  
Direct Cost: \$339,203 (Y1 only)  
Grant: Unavailable

Project: **A Self-care Intervention for Latino Adults with Diabetes (PI: Mangione)**

Source: NIH/NIDDK  
Purpose: To evaluate the costs and outcomes of a culturally appropriate intervention to improve diabetes self-care practices by enhancing self-efficacy, empowerment and knowledge among Latinos over age 55.

Dates: 07/01/2001 – 03/31/2005  
Role: Co-Investigator  
Direct Cost: \$1,646,633  
Grant: Unavailable

Project: **Evaluation of the Substance Abuse and Crime Prevention Act of 2000 (PI: Longshore)**

Source: State of California/Department of Alcohol and Drug Programs  
Purpose: To evaluate the effectiveness and financial impact of the Substance Abuse and Crime Prevention Act of 2000

Dates: 06/05/2001 – 06/30/2005  
Role: Co-Investigator  
Direct Cost: \$775,910  
Grant: Unavailable

Project: **Post-Acute Care Practice Patterns After Major Orthopedic Surgery: The Impact of the Balanced Budget Act of 1997 (PI: FitzGerald)**

Source: Arthritis Foundation  
Purpose: Medicare data between 1997 through 1999 will be analyzed to determine the impact of post-acute care policy changes implemented by the Balanced Budget Act of 1997. Acute and post-acute care utilization will be examined for patients undergoing either elective joint replacement surgery or surgical management of hip fracture. Re-hospitalization and specific post-operative complications will be analyzed.

Dates: 06/10/2002 – 06/30/2005  
Role: Co-Investigator  
Direct Cost: \$225,000  
Grant: Unavailable

Project: **Impact of Medicare Policies on Utilization and Outcomes (PI: FitzGerald)**

Source: Agency for Healthcare Research and Quality (AHRQ)  
Purpose: To study the impact of the Balanced Budget Act expenditure cuts on post-acute care utilization and clinical outcomes among Medicare patients who have undergone elective joint replacement surgery or surgical management of hip fracture; and managed care disenrollment prior to planned surgery and disenrollment prior to unplanned surgery.

Dates: 07/01/2002 – 06/30/2005  
Role: Co-Investigator  
Direct Cost: \$491,051  
Grant: Unavailable  
Grant: Unavailable

Project: **UCLA/RAND/Drew Program to Address Disparities in Health (PI: Shapiro)**

Source: Agency for Health Care Research and Quality (AHRQ)  
Purpose: To study disparities in health and health care and develop interventions to improve health outcomes of African-Americans and Latinos.

Dates: 09/01/2001-08/31/2005  
Role: Co-Investigator  
Direct Cost: \$1,273,224  
Grant: Unavailable

Project: **Racial and Ethnic Disparities in Health Policy Model (PI: Wong)**

Source: National Institute on Aging (NIA)  
Purpose: To create a Markov cycle tree simulation model that will identify those diseases that contribute most to the disparities in mortality among African-Americans, Latinos, and Asians compared to Whites. This Disparities health Policy Model will also allow us to determine the degree to which the lower life expectancy among African-Americans can be attributed to a greater risk of getting a disease as opposed to a higher death rate from the disease once it has developed. Finally, we will use the model to predict the impact of selected clinical and policy interventions on the racial disparity in years of potential life lost.

Dates: 06/10/2002 – 06/30/2007  
Role: Co-Investigator  
Direct Cost: \$581,682  
Grant: Unavailable

Project: **Racial and Ethnic Disparities in Health Policy Model (PI: Wong)**

Source: Health Resources Service Agency (HRSA)  
Purpose: To create a simulation model to address health disparities among minorities in the U.S.  
Dates: 09/30/2002 – 08/31/2007  
Role: Co-Investigator  
Direct Cost: \$539,047  
Grant: Unavailable

Project: **Center for Research on Quality in Managed Care (PI: Wells)**  
Source: National Institute of Mental Health (NIMH)  
Purpose: The Center seeks to improve quality of care for diverse populations, across disorders, age groups and ethnically diverse low-income populations.  
Dates: 08/01/2003 – 08/31/2008  
Role: Co-Investigator  
Direct Cost: \$1,298,396  
Grant: 1P30MH068639

Project: **Reducing the Disproportionate Burden of Orofacial Injury (PI: Shetty)**  
Source: National Institute of Dental and Craniofacial Research (NIDCR)/National Institute on Drug Abuse (NIDA)  
Purpose: To test the effectiveness of a personalized motivational intervention in promoting recovery from the initial orofacial injury; to test the effectiveness of the intervention in decreasing occurrence and severity of re-injury and increasing time to any re-injury; and to determine whether the intervention's effects on re-injury are mediated by recovery from the initial injury, reduced behavioral problems, and reduced psychological distress; and to perform a cost-offset analysis of the intervention.  
Dates: 09/30/2003 – 06/30/2009  
Role: Co-Investigator  
Direct Cost: \$2,303,438  
Grant: 1R01DA16850

Project: **Partners in Care for Children (PI: Zima)**  
Source: National Institute of Mental Health (NIMH)  
Purpose: To identify the factors related to the quality of ADHD care within publicly-funded carve-out mental health services  
Dates: 09/01/2002 – 08/31/2009  
Role: Co-Investigator  
Direct Cost: \$764,872 (Y1 only)  
Grant: 1R01MH61540

Project: **Translational Research Centers for Diabetes Control within Managed Care Settings (PI: Mangione)**  
Source: Centers for Disease Control and Prevention (CDC)

Purpose: To examine quality of life and costs among 5,000 PacifiCare patients with diabetes.  
Dates: 02/01/2004 – 01/31/2010  
Role: Co-Investigator  
Direct Cost: \$3,702,599  
Grant: U48-CCU916380

Project: **RCT of an intervention to enable stroke survivors in the LA County Hospitals to "Stay With the Guidelines" (PI: Vickrey)**  
Source: American Heart Association (AHA)  
Purpose: To improve the delivery of stroke preventive services in disadvantage populations at three LA County hospitals by implementing a chronic care model-based intervention called SUSTAIN. It builds upon our successfully implemented in-hospital stroke prevention program including group clinics, self-management education and support, report cards, decision support through care protocols, and coordination and delivery of stroke prevention care by a specially trained nurse practitioner.  
Dates: 10/01/2008 – 09/30/2010  
Role: Co-Investigator  
Direct Cost: \$3,891,800  
Grant: 0875134N

Project: **Physician Variations in Care and the Impact on Cancer Care Disparities (PI: Wong)**  
Source: American Cancer Society (ACS)  
Purpose: The objective of this study is to examine racial disparities in cancer care and identify within- and between-physician variations in care.  
Dates: 07/01/2007 – 06/30/2011  
Role: Co-Investigator  
Direct Cost: \$639,167  
Grant: RSGT-07-231-01

Project: **Understanding and Improving Financial Literacy With Respect to Health Care Expenditures in Retirement (PI: Jackson/Hoffman)**  
Source: Social Security Administration  
Purpose: This project will survey a random sample of 1500 individuals between the ages of 50 and 75 to ascertain their expectations regarding health care expenditures in retirement. The survey will include various treatment groups to determine the extent to which framing devices (such as information regarding average current retiree health care costs) can improve individual estimates of retiree health care costs.  
Dates: 10/01/2010 – 9/29/2011  
Role: Co-Investigator  
Direct Cost: \$50,640 (UCLA subcontract only)  
Grant: Unavailable

**Project: Early Detection, Prevention, and Treatment of HIV Core Support (PI: Rotheram)**  
**Source:** National Institute of Mental Health (NIMH)  
**Purpose:** Aims are to enhance understanding, research, and training to promote early detection of HIV, effective prevention and treatment programs, and to reduce HIV stigmatization at the societal, community and individual levels.  
**Dates:** 09/01/1997 – 2012  
**Role:** Co-Investigator  
**Direct Cost:** \$10,994,556  
**Grant:** P30MH58107

**Project: A Multidisciplinary Lens on Racial/Ethnic and Educational Disparities in Contraceptive Use (PI: Sweeney)**  
**Source:** UCLA Transdisciplinary Seed Grant  
**Purpose:** Using data from the 2006-08 National Survey of Family Growth (NSFG), we combine insights from sociology, public health, economics, and clinical medicine to clarify reasons for race/ethnic- and education-based differences in use of the most effective contraceptive methods.  
**Dates:** 9/01/2011 – 8/31/2012  
**Role:** Co-Investigator  
**Direct Cost:** \$25,000  
**Grant:** N/A

**Project: The Impact of Cost-Sharing Among Persons with Multiple Sclerosis (PI: Cheng)**  
**Source:** National Multiple Sclerosis Society (NMSS)  
**Purpose:** This project will use Medical Expenditure Panel Survey data set to compare the level and trends of cost-sharing and out-of-pocket burden among MS and non-MS populations, and to determine the impact of cost-sharing and out-of-pocket burden on utilization, patient satisfaction, QOL, and access to care in the MS vs non-MS population.  
**Dates:** 10/01/2011 – 9/30/2012  
**Role:** Co-Investigator  
**Direct Cost:** \$100,000  
**Grant:** 20110439

**Project: Methamphetamine Abuse: Long-term Trajectories, Correlates, Treatment Effects (PI: Brecht)**  
**Source:** National Institute on Drug Abuse (NIDA)  
**Purpose:** To provide additional detail on the life course of methamphetamine (MA) use and an empirical basis for improving strategies for treatment outreach, engagement, service delivery, and continuing care.  
**Dates:** 07/15/2009 – 05/31/2013  
**Role:** Co-Investigator

Direct Cost: \$1,404,027  
Grant: R01DA025113

Project: **Translating Research Into Action for Diabetes (TRIAD) Legacy Study (PI: Mangione)**

Source: Centers for Disease Control and Prevention (CDC)

Purpose: The goal of the Translating Research into Action for Diabetes (TRIAD) legacy studies is to examine the system- and patient-level factors that are associated with long-term processes of care, morbidity, costs, and mortality of persons with diabetes.

Dates: 07/12/2010 – 07/11/2013

Role: Co-Investigator

Direct Cost: 4359,241

Grant: 1U58DP002642-01

Project: **UCLA-RAND NIMH Partnered Research Center for Quality Care (PI: Wells)**

Source: National Institute of Mental Health (NIMH)

Purpose: A collaboration of UCLA, RAND, USC, and health plan, services agency, and community and consumer partners, the proposed Center focuses on improving access to quality mental health services in communities through studying the impact of interventions at policy, practice, and community levels; and partnerships in research and program development, implementation, and evaluation to achieve science that is formed by and can inform community-based services delivery.

Dates: 08/01/2008 - 7/31/2013

Role: Co-Investigator

Direct Cost: \$6,196,888

Grant: P30MH082760

Project: **The Coverage Gap and Clinical Outcomes in a Medicare Advantage Population (PI: Duru)**

Source: National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)

Purpose: To examine the impact of the Medicare Part D coverage gap on medication use and clinical outcomes, including metabolic control, emergency department use, and hospitalizations, among older adults with diabetes in managed care.

Dates: 08/01/2010 – 07/31/2013

Role: Co-Investigator

Direct Cost: \$375,000

Grant: 1R03DK089122-01

Project: **Expanding the Role of Medicaid in Cancer Care for the Uninsured (PI: Zingmond)**

Source: American Cancer Society (ACS)



Purpose: An In-depth population-based evaluation of the treatment of six cancers to evaluate the surgical care of the poor and underserved and to investigate potential improvements deriving from an expansion of Medicaid.

Dates: 01/01/2011 – 12/31/2013

Role: Co-Investigator

Direct Cost: \$400,000

Grant: RSGI-11-005-01-CPHPS

Project: **Effectiveness of Peer Navigation to Link Released HIV+ Jail Inmates to HIV Care (PI: Cunningham)**

Source: National Institute on Drug Abuse (NIDA)

Purpose: The goal of the study is to adapt an existing, theory-based intervention to maximize its feasibility and acceptability to HIV+ ex-inmates.

Dates: 10/01/2010 – 09/30/2015

Role: Co-Investigator

Direct Cost: \$4,293,013

Grant: R01DA030781

Project: **The Diabetes Health Plan: a System Level Intervention to Prevent & Treat Diabetes (PI: Mangione)**

Source: Centers for Disease Control and Prevention (CDC)

Purpose: A partnership with United Healthcare (UC) to form a cooperative agreement with the CDC to evaluate an innovative health system-based insurance product called the Diabetes Health Plan (DHP) that offers preventive treatments and services with established efficacy at very low or no cost to patients with pre-diabetes and diabetes.

Dates: 09/28/2010 – 09/27/2015

Role: Co-Investigator

Direct Cost: \$2,015,173

Grant: U58DP002722

Project: **A Partnered Evaluation of UHC Medicaid Plan Innovations for Diabetes Patients (PI: Mangione/Duru)**

Source: CDC

Purpose: This project will evaluate two innovative Medicaid programs known as the Accountable Care Communities (ACC) program and the Health Home (HH) program. Both programs are delivery system transformations with on-the-ground partnerships to support improvements in care and population management strategies for Medicaid beneficiaries, many of whom have diabetes and other complex care needs. The overall goal of the research is to employ rigorous evaluation of the impact of these innovative programs on the delivery, outcomes, and cost of care for adult Medicaid enrollees with diabetes.

Dates: 09/30/2015-09/29/2020

Role: Co-Investigator

Direct Costs: \$450,000

Grant: 1U18DP006128-01

Project: **UCLA Clinical Translational Science Institute (PI: Dubinett)**

Source: NIH/ NCATS

Purpose: The CTSA consortium was established by the NIH to enhance biomedical research by accelerating the translation of laboratory discoveries into effective treatments for patients, more actively engaging communities in clinical research and training future generations of researchers to think and work in this bench-to-bedside continuum.

Dates: 06/01/2011 – 02/29/2016

Role: Co-Investigator

Direct Cost: \$12,879,753

Grant: 1UL1RR033176-01

Project: **The California Endowment Care Connections Pathways (CCP) Evaluation (PI: Clemens Hong)**

Source: The California Endowment

Purpose: This project will 1) Involve Los Angeles County Department of Health Services (LACDHS), Worker Education & Resource Center (WERC), Care Connections Program (CCP) Steering Committee (with representatives from each demonstration primary care practice), and Community Advisory Board in CCP evaluation. 2) Conduct Care Connections Program (CCP) effectiveness evaluation to inform LACDHS program expansion decisions. 3) Conduct formative evaluation by using continuous quality improvement to assess and inform LACDHS and WERC on Care Connections Program (CCP) adoption and implementation 4) Inform by plan created by key stakeholders, disseminate Care Connections Program (CCP) evaluation results widely through LACDHS and WERC websites, publications, social media, local networks, key coalitions, key government officials, and national healthcare networks

Dates: 03/01/2015-02/28/2017

Role: Co-Investigator

Direct Costs: \$102,863

Grant: 20142087

Project: **UCLA/DREW Project EXPORT (PI: Norris)**

Source: National Institutes of Health (NIH)

Purpose: To promote academic careers that will focus on improving health disparities among minorities in the U.S.

Dates: 09/30/2002 – 05/31/2017

Role: Co-Investigator

Direct Cost: \$3,107,568

Grant: P20MD000182

Project: **Project Edgar (PI: Norris)**

Source: Hospital

Purpose: This project will 1. Assess prevalence of eGFR measurements among all adult enrollees stratified by race ethnicity (will help to assess who might be missed and does the missing group look like the non-missing group) 2. Assess prevalence of eGFR measurements among adult enrollees with diabetes. 3. Assess prevalence of eGFR measurements among adult enrollees with HTN. 4. Assess prevalence of urinary albumin (or protein) measurements among adult enrollees with diabetes, stratified by GFR and race/ethnicity. 5. Assess prevalence of urinary albumin (or protein) measurements among adult enrollees with HTN, stratified by GFR and race/ethnicity.

Dates: 08/01/2015-07/31/2017

Role: Co-Investigator

Direct Costs: \$957,728

Grant: Grant (Grant number not assigned)

Project: **VA Center of Innovation (PI: Yano)**

Source: Department of Veterans Affairs HSR&D Service

Purpose: This Center's mission is to advance and deploy scientific methods for understanding patient, provider and organizational predictors of quality and economic outcomes, developing and testing needed innovations for improving the effectiveness and value of VA healthcare, and accelerating multilevel adoption, implementation, spread and sustainability of effective practices and policies. Focused areas of partnered research include emphases on improving primary care, women's health and care for vulnerable populations. Emerging areas of innovation include advances in delivery models for clinical genetics, emergency management, infectious diseases and integrative medicine.

Dates: 10/1/13-9/30/18

Role: Co-Investigator

Direct Cost: \$17,145

Grant: HFP 94-028

Project: **Effect of Peer Navigation and Contingency Management on Retention in HIV Care (PI: Cunningham)**

Source: NIH

Purpose: The proposed study will assess outcomes of the following interventions in comparison to usual care: 1) contingency management (CM) only; 2) peer navigation (PN) only; and 3) a combined approach that integrates both CM and PN (CA).

Dates: 9/01/2014 – 08/31/2019

Role: Co-Investigator

Direct Cost: \$499,995

Grant: 1R01MH103076-01A1

Project: **Encouraging Mail Order Pharmacy Use to Improve Outcomes and Reduce Disparities**

Source: NIH/NIDDK (Kaiser Sub)

Purpose: The purpose of this application is to test whether standardized and personalized outreach strategies to encourage mail order pharmacy use in diabetes patients at high risk for poor cardiovascular disease outcomes will result in greater medication adherence and improved cardiovascular disease risk factor control. We will assess differences in the impact of the intervention across racial/ethnic and socioeconomic subgroups, and evaluate the barriers and facilitators to sustaining and disseminating the intervention. This research will provide a foundation for implementing cost-effective, health system-level interventions to improve medication adherence in diabetes patients.

Dates: 06/01/2015-05/31/2019 (Note: Ended participation on 3/1/17 due to new Associate Dean responsibilities)

Role: Co-Investigator

Direct Costs: \$51,894

Grant: 1R18DK104249-01A1

Project: **A Cluster-Randomized Trial of Pharmacist-Coordinated Implementation of the DPP (PI: Duru/Mangione)**

Source: NIH/NIDDK

Purpose: To evaluate a novel program that uses the electronic health record to identify patients with prediabetes and offers consultation with a primary care based pharmacist who uses a shared decision making tool to increase the proportion of patients with prediabetes who know about the condition and who participate in lifestyle change and/or use of metformin to prevent diabetes.

Dates: 04/01/15 – 04/31/20 (Note: Ended participation on 3/1/17 due to new Associate Dean responsibilities)

Role: Co-Investigator

Direct Cost: \$500,000

Grant: R18DK105464-01 (Duru/Mangione)

Project: **UCLA Clinical Translational Science Institute (PI: Dubinett)**

Source: NIH/ NCATS

Purpose: The CTSA consortium was established by the NIH to enhance biomedical research by accelerating the translation of laboratory discoveries into effective treatments for patients, more actively engaging communities in clinical research and training future generations of researchers to think and work in this bench-to-bedside continuum.

Dates: 07/01/2016 – 05/31/2021 (Note: Ended participation on 3/1/17 due to new Associate Dean responsibilities)

Role: Co-Investigator

Direct Cost: \$12,601,789

Grant: UL1TR001881

Project: **PARENT = Parent-focused Redesign for Encounters, Newborns to Toddlers (PI: Coker)**

Source: NIMH

Purpose: Aim #1: Measure the effect of PARENT on receipt of nationally-recommended WCC services and parent experiences of care. H1: PARENT will improve receipt of WCC services and parent experiences of care. Aim #2: Determine the effects of PARENT on WCC, urgent care, and ED utilization, and on net costs. H2a: PARENT will result in improved up-to-date rates for WCC visits and reduced ED utilization. H2b: In a cost/cost-offset analysis, we will demonstrate that direct intervention costs of PARENT are offset by net reductions in ED utilization. Aim #3: Examine the effect of PARENT on physician time allocation for WCC and urgent care visits. H3: Physicians will shift time from providing routine WCC services in well-visits to chronic disease management and urgent care. Aim #4: Assess the effect of PARENT on parent-focused outcomes in an exploratory analysis. H4: PARENT will result in improved parental mental health, parenting self-efficacy, and positive behaviors.

Dates: 07/01/16 – 06/30/21 (Note: Ended participation on 3/1/17 due to new Associate Dean responsibilities)

Role: Co-Investigator

Direct Cost: \$500,000 (Y1)

Grant: 1R01HD088586 – 01

Project: **Youth Services Navigation Intervention for HIV+ adolescents and young adults being released from incarceration: A randomized control trial (PI: Cunningham)**

Source: NIMHD

Purpose: The goal of this study is to test the effectiveness of the new Youth Services Navigation (YSN) Intervention among CJI YLWH aged 16-25, compared to controls offered standard referrals to services and evaluate the YSN Intervention's effect on post-incarceration linkage, retention, adherence, and viral suppression.

Dates: 08/10/2017-06/30/2022

Role: Co-Investigator

Direct Cost: \$3,484,922

Grant: R01 MD011773

Project: **Resource Centers for Minority Aging Research III/ Center for Health Improvement of Minority Elderly II (RCMAR III/CHIME II) (PI: Mangione)**

Source: NIH/NIA

Purpose: To create a program that will ultimately contribute to the reduction in health disparities for African American and Latino elders by training and mentorship of minority faculty who will advance their careers by conducting research on minority elders.

Dates: 09/15/18 – 06/30/23

Role: Mentor

Direct Cost: \$455,136 (Y1)

Grant: P30 AG021684

Project: **Seniors and People with Disabilities Program Evaluation (PI: Zingmond)**  
Source: California Department of Health Care Services  
Purpose: The purpose of this contract is to assess the impact of mandatory managed care on the Seniors and Persons with Disabilities (SPD) population through quarterly, annual and overall summary reports. The evaluation will address the impact of the initiative on the beneficiary experience and the impact of the program overall, with a focus on three specific content areas: access to care, quality of care, and cost of coverage.  
Dates: 7/1/18-6/30/19  
Role: Co-Investigator  
Direct Cost: \$1,600,000  
Contract: 18-95298

### **LECTURES AND PRESENTATIONS:**

**Ettner SL**, Weissman J. *Utilization of Formal and Informal Home Care by AIDS Patients in Boston: A Comparison of Intravenous Drug Users and Homosexual Males.* American Public Health Association Meetings, San Francisco, CA, October 1993.

**Ettner SL.** *Is Working Good For You? Evidence on the Endogeneity of Mental and Physical Health to Female Employment.* American Economic Association meetings, Boston, MA, January 1994.

**Ettner SL.** *Is Working Good For You? Evidence on the Endogeneity of Mental and Physical Health to Female Employment.* Labor Economics Workshop, National Bureau of Economic Research, Cambridge, MA, May 1994.

**Ettner SL.** *Is Working Good For You? Evidence on the Endogeneity of Mental and Physical Health to Female Employment.* Syracuse University, Syracuse, NY, 1994.

**Ettner SL.** *Is Working Good For You? Evidence on the Endogeneity of Mental and Physical Health to Female Employment.* Tufts University, Medford, MA, 1994.

**Ettner SL.** *Adverse Selection and the Purchase of Medigap Insurance.* Health Workshop, National Bureau of Economic Research Summer Institute, Cambridge, MA, July 1995.

**Ettner SL.** *Does Having a Usual Source of Medical Care Improve Access to Preventive Services for Women and Children?* American Public Health Association Meetings, San Diego, CA, October 1995.

**Ettner SL.** *Adverse Selection and the Purchase of Medigap Insurance.* MIT-Harvard-Boston University Health Economics Seminar, Boston, MA, February 1996.

**Ettner SL**, Frank RG, Kessler RC. *The Impact of Psychiatric Disorders on Labor Market Outcomes.* Cornell Labor Seminar, Ithaca, NY, April 1996.

**Ettner SL**, et al. *Risk Adjustment of Mental Health and Substance Abuse Payments*. International Health Economics Association meetings, Vancouver, British Columbia, Canada, May 1996.

**Ettner SL**. *Designing a Cost-Effectiveness Analysis of Psychotherapy in Canada*. Canadian Psychiatric Association meetings, Quebec City, Canada, October 1996.

**Ettner SL**. *The Relationship Between Labor Market Outcomes and Physical and Mental Health: Exogenous Human Capital or Endogenous Health Production?* Health Institute Seminar, February 1997.

**Ettner, SL**. *The Work-Health Relationship*. “Work Place ‘97”, Brookline Cable Access Television, Brookline, MA, April 1997.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals*. Harvard Mental Health Services Seminar, Boston, MA, April 1997.

**Ettner SL**. *Risk Adjustment of Capitation Payments for Mental Health and Substance Abuse Services*. CMHS 47<sup>th</sup> National Conference on Mental Health Statistics, Washington, DC, May 1997.

**Ettner SL**. *The Perceived Impact of Jobs on Health: When is Employment Good for You?* MacArthur Foundation Research Network on Successful Mid-life Development, June 1997.

**Ettner SL**. *Is Psychotherapy Cost-Effective?* “Psychotherapy: Treatment Protocols”, conference sponsored by The Cambridge Hospital, Cambridge, MA, June 1997.

**Ettner SL**. *On the Use of Cost-Effectiveness Studies to Make Policy: Caveat Emptor*. New Clinical Drug Evaluation Unit (NCDEU) meeting, Boca Raton, FL, June 1997.

**Ettner SL**. *Economics and the Future of Mental Health Services*. VA Psychology Services Workshop, “Application of Health Services Research to Mental Health”, Boston, MA, June 1997.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals*. Healthcare Workshop, National Bureau of Economics Research Summer Institute, Cambridge, MA, July 1997.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals*. Fifth Northeast Regional Health Economics Research Symposium, Newport, RI, August 1997.

**Ettner SL**, Hermann RC, Tang H. *Differences Between the General Medical and Mental Health Specialty Sectors in the Expenditures and Utilization Patterns of Medicare Patients Treated for Psychiatric Disorders*. Eleventh International NIMH Conference on Mental Health Problems in the General Health Care Sector: Spotlight on the Elderly, Washington, DC, September 1997.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals*. NIMH Conference on Improving the Condition of People with Mental Illness: The Role of Services Research, Washington, DC, September 1997.

**Ettner SL**. *The Relationship Between Labor Market Outcomes and Physical and Mental Health: Exogenous Human Capital or Endogenous Health Production?* International Atlantic Economic Conference, Vienna, Austria, October 1997.

**Ettner SL**. *Is Psychotherapy Cost-Effective?* Mt. Auburn Hospital Psychiatry Grand Rounds Lecture Series, Cambridge, MA, October 1997.

**Ettner SL**, Hermann RC, Tang H. *Differences Between the General Medical and Mental Health Specialty Sectors in the Expenditures and Utilization Patterns of Medicare Patients Treated for Psychiatric Disorders*. Mental Health Policy Institute, Rutgers University, New Brunswick, NJ, December 1997.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals*. UCLA School of Medicine, Los Angeles, CA, Spring 1998.

**Ettner SL** and Hoyler G. *Health Economics and Financial Accounting*. Workshop presented at the Catholic Health Initiatives (CHI) conference, Denver, CO, October 6, 1998.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals*. University of Alabama at Birmingham, Birmingham, AL, Spring 1998.

**Ettner SL**. *The Relationship Between Labor Market Outcomes and Physical and Mental Health: Exogenous Human Capital or Endogenous Health Production?* American Economic Association meetings, New York, NY, January 1999.

**Ettner SL**. *The Opportunity Costs of Elder Care: Implications for Public Policy*. Panel on Emerging Trends in Intergenerational Relations, Conference on Inter-generational Policy and Society, University of Illinois at Champaign-Urbana, Champaign, IL, April 1999.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals*.



Syracuse University School of Public Policy, Syracuse, NY, April 1999.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* Harris School of Public Policy, University of Chicago, Chicago, IL, April 1999.

**Ettner SL**, et al. *Risk Adjustment Alternatives in Paying for Behavioral Health Under Medicaid.* International Health Economic Association meetings, Rotterdam, The Netherlands, June 1999.

**Ettner SL.** *The Relationship Between Labor Market Outcomes and Physical and Mental Health: Exogenous Human Capital or Endogenous Health Production?* International Health Economic Association meetings, Rotterdam, The Netherlands, June 1999.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* International Health Economic Association meetings, Rotterdam, The Netherlands, June 1999.

**Ettner SL.** *Use of Medicare Administrative Data for Aging Research.* RAND Annual Summer Institute (RSI) on the Demography, Economics, and Epidemiology of Aging. Santa Monica, CA, July 1999.

**Ettner SL.** *The Relationship Between Labor Market Outcomes and Physical and Mental Health: Exogenous Human Capital or Endogenous Health Production?* 19<sup>th</sup> Arne Ryde Symposium: Individual Decisions for Health, Lund, Sweden, August 1999.

**Ettner SL.** *Risk Adjustment of Payments in Behavioral Health Applications: What Do We Know and Where Do We Go from Here?* Mental Health Outcomes Risk Adjustment: A National Research Development Conference, Spokane, WA, August 1999.

**Ettner SL.** *Risk Adjustment of Capitation Payments: An Overview.* State Government Staff Meeting, Austin, TX, November 1999.

**Ettner SL**, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* American Economic Association meetings, Boston, Massachusetts, January 2000.

**Ettner SL.** *The Impact of Patient, Provider and Market Characteristics on the Inpatient Substance Abuse Treatment Patterns of Medicare Beneficiaries.* International Atlantic Economic Society meetings, Munich, Germany, March 2000.

**Ettner SL**, et al. *Risk Adjustment Alternatives in Paying for Behavioral Health Under Medicaid.* NIMH Challenges for the 21<sup>st</sup> Century: Mental Health Services Research, Washington, DC, July 2000.

Grzywacz J, **Ettner SL**. *Lost Time on the Job: The Effect of Depression versus Physical Health Conditions*. NIMH Challenges for the 21<sup>st</sup> Century: Mental Health Services Research, Washington, DC, July 2000.

Barsky AJ, **Ettner SL**, et al. *Resource Utilization of Patients with Hypochondriacal Health Anxiety and Somatization*. NIMH Challenges for the 21<sup>st</sup> Century: Mental Health Services Research, Washington, DC, July 2000.

**Ettner SL**. *Older Medicare Beneficiaries Hospitalized for Psychiatric Disorders: Factors Influencing Treatment Patterns*. 5<sup>th</sup> Annual Research Conference on Aging, UCLA Center on Aging & Multicampus Program in Geriatric Medicine and Gerontology, Los Angeles, CA, July 2000.

**Ettner SL**. *The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey*. The CWHS Second Annual Research Conference, San Francisco, CA, December 2000.

**Ettner SL**. *The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey*. American Economic Association Meetings, New Orleans, LA, January 2001.

**Ettner, SL**. *How Should We Choose Risk Adjusters? The Role of Economic Incentives*. Risk Adjustment II: Achieving Fair and Accurate Mental Health Outcomes Information, Spokane, WA, March 2001.

**Ettner, SL**. *HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study*. American Foundation for AIDS Research (AMFAR) Conference, San Francisco, CA, March 2001.

**Ettner SL**, Grzywacz J. *Socioeconomic Status and Health Among Californians: An Examination of Multiple Pathways*. Research to Action: Shaping our Health Care Future. Academy for Health Services Research and Health Policy 2001 Annual Meeting, Atlanta, GA, June 2001.

**Ettner SL**. *The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey*. Research to Action: Shaping our Health Care Future. Academy for Health Services Research and Health Policy 2001 Annual Meeting, Atlanta, GA, June 2001.

**Ettner, SL**, et al. *The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Maryland Medicaid Beneficiaries: A Natural Experiment*. Research to Action: Shaping our Health Care Future. Academy for Health Services Research and Health Policy 2001 Annual Meeting, Atlanta, GA, June 2001.

**Ettner SL**. *The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey*. International Health Economics

Association Third International Conference, York, England, July 2001.

**Ettner, SL**, et al. *The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Maryland Medicaid Beneficiaries: A Natural Experiment*. International Health Economics Association Third International Conference, York, England, July 2001.

**Ettner, SL**, et al. *The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Maryland Medicaid Beneficiaries: A Natural Experiment*. Health Services Research Seminar, UCLA School of Medicine, Los Angeles, CA November 2001.

**Ettner SL**. Panelist, *Human Resources Round Table Program on "Managing Organizational and Personal Uncertainty in the Work Place"*. UCLA Institute for Labor and Employment, Los Angeles, CA, December 2001.

**Ettner SL**. *A Longitudinal Analysis of Mediating Factors in the SES and Health Relationship*. The CWSHS Third Annual Research Conference, San Francisco, CA, December 2001.

**Ettner SL**. *Cost-Offset and Cost-Effectiveness in the CalTOP Study*. State of California Drug and Alcohol Program meeting, Sacramento, CA, January 2002.

**Ettner SL**, Crimmins E. *Economic Measures in Health Surveys*. NIH Workshop on Economic Measures in Health Surveys. Bethesda, MD, January 2002.

**Ettner SL**. *Sample Selection Bias: What Is It and What Can We Do About It?* UCLA Drug and Alcohol Research Center Methods Seminar, Los Angeles, CA, February 2002.

**Ettner SL**. *Improving Access to Mental Health Care for Elderly and Disabled Medicare Beneficiaries: Are Carve-Out Models a Viable Option?* Gerontology Colloquium, Pennsylvania State University, Altoona, PA, October 2002.

**Ettner SL**. *Cost-Effectiveness of Depression in Primary Care: Bridging Evidence and Practice*. Workshop at the NIMH/NIAAA/NIDA conference Beyond the Clinic Walls: Expanding Mental Health, Drug and Alcohol Services Research Outside the Specialty Care System, Washington, DC, March 10, 2003

**Ettner SL et al**. *Improving Access to Mental Health Care for Elderly and Disabled Medicare Beneficiaries: Are Carve-out Models a Viable Option?* Poster Presentation at the 2003 AcademyHealth Annual Research Meeting, Nashville, TN, June 29, 2003.

**Ettner SL et al**. *Quality of Care for HMO Patients with Diabetes: Do Physician Reimbursement Incentives Matter?* Poster Presentation at the 2003 AcademyHealth Annual Research Meeting, Nashville, TN, June 29, 2003.

**Ettner SL et al**. *Cost Offset in the California Treatment Outcomes Project (CalTOP) Study*.

Presentation at the 2003 AcademyHealth Annual Research Meeting, Nashville, TN, June 29, 2003.

Schoenbaum M, **Ettner SL**, et al. *The Long-Term Effects of Quality-Improvement Programs for Depression on Patients' Household Wealth*. Presentation at the 2003 Annual Meeting of the International Health Economics Association, San Francisco, CA, June 17, 2003.

**Ettner SL**, et al. *Cost Offset in the California Treatment Outcomes Project (CalTOP) Study*. Presentation at the 2003 Annual Meeting of the International Health Economics Association, San Francisco, CA, June 17, 2003.

**Ettner SL** et al. *Cost Offset in the UCLA Hospitalist-Nurse Practitioner Intervention*. Poster Presentation at the 2003 Annual Meeting of the International Health Economics Association, San Francisco, CA, June 17, 2003.

**Ettner SL** et al. *Quality of Care for HMO Patients with Diabetes: Do Physician Reimbursement Incentives Matter?* Presentation at the 2003 Annual Meeting of the International Health Economics Association, San Francisco, CA, June 17, 2003.

**Ettner SL**. *Bitter Medicine – The Advances in, and Costs of, Stopping Pain*. Panel Participation at Renaissance Weekend, Charleston, SC, December 30, 2003.

**Ettner SL**. *Medicare Revised? Consumer Choice, Industry, Economic & Policy Consequences*. Panel Participation at Renaissance Weekend, Charleston, SC. December 29, 2003.

**Ettner SL**. *Cost Offset in the California Treatment Outcome Project*. Allied Social Science Associations Annual Meeting, San Diego, CA. January 5, 2004.

**Ettner SL**. *A Brief Tutorial on Endogeneity Bias and Instrumental Variables as a Possible Solution*. UCLA/NPI Center for Community Health and Health Services Research Center with the UCLA/Drew EXPORT Center, Los Angeles, CA. March 1, 2004.

**Ettner SL**. *Behavioral Health and Work Productivity Losses: An Overview of the Evidence*. National Managed Health Care Congress Conference, Washington, DC. May 7, 2004

**Ettner SL**. *Methods for Addressing Selection Bias in Observational Studies*. Society of General Internal Medicine Annual Meeting, Chicago, IL, May 15, 2004.

**Ettner SL**. *Methods for Addressing Selection Bias in Observational Studies*. National Research Service Award Training Workshop, San Diego, CA, June 5, 2004.

**Ettner SL**, Conover C. *Services Outcomes and/or Costs*. Panel Presentation at Complexities of Co-Occurring Conditions Conference, Washington, DC, June 23-25, 2004.

Masaquel, A and **SL Ettner**. *How Does the Persistence of Depression Influence the Continuity, Type and Generosity of Health Insurance Coverage?* Presentation at “School, Work, Retirement: Coverage Impacts Across the Lifespan,” Economic Research Initiative on the Uninsured, Ann Arbor, MI, July 7, 2005.

**Ettner SL** et. al. *Does Geographic Access Influence the Use of VA vs. Medicare Services Among Dually Eligible VA Patients with Psychiatric Problems?* International Health Economics Association 5<sup>th</sup> World Congress Conference, Barcelona, Spain, July 11, 2005.

**Ettner SL**, et al. *Do Neighborhood Socioeconomic Characteristics Influence Psychiatric Treatment Patterns Among Managed Behavioral Healthcare Patients? A Multi-Level Modeling Approach.* International Health Economics Association 5<sup>th</sup> World Congress Conference, Barcelona, Spain, July 12, 2005.

Conover C, **Ettner SL**, et al. *Costs of Care for People living with Combined HIV/AIDS, Chronic Mental Illness, and Substance Abuse Disorders.* Addiction Health Services Research Conference, Santa Monica, CA, October 26, 2005.

**Ettner SL**. *Provider Incentives to Improve the Quality of Mental Health Care.* Visiting Professorship Lecture, Program on Health Outcomes, University of North Carolina at Chapel Hill, Chapel Hill, NC, April 7, 2006.

**Ettner SL**, Ang A, Hamilton E, Brown J and Jones E. *Behavioral Health Outcomes: Does Intensity of Treatment Matter? An Instrumental Variables Approach.* American Society of Health Economists First Biennial Meeting, Madison, WI, June 2006.

Ong, M, **Ettner SL**, et al. *Specialty Differences in Appropriate Psychotropic Dosing for Adults.* AcademyHealth Annual Research Conference, Orlando, FL, June 2007.

Ong, M, **Ettner SL**, et al. *Specialty Differences in Appropriate Antidepressant Dosing for Children.* AcademyHealth Annual Research Conference, Orlando, FL, June 2007.

**Ettner SL**, MacLean JC, French MT. *Does Having a Dysfunctional Personality Hurt Your Career? Axis II Personality Disorders and Labor Market Outcomes.* Workplace Mental Health and Addiction: Research, Knowledge and Action. 2<sup>nd</sup> Canadian Congress on Research on Mental Health and Addiction in the Workplace. Vancouver, BC, May 2007.

AA Moore, Ramirez KD, Tallen L, Zamora E, Camacho D, Vie LL, Gewa C, Escobar D, Conroy L, Ang A, Kim S, **Ettner SL**. *Older At-Risk Drinkers in Primary Care.* Research Society on Alcoholism 30<sup>th</sup> Annual Scientific Meeting, Chicago, IL, July 2007.

Kim C, Tierney E, Herman WH, Thompson TJ, Mangione C, **Ettner SL**. *Physician Perception of Reimbursement for Outpatient Procedures and Association with Performance of Procedures Among Managed Care Enrollees with Diabetes.* American Society of Health Economists Second Biennial Meeting, Durham, NC, June 2008.

**Ettner SL**, MacLean JC, French MT. *Does Having a Dysfunctional Personality Hurt Your Career? Axis II Personality Disorders and Labor Market Outcomes*. American Society of Health Economists Second Biennial Meeting, Durham, NC, June 2008.

**Ettner SL**. *Economic Incentives in Mental Health*. Invited Keynote Speech, 30th Australian Conference of Health Economists, Adelaide, South Australia, October 2008.

Shrank W, **Ettner SL**, Slavin PH, Kaplan HJ. *Effect of Physician Reimbursement Methodology on the Rate and Cost of Cataract Surgery*. Kaiser Ophthalmology Symposium, Anaheim California, July 2009.

**Ettner SL**, Steers N, Duru OK, Turk N, Quiter E, Mangione CM. *How Did Medicare Part D Affect Prescription Drug and Medical Expenditures Among Medicare Advantage Enrollees With and Without Gap Coverage? Investigation of a Natural Experiment*. American Society of Health Economists Third Biennial Meeting, Ithaca, NY June 2010.

**Ettner SL**, Xu H, Tseng CH, Mirkin M, Ang A, Tallen L, Moore AA. *The Effect of an Educational Intervention on At-Risk Drinking and Healthcare Utilization Among Older Adults: The Project SHARE Study*. American Society of Health Economists Third Biennial Meeting, Ithaca, NY, June 2010.

**Ettner SL**. *Methods for Addressing Selection Biases in Health Services Research Studies: An Introduction*. Invited Workshop. From Disparities Research to Disparities Interventions: Lessons Learned and Opportunities for the Future of Behavioral Health Services, Washington, DC, April 2011.

Mello M, **Ettner SL**, Epstein A, Volpp K. *Building a Successful Career in Academia*. Expert panel at AcademyHealth, Advance Research, Policy and Practice in Seattle, WA, June 2011.

Ong M, Xu H, Azocar F, Zhang L, **Ettner SL**. *Effect of Medicare Part D Benzodiazepine Exclusion on Psychotropic Use Among Benzodiazepine Users*. NIMH Mental Health Services Research Conference, Washington, DC, July 2011.

**Ettner SL**. *Policy Evaluations Using Administrative Databases: A Research Agenda*. Invited seminar, Washington University in St. Louis, St. Louis, MO, March 2012.

**Ettner SL**. *Does Having a Dysfunctional Personality Hurt Your Career?* UCLA Semel Institute Grand Rounds, Los Angeles, CA, April 17, 2012.

**Ettner SL**. *Methods (“Instrumental Variables 101”)*. Natural Experiments for Translation in Diabetes (NEXT-D) for the CDC Steering Committee Meeting, San Francisco, CA, April 19, 2012.

**Ettner SL**. *Axis II Personality Disorders and Health Behaviors: Some Preliminary Findings*.

UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA, May 4, 2012.

**Ettner SL.** *Methods (“Instrumental Variables 101”)*. CHIME (Center for Health Improvement for Minority Elders / Project EXPORT ( WiP Seminar, Los Angeles, CA, May 21, 2012.

Ong MK, Zhang L, Xu H, Azocar F, **Ettner SL.** *Effect of Medicare Part D Benzodiazepine Exclusion on Psychotropic Use Among Patients with New Anxiety Disorders.* American Society of Health Economists Fourth Biennial Conference, Minneapolis, MN, June 12, 2012.

Gilmer T, **Ettner SL.** *Full Service Partnerships Among Adults with Serious Mental Illness in California: Impact on Days Homeless, Service Utilization, and Costs.* UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA, May 24, 2013.

**Ettner SL,** Azocar F, Friedman S, Harwood J, Ong, MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Behavioral Health Services Access, Utilization and Expenditures.* Virginia Commonwealth University, Department of Health Policy and Management, Richmond, VA. April 3, 2014.

**Ettner SL,** Azocar F, Friedman S, Harwood J, Ong, MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Behavioral Health Services Access, Utilization and Expenditures.* University of Minnesota School of Public Health, Minneapolis, MN. April 4, 2014.

**Ettner SL,** Azocar F, Friedman S, Harwood J, Ong, MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Behavioral Health Services Access, Utilization and Expenditures Among “Carve-Out” Enrollees.* UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA. June 6, 2014.

**Ettner SL,** Azocar F, Friedman S, Harwood J, Ong, MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Behavioral Health Services Access, Utilization and Expenditures Among “Carve-Out” Enrollees.* American Society of Health Economists Fifth Biennial Conference, Los Angeles, CA. June 25, 2014.

Popescu I, Xu H, Krivelyova A, **Ettner SL.** *Racial and Ethnic Disparities in Receipt of Specialty Services among Children with Mental Health Need Enrolled in the Children’s Mental Health Initiative.* American Society of Health Economists Fifth Biennial Conference, Los Angeles, CA. June 23, 2014.

**Ettner SL,** Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA)*

*Evaluation Study: Impact on Utilization and Expenditures Among “Carve-Out” Enrollees with Substance Use Disorders.* 2014 Addiction Health Services Research conference, Boston, Massachusetts. October 16, 2014.

**Ettner SL**, Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Specialty Behavioral Healthcare Benefit Design, Utilization and Expenditures.* UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA. May 22, 2015.

**Ettner SL**, Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Specialty Behavioral Healthcare Benefit Design, Utilization and Expenditures.* Center for Healthcare Informatics and Policy Seminar Series, Department of Healthcare Policy and Research, Weill Cornell Medical College, New York, NY. May 13, 2015.

**Ettner SL**, Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Specialty Behavioral Healthcare Benefit Design, Utilization and Expenditures.* Centre for Addiction and Mental Health (CAMH) and the Canadian Centre for Health Economics (CCHE), University of Toronto, Toronto, Canada. October 9, 2015.

Azocar F, Beidle S, **Ettner SL**, Friedman S, Harwood J, Lambert Johnson L, Thalmayer A (all authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on SUD Benefit Design.* 2015 Addiction Health Services Research conference, Marina del Rey, CA. October 16, 2015.

**Ettner SL.** *Overview of the Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study.* Presented at the “Advancing Research and Policy on Mental Health Addiction Parity” invitation-only policy forum at the Johns Hopkins Bloomberg School of Public Health, Baltimore, MD. October 21, 2015.

**Ettner SL.** *Integrated Behavioral-Medical Care: The Role of Incentives.* Presented by invitation at the Integration of Behavioral Health and Medical Services in the Health Care Delivery System workshop, Steinberg Institute, Oakland, CA. March 29, 2016.

**Ettner SL** (co-presenter and senior author), Moin T (co-presenter and lead author), Steers WN, Duru OK, Turk N, Chan C, Keckhafer AM, Luchs R, Ho S, Mangione CM. *A Comparison of Disease-Specific Health Plans for Patients with Diabetes and Pre-Diabetes: What’s the Bottom Line?* UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA. May 20, 2016.

**Ettner SL.** *Large-Scale Evaluations Using Administrative Databases: A Research Agenda.* Invited keynote lecture, Canadian Health Economics Study Group, Ottawa, Canada. June 1, 2016.



**Ettner SL.** *Beyond Cost-Effectiveness: A Fresh Look at How Economics Can Inform Health Policy, Particularly for Public Health and Preventive Health Care.* PHACTually Speaking seminar, Public Health Agency of Canada, Ottawa, Canada. June 2, 2016.

**Ettner SL.** *Beyond Cost-Effectiveness: A Closer Look at Analytic Methods for Studies Based on Observational Data.* HDCDP Branch Special International Cluster presentation, Public Health Agency of Canada, Ottawa, Canada. June 2, 2016.

**Ettner SL, Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K** (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Financial Requirements and Quantitative Treatment Limits for Substance Abuse Treatment Among “Carve-In” Plans.* American Society of Health Economists (ASHEcon) Sixth Biennial Conference, Philadelphia, PA. June 14, 2016.

**Ettner SL** (presenter and senior author), Moin T (lead author), Steers WN, Duru OK, Turk N, Chan C, Keckhafer AM, Luchs R, Ho S, Mangione CM. *A Comparison of Changes in Medical and Prescription Expenditures Over Time Between Disease-Specific and Standard Benefit Plans: A Natural Experiment for Translation in Diabetes (NEXT-D) Study.* American Society of Health Economists (ASHEcon) Sixth Biennial Conference, Philadelphia, PA. June 14, 2016.

**Ettner SL.** *Improving Access and Treatment of Mental Health and Substance Use Disorders: How Far Can Policy Take Us?* Invited speaker, William Anlyan MD and Richard Jenrette Lectureship, Duke University, Durham, NC. September 20, 2016.

**Ettner SL.** *Improving Access and Treatment of Substance Use Disorders: How Far Can Parity Laws Take Us?* Invited plenary speaker for panel on “Changing Landscapes, Changing Policies,” Addiction Health Services Research Conference, Seattle, WA. October 14, 2016.

Narain KL and **Ettner SL** (co-presenter and senior author). *Racial/Ethnic Disparities in Specialty Behavioral Healthcare Treatment Patterns and Expenditures Among Commercially Insured Patients in Managed Behavioral Healthcare Plans.* UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA. May 12, 2017. Talk open to faculty, fellows & staff.

**Ettner SL** (presenter and first author), Xu H, Azocar F. *What happens when employers switch from a “carve-out” to a “carve-in” model for managed behavioral healthcare benefits? Evaluation of a natural experiment.* American Society of Health Economists (ASHEcon) Seventh Biennial Conference, Atlanta, GA. June 11, 2018.

**Ettner SL, Zinn JS, Xu H, Ladd H, Nuccio E, Sorkin DH, Mukamel D.** *Certificate of Need and the Cost of Competition in Home Healthcare Markets.* American Society of Health Economists (ASHEcon) Seventh Biennial Conference, Atlanta, GA. June 13, 2018.

**Ettner SL** (presenter) and the Office of Diversity, Inclusion & Admissions, UCLA Graduate Division. *Nuts & Bolts of Applying to Graduate School.* Presentation made to McNair Scholars

at Morehouse College. Atlanta, GA. June 12, 2018.

**Ettner SL.** *Two Decades of Federal Mental Health Parity: Impact?* Presented as part of an expert panel on "Population Health: Improving Behavioral Health Services" at the CalPERS Board Offsite meeting, Concord, CA. July 17, 2018.

**Ettner SL** (presenter and first author), Xu H, Azocar F. *What happens when employers switch from a "carve-out" to a "carve-in" model for managed behavioral healthcare benefits? Evaluation of a natural experiment.* 24<sup>th</sup> NIMH Conference on Mental Health Services Research, Bethesda, MD. August 1, 2018.

**Ettner SL, Hernandez J.** *Nuts & Bolts of Applying to Graduate School.* Presentation made to Cross-Disciplinary Scholars in Science and Technology. Los Angeles, CA. September 7, 2018.

**Ettner SL, Zinn JS, Xu H, Ladd H, Nuccio E, Sorkin DH, Mukamel D.** *Certificate of Need and the Cost of Competition in Home Healthcare Markets.* UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA. March 15, 2019. Talk open to faculty, fellows & staff.

**Ettner SL, Hernandez E.** *How to Write A Statement of Purpose.* Presentation made to McNair Scholars and LSAMP Programs, University of Maryland Baltimore County, Baltimore, MD. September 19, 2019.

**Ettner SL, Hernandez E.** *Applying to Graduate School.* Presentation made to Honors College, Coppin State University. Baltimore, MD. September 20, 2019.

**Ettner SL, Alexander T.** *Applying to Graduate School.* Presentation made to Ethel Waddell Githii Honors Program, Spelman College. Atlanta, GA. October 2, 2019.

**Ettner SL.** *Applying to Graduate School.* Presentation made to McNair Scholars Program, Morehouse College. Atlanta, GA. October 3, 2019.

**Ettner SL.** *Endogeneity Bias: A Brief Introduction.* Presentation made to Resource Centers for Minority Aging Research/Center for Health Improvement of Minority Elderly (RCMAR / CHIME) Scholars. UCLA, Los Angeles, CA. January 28, 2020.

**Ettner SL.** *Mental Health Services.* Presentation made to MPH students, Cal State Los Angeles, March 24, 2020.

## **PUBLICATION / BIBLIOGRAPHY**

### **RESEARCH PAPERS**

#### **A. RESEARCH PAPERS – PEER REVIEWED**

1. **Ettner SL.** Do Elderly Medicaid Patients Experience Reduced Access to Nursing Home Care? *Journal of Health Economics.* 1993; 12(3):259-280. PMID: 10171727.
2. **Ettner SL.** The Effect of the Medicaid Home Care Benefit on Long-term Care Choices of the Elderly. *Economic Inquiry.* 1994; 32(1):103-127.
3. **Ettner SL, Weissman J.** Utilization of Formal and Informal Home Care by AIDS Patients in Boston: A Comparison of Intravenous Drug Users and Homosexual Males. *Medical Care.* 1994; 32(5):459-470. PMID: 8182974.
4. Callahan TL, Hall JE, **Ettner SL**, Christiansen CL, Greene MF, Crowley WF. The Economic Impact of Multiple-Gestation Pregnancies and the Contribution of Assisted-Reproductive Techniques to Their Incidence. *New England Journal of Medicine.* 1994; 331(4):244-9. PMID: 8015572
5. **Ettner SL.** The Impact of "Parent Care" on Female Labor Supply Decisions. *Demography.* 1995; 32(1):63-80. PMID: 7774731.
6. **Ettner SL.** The Opportunity Costs of Elder Care. *Journal of Human Resources.* 1996; 31(1): 189-205.
7. **Ettner SL.** New Evidence on the Relationship Between Income and Health. *Journal of Health Economics.* 1996; 15(1): 67-86. PMID: 10157429.
8. **Ettner SL.** The Timing of Preventive Services for Women and Children: The Effect of Having a Usual Source of Care. *American Journal of Public Health.* 1996; 86(12): 1748-1754. PMID: 9003132 PMCID: PMC1380728.
9. **Ettner SL.** Measuring the Human Cost of a Weak Economy: Does Unemployment Lead to Alcohol Abuse? *Social Science and Medicine.* 1997; 44(2): 251-260. PMID: 9015877.
10. **Ettner SL.** Medicaid Participation Among the Eligible Elderly. *Journal of Policy Analysis and Management.* 1997; 16(3):237-255. PMID: 10169692.
11. **Ettner SL.** Adverse Selection and the Purchase of MediGap Insurance by the Elderly. *Journal of Health Economics.* 1997; 16(5):543-562. PMID: 10175630.
12. **Ettner SL.** Mental Health Services Under Medicare: The Influence of Economic Incentives. *Harvard Review of Psychiatry.* 1997; 4(1):283-286. PMID: 9385005.
13. **Ettner SL, Notman E.** How Well Do Ambulatory Care Groups Predict Expenditures of Mental Health and Substance Abuse Patients? *Administration and Policy in Mental Health.* 1997; 24(4): 339-357. PMID: 9217332.
14. **Ettner SL, Frank R, Kessler R.** The Impact of Psychiatric Disorders on Labor Market

Outcomes. *Industrial and Labor Relations Review*. 1997; 51(1):64-81.

15. **Ettner SL**, Hermann RC. Provider Specialty Choice Among Medicare Beneficiaries Treated for Psychiatric Disorders. *Health Care Financing Review*. 1997; 18(3):1-17. PMID: 10173123 PMID: PMC4194498.
16. Perrin J, **Ettner SL**, McLaughlin T, Gortmaker S, Bloom S, Kuhlthau K. State Variations in Supplemental Security Income Enrollment for Children and Adolescents. *American Journal of Public Health*. 1998; 88(6):928-31. PMID: 9618622 PMID: PMC1508231.
17. Hermann RC, **Ettner SL**, Dorwart RA. The Influence of Psychiatric Disorders on Patients' Ratings of Satisfaction with Health Care. *Medical Care*. 1998; 36(5): 720-27. PMID: 9596062.
18. **Ettner SL**, Christiansen CL, Callahan TL, Hall JE. How Low Birthweight and Gestational Age Contribute to Increased Inpatient Costs for Multiple Births. *Inquiry*. Winter 1997/1998; 34: 325-339. PMID: 8015572.
19. **Ettner SL**, Frank RG, McGuire TG, Newhouse JP, Notman E. Risk Adjustment of Mental Health and Substance Abuse Payments. *Inquiry*. 1998; 35(2): 223-239. PMID: 9719789.
20. Hermann RC, **Ettner SL**, Dorwart RA, Hoover CW, Yeung E. Characteristics of Psychiatrists Who Perform ECT. *American Journal of Psychiatry*. 1998; 155(7): 889-894. PMID: 9659852.
21. **Ettner SL**, Hermann RC. Inpatient Psychiatric Treatment of Elderly Medicare Beneficiaries, 1990-1991. *Psychiatric Services*. 1998; 49(9): 1173-1179. PMID: 9735958.
22. Marmot MG, Fuhrer R, **Ettner SL**, Marks NF, Bumpass LL, Ryff CD. Contribution of Psychosocial Factors to Socioeconomic Differences in Health. *The Milbank Quarterly*. 1998; 76(3):403-448. PMID: 9738169 PMID: PMC2751083.
23. Eisenberg D, Davis R, **Ettner S**, Appel S, Wilkey S, Van Rompay M, Kessler R. Trends in Alternative Medicine Use in the United States 1990-1997: Results of a Follow-up National Survey. *Journal of the American Medical Association*. 1998; 280(18): 1569-1575. PMID: 9820257.
24. Thrall JS, McCloskey L, Spivak H, **Ettner SL**, J Tighe, Emans JS. The Performance of Massachusetts HMOs in Providing Pap Smear and Sexually Transmitted Disease Screening to Adolescent Females. *Journal of Adolescent Health*. 1998; 22:184-189. PMID: 9502004.
25. Kuhlthau K, Perrin J, **Ettner SL**, McLaughlin T, Gortmaker S. High-Expenditure

- Children with Supplemental Security Income. *Pediatrics*. 1998; 102(3): 610-15. PMID: 9738184.
26. Perrin J, Kuhlthau K, **Ettner SL**, McLaughlin T, Gortmaker SL. Previous Medicaid Status of Children Newly Enrolled in Supplemental Security Income. *Health Care Financing Review*. 1998; 19:117-127. PMID: PMC4194517.
  27. **Ettner SL**, Hermann RC, Tang H. Differences Between Generalists and Mental Health Specialists in the Psychiatric Treatment of Medicare Beneficiaries. *Health Services Research*. 1999; 34(3): 737-760. PMID: PMC1089035.
  28. **Ettner SL**. The Role of the Public Sector in Ensuring Access to Psychiatric Hospital Care for Elderly and Disabled Medicare Beneficiaries. *Mental Health Services Research*. 1999; 1(1): 21-29.
  29. Perrin J, Kuhlthau K, McLaughlin T, **Ettner SL**, Gortmaker SL. Changing Patterns of Conditions Among Children Receiving Supplemental Security Income Disability Benefits. *Archives of Pediatrics and Adolescent Medicine*. 1999; 153:80-84. PMID: 9895004.
  30. **Ettner SL**. The Relationship Between the Continuity of Care and the Health Behaviors of Patients: Does Having a Usual Physician Make a Difference? *Medical Care*. 1999; 37(6):547-55. PMID: 10386567.
  31. **Ettner SL**. Inpatient Psychiatric Care of Medicare Beneficiaries with State Buy-In Coverage. *Health Care Financing Review*. 1999; 20(2):55-69. PMID: 25372895  
PMCID: PMC4194527.
  32. Hermann RC, **Ettner SL**, et al. Diagnoses of Patients Treated with ECT: A Comparison of Evidence-Based Standards with Reported Use. *Psychiatric Services*. 1999; 50(8): 1059-65. PMID: 10445655.
  33. **Ettner SL**, Frank RG, Mark T, Smith ME. Risk Adjustment of Capitation Payments to Behavioral Health Care Carve-Outs: How Well Do Existing Methodologies Account for Psychiatric Disability? *Health Care Management Science*. 2000; 3:159-169.
  34. Thrall JS, McCloskey L, **Ettner SL**, Rothman E, Tighe JE, Emans SJ. Confidentiality and Adolescents' Use of Providers for Health Information and for Pelvic Examinations. *Archive of Pediatric and Adolescent Medicine*. 2000; 154:885-892. PMID: 10980791.
  35. Grzywacz J, **Ettner SL**. Lost Time on the Job: The Effect of Depression versus Physical Health Conditions. *The Economics of Neuroscience*. 2000; 2(6):41-46.
  36. **Ettner SL**, McLaughlin T, Kuhlthau K, Perrin J, Gortmaker S. Impact of Expanding SSI on Medicaid Expenditures of Disabled Children. *Health Care Financing Review*. 2000;

- 21(3):185-201. PMID: 11481755 PMCID: PMC4194672.
37. **Ettner SL**, Hermann R. The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Hospitalized for Psychiatric Diagnoses. *Journal of Health Economics*. 2001; 20(1):23-49. PMID: 11148870.
  38. **Ettner SL**, Frank RG, McGuire TG, Hermann RC. Risk Adjustment Alternatives in Paying for Behavioral Health Care under Medicaid. *Health Services Research*. 2001;36(4):793-811. PMID: 11508640 PMCID: PMC1089257.
  39. **Ettner SL**. The Setting of Psychiatric Care for Medicare Recipients in General Hospitals with Specialty Units. *Psychiatric Services*. 2001; 52(2): 237-239. PMID: 11157127.
  40. **Ettner SL**, Grzywacz J. Worker Perceptions of How Jobs Affect Health: A Social Ecological Perspective. *Journal of Occupational Health Psychology*. 2001; 6(2):101-113. PMID: 11326723.
  41. Zingmond DS, Lim YW, **Ettner SL**, Carlisle DM. Information Superhighway or Billboards by the Roadside? An Analysis of Hospital Websites. *The Western Journal of Medicine*. 2001; 175(6):385-391. PMID: 11733428 PMCID: PMC1275969.
  42. Barsky AJ, **Ettner SL**, Horsky J, Bates DW. Resource Utilization of Patients with Hypochondriacal Health Anxiety and Somatization. *Medical Care*. 2001; 39:705-15. PMID: 11458135.
  43. Wolsko PM, Eisenberg DM, Davis RB, **Ettner SL**, Phillips RS. Insurance Coverage, Medical Conditions, and Visits to Alternative Medicine Providers: Results of a National Survey. *Archives of Internal Medicine*. 2002; 162:281-287. PMID: 11822920.
  44. Hermann RC, Yang D, **Ettner SL**, Marcus SC, Yoon C, Abraham M. Prescription of Antipsychotic Drugs by Office-based Physicians in the United States, 1989-1997. *Psychiatric Services*. 2002; 53:425-430. PMID: 11919355.
  45. Gregg, E. and the TRIAD Study Group. Translating Research Into Action for Diabetes (TRIAD): A Multi-Center Study of Diabetes in Managed Care. *Diabetes Care*. 2002; 25(2):386-9.
  46. Lorenz K, **Ettner SL**, Rosenfeld K, Carlisle DM, Leake B, Asch SM. Cash and Compassion: Profit Status and the Delivery of Hospice Services. *Journal of Palliative Medicine*. 2002; 5(4):507-514. PMID: 12243675.
  47. Wong MD, Shapiro MF, Boscardin WJ, **Ettner SL**. Contribution of Major Diseases to Disparities in Mortality. *New England Journal of Medicine*. 2002; 347:1585-92 PMID: 12432046.

48. **Ettner SL**, Johnson S. Do Adjusted Clinical Groups Eliminate Incentives for HMOs to Avoid Substance Abusers? Evidence from the Maryland Medicaid HealthChoice Program. *Journal of Behavioral Health Services & Research*. 2003;30(1):63-77. PMID: 12633004.
49. **Ettner SL**, Denmead G, Dilonardo J, Cao H, Belanger A. The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Medicaid Beneficiaries: Maryland's HealthChoice Program. *Journal of Behavioral Health Services & Research*. 2003; 30(1): 41-62. PMID: 12633003.
50. **Ettner SL**, Argeriou M, McCarty D, Dilonardo J, Liu H. How Did the Introduction of Managed Care for the Uninsured in Iowa Affect the Use of Substance Abuse Services? *Journal of Behavioral Health Services & Research*. 2003; 30(1):26-40. PMID: 12645495.
51. **Ettner SL**, Grzywacz J. Socioeconomic Status and Health Among Californians: An Examination of Multiple Pathways. *American Journal of Public Health*. 2003;93(3):441-444. PMID: 12604492 PMCID: PMC1449804.
52. Mark TL, Ozminkowski RJ, Kirk A, **Ettner SL**, Drabek J. Risk Adjustment for People with Chronic Conditions in Private Sector Health Plans. *Medical Decision Making*. 2003;23:397-405. PMID: 14570297.
53. Lorenz KA, Asch SM, Rosenfeld KE, **Ettner SL**. Charity for the Dying: Who Receives Unreimbursed Hospice Care? *Journal of Palliative Medicine*. 2003; 6(4):585-91. PMID: 14516500.
54. Karter AJ, Stevens MR, Herman WH, **Ettner SL**, Marrero DG, Safford MM, Engelgau MM, Curb JD, Brown AF. Out-of-Pocket Costs and Diabetes Preventive Services: The Translating Research Into Action for Diabetes (TRIAD) Study. *Diabetes Care*. 2003;26(8):2294-9. PMID: 12882851.
55. Zingmond DS, Ye Z, **Ettner SL**, and Liu HH. Linking Hospital Discharge and Death Records - Accuracy and Sources of Bias. *Journal of Clinical Epidemiology*. 2004; 57(1):21-29.
56. Wells K, Miranda J, Sherbourne C, Schoenbaum M, **Ettner SL**, Duan N, Rubenstein L, Unutzer J. Five-Year Impact of Quality Improvement for Depression: Results of a Group-Level Randomized Controlled Trial. *Archives of General Psychiatry*. 2004; 61:378-386. PMID: 15066896.
57. Brown AF, **Ettner SL**, Shapiro MF, Piette J, Weinberger M, Gregg E, Beckles GL, Karter AJ, Safford M, Prata PA, Waitzfelder B. Socioeconomic Position and Health for Persons with Diabetes: A Conceptual Framework and Review of the Literature. *Epidemiologic Review*. 2004;26:63-77. PMID: 15234948.

58. Grzywacz JG, Almeida DM, Neupert SD, **Ettner SL**. Socioeconomic Status and Health: A Micro-level Analysis of Exposure and Vulnerability to Daily Stressors. *Journal of Health and Social Behavior*. 2004;45(1):1-16. PMID: 15179904.
59. Lorenz KA, Asch SM, Rosenfeld KE, Liu H, **Ettner SL**. Hospice Admission Practices: Where Does Hospice Fit in the Continuum of Care? *Journal of the American Geriatrics Society*. 2004;52(5):725-30. PMID: 15086652.
60. Lorenz KA, **Ettner SL**, Rosenfeld KE, Liu H, Asch SM. Accommodating Ethnic Diversity: A Study of California Hospice Programs. *Medical Care*. 2004;42:871-874. PMID: 15319612.
61. Shrank WH, **Ettner SL**, Glassman P, Asch SM. A Bitter Pill: Formulary Variability and the Challenge to Prescribing Physicians. *Journal of the American Board of Family Practice* 2004 17:401-407. PMID: 15575031.
62. Conover CJ, **Ettner SL**, Weaver M, Flynn PM, Porto JV, for the HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study Group. Economic Evaluations of HIV Treatment and Health Research with People Diagnosed with HIV Infection and Co-Occurring Mental Health and Substance Use Disorders. *AIDS Care*. 2004 16 (Supplement 1): S121-S136. PMID: 15736825.
63. Shrank WH, Young H, **Ettner SL**, Glassman P, Asch SM, Kravitz R. Do the Incentives in Three-Tier, Incentive-Based Pharmaceutical Benefit Plans Operate As Intended? Results from a Physician Leadership Survey. *The American Journal of Managed Care*. 2005;11(1):16-22. PMID: 15697096.
64. Heslin KC, Andersen RM, **Ettner SL**, Cunningham WE. Racial and Ethnic Disparities in Access to Physicians with HIV-related Expertise: Findings from a Nationally Representative Study. *Journal of General Internal Medicine*. 2005;20(3):283-289. PMID: 15836534 PMID: PMC1490084.
65. Wells K, Sherbourne C, Duan N, Unützer J, Miranda J, Schoenbaum M, **Ettner SL**, Meredith LS, Rubenstein L. Quality Improvement for Depression in Primary Care: Do Patients with Subthreshold Depression Benefit in the Long Run? *The American Journal of Psychiatry*. 2005; 162 (6): 1149-1157. PMID: 15930064.
66. Heslin KC, Andersen RM, **Ettner SL**, Kominski GF, Belin TR, Morgenstern H, Cunningham WE. Do Specialist Self-referral Insurance Policies Improve Access to HIV-Experienced Physicians As a Regular Source of Care? *Medical Care Research and Review*. 2005; 62: 583-600. PMID: 16177459.
67. Wong MD, Tagawa T, Hsieh H, Shapiro MF, Boscardin WJ, **Ettner SL**. Differences in Cause-Specific Mortality Between Latino and White Adults. *Medical Care Research and*



- Review*. 2005; 43 (10): 1058-1062. PMID: 16166877.
68. Wall SP, Ha ES, Habicht M. ER, Wawda H, Merchant GL, **Ettner SL**, Mower WR. Impact of Patient Race on Receiving Head CT During Blunt Head Injury Evaluation. *Academic Emergency Medicine*. 2005;12(9):862-8. PMID: 16141021.
  69. Shrank WH, **Ettner SL**, Slavin P, Kaplan H. Effect of Physician Reimbursement Methodology on the Rate and Cost of Cataract Surgery. *Archives of Ophthalmology*. 2005;123(12):1733-8. PMID: 16344447.
  70. Rosen AK, Christiansen CL, Montez ME, Loveland S, Shokeen P, Sloan KL, **Ettner SL**. Evaluating Risk-Adjustment Methodologies for Patients with Mental Health and Substance Abuse Disorders in the Veterans Health Administration. *International Journal of Healthcare Technology and Management*. 2006;7(1/2):43-81.
  71. **Ettner SL**, Huang D, Evans E, Rose Ash D, Hardy M, Jourabchi M, Hser YI. Benefit-cost in the California Treatment Outcome Project: Does Substance Abuse Treatment "Pay for Itself"? *Health Services Research*. 2006;41(1):192-213. PMCID: PMC1681530.
  72. **Ettner SL**, Kotlerman J, Afifi A, Vazirani S, Hays RD, Shapiro M, Cowan M. An Alternative Approach to Reducing the Costs of Patient Care? A Controlled Trial of the Multi-Disciplinary Doctor-Nurse Practitioner (MDNP) Model. *Medical Decision Making*. 2006;26(1):9-17. PMID: 16495196.
  73. Cowan MJ, Shapiro M, Hays RD, Afifi A, Vazirani S, **Ettner SL**. The Effect of a Multidisciplinary, Hospitalist/Physician and Advanced Practice Nurse Collaboration on Hospital Costs. *Journal of Nursing Administration*. 2006; 36(2):79-85. PMID: 16528149.
  74. Hays, RD, Eastwood J, Kotlerman J, Spritzer KL, **Ettner SL**, Cowan M. Health-related Quality of Life and Patient Reports About Care Outcomes in a Multidisciplinary Hospital Intervention. *Annals of Behavioral Medicine*. 2006;31(2):173-8. PMID: 16542132.
  75. Shrank WH, Fox SA, Kirk A, **Ettner SL**, Cantrell CH, Glassman P, Asch SM. The Effect of Pharmacy Benefit Design on Patient-Physician Communication About Costs. *Journal of General Internal Medicine*. 2006;21(4):334-339. PMCID: PMC1484717.
  76. Conover CJ, **Ettner SL**, Weaver M, Ang A, Arno P, Flynn PM. Income and Employment of People Living with Combined HIV/AIDS, Chronic Mental Illness and Substance Abuse Disorders. *The Journal of Mental Health Policy and Economics*. 2006 June; 9 (2): 71-86.
  77. FitzGerald J, Mangione C, Boscardin W, Kominski G, Hahn B, **Ettner SL**. Impact of Changes in Medicare Home Health Care Reimbursement on Month-to-Month Home Health Utilization Between 1996 and 2001 for a National Sample of Patients Undergoing Orthopedic Procedures. *Medical Care*. 2006 44(9):870-878.

78. Shrank WH, Hoang T, **Ettner SL**, Glassman PA, Nair K, DeLapp D, Dirstine J, Avorn J, Asch SM. The Implications of Choice: Prescribing Generic or Preferred Pharmaceuticals Improves Medication Adherence for Chronic Conditions. *Archives of Internal Medicine*. 2006 Feb 13;166(3):332-7.
79. **Ettner SL**, Thompson TJ, Stevens MR, Mangione CM, Kim C, Steers WN, Goewey J, Brown AF, Chung RS, Narayan KMV and the TRIAD Study Group. Are Physician Reimbursement Strategies Associated with Processes of Care and Patient Satisfaction for Patients with Diabetes in Managed Care? *Health Services Research*. 2006 Aug;41(4 Pt 1):1221-41. PMID: PMC1797087.
80. Shrank WH, Asch SM, Joseph GJ, Young HN, **Ettner SL**, Kholodenko Y, Glassman P, Kravitz RL. Physicians' Perceived Knowledge of and Responsibility for Managing Patients' Out-of-Pocket Costs for Prescription Drugs. *The Annals of Pharmacotherapy*. 2006 Sep;40(9):1534-40.
81. Karter AJ, Parker AM, Moffet HH, Spence MM, Chan J, **Ettner SL**, Selby JV. Longitudinal Study of New and Prevalent Use of Self-Monitoring of Blood Glucose. *Diabetes Care*. 2006 Aug;29(8):1757-63. PMID: PMC2213628.
82. Shrank WH, Joseph GJ, Choudhry NK, Young HN, **Ettner SL**, Glassman P, Asch SM, Kravitz RL. Physicians' Perceptions of Relevant Prescription Drug Costs: Do Costs to the Individual Patient or the Population Matter Most? *The American Journal of Managed Care*. 2006 Sep;12(9):545-51.
83. Liu JH, Zingmond DS, McGory ML, SooHoo NF, **Ettner SL**, Brook RH, Ko CY. Disparities in the Utilization of High Volume Hospitals for Complex Surgery: Analysis of a Structural Measure of Quality. *The Journal of the American Medical Association*. 2006 Oct; 296:1973-1980.
84. Wong MD, Chung AK, Boscardin WJ, Li M, Hsieh H, **Ettner SL**, Shapiro, MF. The Contribution of Specific Causes of Death to Sex Differences in Mortality. *Public Health Reports*. 2006 Nov-Dec.; 121:746-754. PMID: PMC1781916.
85. Montez-Rath ME, Christiansen CL, **Ettner SL**, Loveland S and Rosen AK. Performance of Statistical Models to Predict Mental Health and Substance Abuse Cost. *BMC Medical Research Methodology*. 2006 Oct 26;6(53):1-11. PMID: PMC1636059.
86. Zingmond DZ, **Ettner SL**, Cunningham WE. The Impact of Managed Care on Access to Highly Active Anti-Retroviral Therapy and on Outcomes Among Medicaid Beneficiaries with AIDS. *Medical Care Research and Review*. 2007 Feb;64(1):66-82.
87. FitzGerald JD, Boscardin W, Hahn B, **Ettner S**. Impact of the Medicare Short Stay Transfer Policy on Patients Undergoing Major Orthopedic Surgery. *Health Services*

- Research*. 2007 Feb; 42(1Pt 1):25-44. PMID: PMC1955238.
88. Kim C, Steers WN, Herman WH, Mangione CM, Narayan KMV, **Ettner SL**. Physician Compensation from Salary and Quality of Diabetes Care. *The Journal of General Internal Medicine*. 2007 Apr; 22(4):448-52. PMID: PMC1829429.
  89. Afifi A, Kotlerman J, **Ettner SL**, Cowan M. Methods for Improving Regression Analysis for Skewed Continuous or Counted Responses. *Annual Review of Public Health*. 2007;28:95-111.
  90. Dewa CS, McDaid D, **Ettner SL**. An International Perspective on Worker Mental Health Problems: Who Bears the Burden and How are Costs Addressed? *Canadian Journal of Psychiatry*. 2007 Jun;52(6):346-56. PMID: 17696020
  91. Karter A, Parker M, Moffet H, Ameena A, Chan J, Spence M, Selby J, **Ettner SL**. Effect of Cost-Sharing Changes on Self-Monitoring of Blood Glucose. *American Journal of Managed Care*. 2007 Jul;13(7):408-16. PMID: 17620036 PMID: PMC2292835.
  92. Shrank WH, Stedman M, **Ettner SL**, DeLapp D, Dirstine J, Brookhart MA, Fischer MA, Avorn J, Asch SM. Patient, Physician, Pharmacy, and Pharmacy Benefit Design Factors Related to Generic Medication Use. *The Journal of General Internal Medicine*. 2007 Sep;22(9):1298-304. PMID: 17647066 PMID: PMC2219782.
  93. Masaquel A, Wells K, **Ettner SL**. How Does the Persistence of Depression Influence the Continuity, Type and Generosity of Health Insurance Coverage? *The Journal of Mental Health Policy and Economics*. 2007 Sep; 10(3): 133-144. PMID: 17890830
  94. Karter AJ, Stevens MR, Brown AF, Duru OK, Gregg EW, Gary TL, Beckles GL, Tseng CW, Marrero DG, Waitzfelder B, Herman WH, Piette JB, Safford MM and **Ettner SL**. Educational Disparities in Health Behaviors Among Patients with Diabetes: the Translating Research Into Action for Diabetes (TRIAD) Study. *BMC Public Health*. 2007 Oct; 7(308): 1-9. PMID: PMC2238766.
  95. Gary TL, Safford MM, Gerzoff RB, **Ettner SL**, Karter AJ, Beckles GL, Brown AF. Perception of Neighborhood Problems, Health Behaviors, and Diabetes Outcomes Among Adults with Diabetes in Managed Care: the Translating Research Into Action for Diabetes (TRIAD) Study. *Diabetes Care*. 2008 Feb;31(2):273-8. PMID: 18000180
  96. Karter AJ, Stevens MR, Gregg EW, Brown AF, Tseng CW, Marrero DG, Duru OK, Gary TL, Piette JD, Waitzfelder B, Herman WH, Beckles GL, Safford MM, **Ettner SL**. Educational Disparities in Rates of Smoking Among Diabetic Adults: the Translating Research Into Action for Diabetes Study. *American Journal of Public Health*. 2008 Feb;98(2):365-70. PMID: PMC2376886.
  97. Weaver MR, Conover CJ, Proescholdbell RJ, Arno PS, Ang A, **Ettner SL**. Utilization of

- Mental Health and Substance Abuse Care for People Living With HIV/AIDS, Chronic Mental Illness, and Substance Abuse Disorders. *Journal of Acquired Immune Deficiency Syndromes*. 2008 Apr 1;47(4):449-58. PMID: 18197121
98. Huh S, Rice T, **Ettner SL**. Prescription Drug Coverage and Effects on Drug Expenditures Among Elderly Medicare Beneficiaries. *Health Services Research*. 2008 Jun; 43(3):810-32. PMID: PMC2442248.
  99. Carey K, Montez-Rath ME, Rosen AK, Christiansen CL, Loveland S, **Ettner SL**. Use of VA and Medicare Services by Dually Eligible Veterans with Psychiatric Problems. *Health Services Research*. 2008 August; 43(4): 1164-1183. PMID: PMC2517276.
  100. French MT, Maclean JC, **Ettner SL**. Drinkers and Bettors: Investigating the Complementarity of Alcohol Consumption and Problem Gambling. *Drug and Alcohol Dependence*. 2008; 96: 155-164. PMID: PMC2710110.
  101. Sadhu AR, Ang A, Bryer-Ash M, Hsueh W, **Ettner SL**. Economic Benefits of Intensive Insulin Therapy in Critically Ill Patients: The TRIUMPH Project. *Diabetes Care*. 2008 Aug; 31(8): 1556-61. PMID: PMC2494645.
  102. O'Neill SM, **Ettner SL**, Lorenz KA. Paying the Price at the End of Life: A Consideration of Factors that Affect the Profitability of Hospice. *Journal of Palliative Medicine*. 2008 Sep;11(7):1002-8. PMID: PMC2988453.
  103. **Ettner SL**, Conover CJ, Proescholdbell RJ, Weaver MR, Ang A, Arno PS, and the HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study Group. Triply-Diagnosed Patients in the HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study: Patterns of Home Care Use. *AIDS Care*. 2008 Nov;20(10):1177-89. [Not subject to NIH open access policy; grant funding ended prior to FY08]
  104. Kim C, Tierney E, Herman WH, Thompson TJ, Mangione C, **Ettner SL**. Physician Perception of Reimbursement for Outpatient Procedures and Association with Performance of Procedures Among Managed Care Enrollees with Diabetes. *American Journal of Managed Care*. 2009 Jan; 15(1): 32-8. PMID: PMC3833066.
  105. Wong MD, Boscardin WJ, **Ettner SL**, Li M, Harless C, Cao H, Shapiro MF. The Contribution of Cancer Incidence, Stage at Diagnosis and Survival to Racial Differences in Life Expectancy: Implications for Prevention, Screening and Treatment. *Journal of General Internal Medicine*. 2009 Apr;24(4):475-81. PMID: PMC2659154.
  106. O'Neill SM, **Ettner SL**, Lorenz KA. Are Rural Hospices at a Financial Disadvantage? Evidence from California. *Journal of Pain and Symptom Management*. 2009 Feb;37(2):189-95. PMID: PMC2988453.
  107. Schmittiel J, **Ettner SL**, Fung V, Huang J, Turk N, Swain B, Hsu J, Mangione C. The

- Medicare Part D Coverage Gap and Diabetes Beneficiaries. *American Journal of Managed Care*. 2009 Mar;15(3):189-93. PMID: PMC2824425.
108. Weaver MR, Conover CJ, Proescholdbell RJ, Arno PS, Ang A, Uldall KK, **Ettner SL**, for the HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study Group. Cost-effectiveness Analysis of Integrated Care for People with HIV, Chronic Mental Illness and Substance Abuse Disorders. *Journal of Mental Health Policy and Economics*. 2009 Mar;12(1):33-46. [Not subject to NIH open access policy; grant funding ended prior to FY08]
  109. Gilmer TP, Manning WG, **Ettner SL**. A Cost Analysis of San Diego County's REACH Program for Homeless Persons. *Psychiatric Services*. 2009 Apr;60(4):445-50. [Not subject to NIH open access policy; no NIH grant funding used]
  110. Butler A, Grzywacz J, **Ettner SL**, Liu B. Workplace Flexibility, Self-Reported Health, and Healthcare Utilization. *Work and Stress*. 2009 Jan-Mar; 23(1):45-59. [Not subject to NIH open access policy; no NIH grant funding used]
  111. **Ettner SL**, Cadwell BL, Russell LB, Brown A, Karter AJ, Safford M, Mangione C, Beckles G, Herman WH, Thompson T. Investing Time in Health: Do Socioeconomically Disadvantaged Patients Spend More or Less Extra Time on Diabetes Self-Care? *Health Economics*. 2009 Jun;18(6):645-63. PMID: PMC2907112.
  112. FitzGerald J, Boscardin WJ, **Ettner SL**. Changes in Regional Variation of Medicare Home Health Care Utilization and Service Mix for Patients Undergoing Major Orthopedic Procedures in Response to Changes in Reimbursement Policy. *Health Services Research*. 2009 Aug;44(4): 1232-1252. PMID: PMC2739026.
  113. Duru OK, **Ettner SL**, Vassar SD, Vickrey BG. Cost Evaluation of a Coordinated Care Management Intervention for Dementia. *American Journal of Managed Care*. 2009 Aug;15(8):521-8. PMID: PMC2770874.
  114. Yoon J, **Ettner SL**. Cost-Sharing and Adherence to Antihypertensives for Low and High Adherers. *American Journal of Managed Care*. 2009 Nov;15(11):833-40. [Not subject to NIH open access policy; no NIH grant funding used]
  115. Conover CJ, Weaver MR, Ang A, Arno PS, Flynn PM, **Ettner SL**. The HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study Group. Costs of Care for People Living with Combined HIV/AIDS, Chronic Mental Illness, and Substance Abuse Disorders. *AIDS Care*. 2009 Dec; 21(12):1547-1559. [Not subject to NIH open access policy; grant funding ended prior to FY08]
  116. Kuhlthau K, Kahn R, Hill KS, Gnanasekaran S, **Ettner SL**. The Well-Being of Parental Caregivers of Children with Activity Limitations. *Maternal and Child Health Journal*. 2010 Mar;14(2):155-63. [Not subject to NIH open access policy; grant funding ended

prior to FY08]

117. Raghavan R, Inoue M, **Ettner SL**, Hamilton BH, Landsverk J. Receipt of Mental Health Services Consistent with National Standards Among Children in the Child Welfare System. *American Journal of Public Health*. 2010 Apr;100(4):742-9. PMID: PMC2836330.
118. Pevnick JM, Asch SM, Adams JL, Mattke S, Patel MH, **Ettner SL**, Bell DS. Adoption and Usage of Stand-Alone Electronic Prescribing in a Health-Plan Sponsored Initiative. *American Journal of Managed Care*. 2010 Mar; 16(3):182-9. [Not subject to NIH open access policy; no NIH grant funding used]
119. Duru OK, Mangione CM, Hsu J, Steers WN, Quiter E, Turk N, **Ettner SL**, Schmittdeil JS, Tseng CW. Generic-only Drug Coverage in the Medicare Part D Gap and Impact on Medication Cost-Cutting Behaviors for Patients With Diabetes: The Translating Research Into Action for Diabetes (TRIAD) Study. *Journal of the American Geriatrics Society*. 2010 May 1;58(5):822-8. PMID: PMC3125132.
120. **Ettner SL**, Steers N, Duru OK, Turk N, Quiter E, Schmittdiel J, Mangione CM. Entering and Exiting the Medicare Part D Coverage Gap: Role of Comorbidities and Demographics. *Journal of General Internal Medicine*. 2010 Jun; 25(6):568-74. PMID: PMC2869422.
121. Gilmer T, Stefancic A, **Ettner SL**, Manning WG, Tsemberis S. Effect of Full-Service Partnerships on Homelessness, Use and Costs of Mental Health Services, and Quality of Life Among Adults with Serious Mental Illness. *Archives of General Psychiatry*. 2010 Jun;67(6):645-52. [Not subject to NIH open access policy; no NIH grant funding used]
122. Schmittdiel JA, Steers N, Duru OK, **Ettner SL**, Brown AF, Fung V, Hsu J, Quiter E, Tseng CW, Mangione CM. Patient-Provider Communication Regarding Drug Costs in Medicare Part D Beneficiaries with Diabetes: a TRIAD Study. *BMC Health Services Research*. 2010 Jun 14;10(164). PMID: PMC2893177.
123. **Ettner SL**, French MT, Popovici I. Heavy Drinking and Health Promotion Activities. *Social Science & Medicine*. 2010 Jul;71(1):134-42. PMID: PMC2908481.
124. Kelley AS, Morrison RS, Wenger NS, **Ettner SL**, Sarkisian CA. Determinants of Treatment Intensity for Patients with Serious Illness: A New Conceptual Framework. *Journal of Palliative Medicine*. 2010 Jul;13(7):807-13. [Not subject to NIH open access policy; no NIH grant funding used]
125. Conover CJ, Weaver MR, Arno PS, Ang A, **Ettner SL**. Insurance Coverage Among People Living with Combined HIV/AIDS, Chronic Mental Illness, and Substance Abuse Disorders. *Journal of Health Care for the Poor and Underserved*. 2010 Aug;21(3):1006-30. [Not subject to NIH open access policy; grant funding ended prior to FY08]

126. Barnes A, Moore AA, Xu H, Ang A, Tallen L, Mirkin M, **Ettner SL**. Prevalence and Correlates of At-Risk Drinking Among Older Adults: The Project SHARE Study. *Journal of General Internal Medicine*. 2010 Aug;25(8):840-6. PMID: PMC2896609.
127. **Ettner SL**, Azocar F, Branstrom R, Meredith L, Zhang L, Ong M. Association of General Medical and Psychiatric Comorbidities with Receipt of Guideline-Concordant Care for Depression. *Psychiatric Services*. 2010 Dec;61(12):1255-1259. PMID: PMC3776027.
128. Zima BT, Bussing R, Tang L, Zhang L, **Ettner SL**, Belin T, Wells K. Quality of Care for Childhood Attention-Deficit/Hyperactivity Disorder in a Managed Care Medicaid Program. *Journal of the American Academy of Child and Adolescent Psychiatry*. 2010 Dec;49(12):1225-1237.e11. PMID: PMC3018146.
129. Fish JS, **Ettner SL**, Ang A, Brown AF. Association of Perceived Neighborhood Safety and Body Mass Index. *American Journal of Public Health*. 2010 Nov; 100(11): 2296-2303. PMID: PMC2951920.
130. Duru OK, Xu H, Tseng C, Mirkin M, Ang A, Tallen L, Moore AA, **Ettner SL**. Correlates of Alcohol-Related Discussions Between Older Adults and their Physicians. *Journal of the American Geriatric Society*. 2010 Dec;58(12):2369-74. PMID: PMC3058868.
131. Waitzfelder B, Gerzoff RB, Karter AJ, Crystal S, Bair MJ, **Ettner SL**, Brown AF, Subramanian U, Lu S, Marrero D, Herman WH, Selby JV, Dudley RA. Correlates of Depression Among People with Diabetes: The Translating Research Into Action for Diabetes (TRIAD) study. *Primary Care Diabetes*. 2010 Dec;4(4):215-22. PMID: 20832375 PMID: PMC4269468.
132. **Ettner SL**, MacLean JC, French MT. Does Having a Dysfunctional Personality Hurt Your Career? Axis II Personality Disorders and Labor Market Outcomes. *Industrial Relations*. 2011 Jan; 50(1):149-173. PMID: PMC3204880.
133. Kelley AS, **Ettner SL**, Morrison S, Du Q, Wenger NS, Sarkisian CA. Determinants of Medical Expenditures in the Last 6 Months of Life. *Annals of Internal Medicine*. 2011 Feb 15;154(4):235-42. PMID: PMC4126809.
134. Cheng E, Cunningham W, Towfighi A, Sanossian N, Bryg R, Anderson T, Guterman J, Gross-Schulman S, Beaney S, Jones A, Liu H, **Ettner SL**, Saver J, Vickrey BG. Randomized Controlled Trial of an Intervention to Enable Stroke Survivors Throughout the Los Angeles County Safety Net to “Stay With the Guidelines.” *Circulation-Cardiovascular Quality and Outcomes*. 2011 Mar 1;4(2):229-234. PMID: PMC3065242.

135. Meredith LS, Branstrom R, Azocar F, Fikes R, **Ettner SL**. A Collaborative Approach to Identifying Effective Incentives for Mental Health Clinicians to Improve Depression Care in a Large Managed Behavioral Healthcare Organization. *Administration and Policy in Mental Health and Mental Health Services Research*. 2011 May; 38(3):193-202. PMID: PMC3041867.
136. Walling AM, **Ettner SL**, Barry T, Yamamoto MC, Wenger NS. Missed Opportunities: Use of an End-of-Life Symptom Management Order Protocol Among Inpatients Dying Expected Deaths. *Journal of Palliative Medicine*. 2011 Apr;14(4):407-12. PMID: PMC3070140.
137. Zingmond DS, **Ettner SL**, Wilber KH, Wenger NS. Association of Claims-Based Quality of Care Measures with Outcomes Among Community Dwelling Vulnerable Elders. *Medical Care*. 2011 Jun 14;49(6):553-559. PMID: PMC2849015.
138. McEwen L, Kim C, **Ettner SL**, Herman WH, Brown A. Competing Demands for Time and Self-Care Behaviors, Processes of Care and Intermediate Outcomes Among People with Diabetes: Translating Research Into Action for Diabetes (TRIAD). *Diabetes Care*. 2011 May; 34(5):1180-2. PMID: PMC3114509.
139. **Ettner SL**, Steers NW, Turk N, Quiter ES, Mangione CM. Drug Benefit Changes Under Medicare Advantage Part D: Heterogeneous Effects on Pharmaceutical Use and Expenditures. *Journal of General Internal Medicine*. 2011 Oct; 26(10):1195-200. PMID: PMC3181315.
140. Bao Y, Casalino L, **Ettner SL**, Bruce M, Solberg L, Unützer J. Designing Payment for Collaborative Care for Depression in Primary Care. *Health Services Research*. 2011 Oct; 46(5): 1436-1451. PMID: PMC3168701.
141. Kelley AS, **Ettner SL**, Wenger NS, Sarkisian CA. Determinants of Death in the Hospital Among Older Adults. *Journal of the American Geriatrics Society*. 2011 Dec; 59(12):2321-5. PMID: PMC4051314
142. Kelley AS, **Ettner SL**, Morrison RS, Du Q, Sarkisian CA. Disability and Decline in Physical Function Associated with Hospital Use at End of Life. *Journal of General Internal Medicine*. 2012 Jul;27(7):794-800. PMID: PMC3378753.
143. Raghavan R, Brown DS, Thompson H, **Ettner SL**, Clements LM, Key W. Medicaid Expenditures on Psychotropic Medications for Children in the Child Welfare System. *Journal of Child and Adolescent Psychopharmacology*. 2012 Jun; 22(3):182-9. PMID: PMC3373221.
144. Ong, M. K., Zhang, L., Xu, H., Azocar, F., **Ettner, SL**. Medicare Part D Benzodiazepine Exclusion and Use of Psychotropic Medication by Patients With New Anxiety Disorders. *Psychiatric Services*. 2012 Jul; 63(7):637-42. PMID: PMC4402160.



145. Ong MK, Xu H, Zhang L, Azocar F, **Ettner SL**. Effect of Medicare Part D Benzodiazepine Exclusion on Psychotropic Use Among Benzodiazepine Users. *Journal of the American Geriatrics Society*. 2012 Jul; 60(7):1292-1297. PMID: PMC4387568.
146. Lee CI, Ponce NA, **Ettner SL**, Kahn KL, Bassett LW, Forman HP. Ordering of CT by Emergency Department Provider Type: Analysis of a Nationally Representative Sample. *American Journal of Roentgenology*. 2012 Nov;199(5):1054-9. PMID: 23096179. [Not subject to NIH open access policy; no NIH grant funding used]
147. Williams J, Steers WN, **Ettner SL**, Mangione CM, Duru OK. Cost-Related Nonadherence by Medication Type Among Medicare Part D Beneficiaries With Diabetes. *Medical Care*. 2013 Feb; 51(2):193-198. PMID: 23032359. PMID: PMC3780603.
148. Kimbro LB, Steers WN, Mangione CM, Duru OK, **Ettner SL**. The Association of Depression and the Cardiovascular Risk Factors of Blood Pressure, HbA1C, and Body Mass Index Among Patients with Diabetes: Results from the Translating Research Into Action for Diabetes (TRIAD) study. *International Journal of Endocrinology*. 2012 Nov. PMID: 23227045 PMID: PMC3512290.
149. Fitzgerald JD, Weng H, Soohoo N, **Ettner SL**. Regional Variation in Acute Care Length of Stay After Total Joint Replacement Surgery and Hip Fracture Surgery. *Journal of Hospital Administration*. 2013; 2(4):71-81. PMID: 24363789 PMID: PMC3869384.
150. Li R, Bilik D, Brown MB, Zhang P, **Ettner SL**, Ackermann RT, Crosson J, Herman WH. Medical Costs Associated with Type 2 Diabetes Complications and Co-morbidities. *The American Journal of Managed Care*. 2013; 19(5):421-430. PMID: 23781894 PMID: PMC4337403.
151. Duru OK, Mangione CM, Chan C, Keckhafer A, Kimbro L, Kirvan KA, Turk N, Luchs R, Li J, **Ettner SL**. Evaluation of the diabetes health plan to improve diabetes care and prevention. *Preventive Chronic Disease*. 2013 Jan; 10:1-4. PMID23369769. PMID: PMC3562175.
152. Lawson EH, Lee Hall B, Louie R, **Ettner SL**, Zingmond DS, Han L, Rapp M, Ko CY. Association Between Occurrence of a Postoperative Complication and Readmission: Implications for Quality Improvement and Cost Savings. *Annals of Surgery*. 2013 Jul; 258(1):10-18. PMID: 23579579. [Not subject to NIH open access policy; no NIH grant funding used]
153. Duru OK, **Ettner SL**. Turk N, Mangione CM, Brown AF, Fu J, Simien L, Tseng CW. Potential Savings Associated with Drug Substitution in Medicare Part D: the Translating Research into Action for Diabetes (TRIAD) Study. *Journal of General Internal Medicine*. 2014; 29(1):230–6. PMID: 23975059 PMID: PMC3889972.

154. Maclean JC, Xu H, French MT, **Ettner SL**. Mental Health and High-Cost Health Care Utilization: New Evidence from Axis II Disorders. *Health Services Research*. 2014 Apr; 49(2):683-704. PMID: 24117342 PMCID: PMC3976193.
155. Maclean JC, Xu H, French MT, **Ettner SL**. Mental Health and Risky Sexual Behaviors: Evidence from DSM-IV Axis II Disorders. *The Journal of Mental Health Policy and Economics*. 2013 Dec; 16(4):187-208. PMID: 24526587. [Not subject to NIH open access policy; study not supported by NIH grant funding]
156. Walling AM, Tisnado D, Asch SM, Malin JM, Pantoja P, Dy SM, **Ettner SL**, Zisser AP, Schreibeis-Baum H, Lee M, Lorenz KA.. The Quality of Supportive Cancer Care in the Veterans Affairs Health System and Targets for Improvement. *JAMA Internal Medicine*. 2013; 173(22):2071-2079. PMID: 24126685. [Not subject to NIH open access policy; no NIH grant funding used].
157. Yan T, Xu H, **Ettner SL**, Barnes AJ, Moore AA. At-risk drinking and Outpatient healthcare expenditures in older adults. *Journal of the American Geriatric Society*. 2014 Feb; 62(2):325-8. PMID: 24417471 PMCID: PMC3954600.
158. Maclean JC, Xu H, French M, **Ettner SL**. Personality Disorders and Body Weight. *Economics & Human Biology*. 2014 Jan; 12:153-171. PMID 24268441 [Not subject to NIH open access policy; no NIH grant funding used]
159. **Ettner SL**, Xu, H, Duru OK, Ang A, Tseng C H, Tallen L, Barnes A, Mirkin M, Ransohoff K, Moore A. The Effect of an Educational Intervention on Alcohol Consumption, At-Risk Drinking, and Health Care Utilization In Older Adults: The Project SHARE Study. *Journal of Studies on Alcohol and Drugs*. 2014 May; 75(3):447-57. PMID: 24766757 PMCID: PMC4002858.
160. Kimbro LB, Li J, Turk N, **Ettner SL**, Moin T, Mangione CM, Duru OK. Optimizing Enrollment in Employer Health Programs: A Comparison of Enrollment Strategies in the Diabetes Health Plan. *American Journal of Managed Care*. 2014;20(8):e311-9. PMCID: PMC4353493.
161. Chung B, Ong M, **Ettner SL**, Jones F, Gilmore J, McCreary M, Sherbourne C, Ngo V, Koegel P, Tang L, Dixon E, Miranda J, Belin T, Wells K. 12-Month Outcomes of Community Engagement Versus Technical Assistance To Implement Depression Collaborative Care: A Partnered, Cluster, Randomized, Comparative Effectiveness Trial. *Annals of Internal Medicine*. 2014 Nov; 161: s23-s34. PMID: 25402400 PMCID: PMC4235578.
162. Yala SM, Duru OK, **Ettner SL**, Turk N, Mangione CM, Brown AF. Patterns of Prescription Drug Expenditures and Medication Adherence Among Medicare Part D Beneficiaries with and without the Low-Income Supplement. *BMC Health Services*

- Research*. 2014 Dec; 14(1):665 [Epub ahead of print] PMID: 25526892 PMCID: PMC4302141.
163. Gilmer T, Stefancic A, Tsemberis S, **Ettner SL**. Full Service Partnerships Among Adults with Serious Mental Illness in California: Impact on Utilization and Costs. *Psychiatric Services*. 2014 Sep; 65(9):1120-5. PMID: 24829104. [Not subject to NIH open access policy; no NIH grant funding used].
  164. Kimbro LB, Mangione CMM, Steers WN, Duru OK, McEwen L, Karter A, **Ettner SL**. Depression and All-Cause Mortality In Persons With Diabetes Mellitus: Are Older Adults at Higher Risk? Results from the Translating Research Into Action for Diabetes Study. *Journal of the American Geriatric Society*. 2014 Jun; 62(6):1017-22. PMID: 24823259 PMCID: PMC4057963.
  165. Duru OK, Edgington S, Mangione CM, Turk N, Tseng CH, Kimbro L, **Ettner SL**. Association of Medicare Part D Low-Income Cost Subsidy Program Enrollment With Increased Fill Adherence To Clopidogrel After Coronary Stent Placement. *Pharmacotherapy*. 2014 Dec; 34(12):1230-8. PMID: 25314343 PMCID: PMC4276510.
  166. Dawes AJ, Louie R, Nguyen DK, Maggard-Gibbons M, Parikh P, **Ettner SL**, Ko CY, Zingmond DS. The Impact of Continuous Medicaid Enrollment on Diagnosis, Treatment, And Survival in Six Surgical Cancers. *Health Services Research*. 2014 Dec; 49(6):1787-811. PMID: 25256223 PMCID: PMC4254125.
  167. Popescu I, Xu H, Krivelyova A, **Ettner SL**. Disparities in Receipt of Specialty Services Among Children with Mental Health Need Enrolled in the Children's Mental Health Initiative. *Psychiatric Services*. 2015 Mar 1; 66(3):242-8. PMID: 25727111. [Not subject to NIH open access policy; no NIH grant funding used].
  168. Moin T, Li J, Duru K, **Ettner SL**, Turk N, Keckhafer A, Ho S, Mangione CM. Metformin Prescription for Insured Adults with Prediabetes from 2010 to 2012: A Retrospective Cohort Study. *Annals of Internal Medicine*. 2015 Apr 21; 162(8):542-8. PMID: 25894024 PMCID: 4682357.
  169. Duru OK, Turk N, **Ettner SL**, Neugebauer R, Moin T, Li J, Kimbro LB, Chan C, Luchs RH, Keckhafer A, Kirvan A, Ho S, Mangione CM. Adherence to Metformin, Statins, and ACE/ARBs Within the Diabetes Health Plan (DHP). *Journal of General Internal Medicine*. 2015 May; 30(11): 1645-50. PMID: 25944019 PMCID: PMC4617948.
  170. Duru OK, Xu H, Mirkin M, Ang A, Tallen L, Moore AA, Tseng CH, **Ettner SL**. Examining the Impact of Separate Components of a Multicomponent Intervention Designed to Reduce At-Risk Drinking Among Older Adults: The Project SHARE Study. *Journal of Substance Abuse Treatment*. 2015 July; 39(7):1227-35. PMID: 26033430 PMCID: PMC4631261.

171. Maclean JC, Webber D, French MT, **Ettner SL**. The Health Consequences of Adverse Labor Market Events: Evidence from Panel Data. *Industrial Relations*. 2015 July; 54(3): 478-98. [Not subject to NIH open access policy; no NIH grant funding used].
172. Barnes AJ, Xu H, Tseng CH, Ang A, Tallen L, Moore AA, Marshall DC, Mirkin M, Ransohoff K, Duru K, **Ettner SL**. The Effect of a Patient-Provider Educational Intervention to Reduce At-Risk Drinking on Changes in Health and Health-Related Quality of Life Among Older Adults: The Project SHARE Study. *Journal of Substance Abuse Treatment*. 2016 Jan; 60:14-20. PMID: 26254687 PMCID: PMC4679446.
173. Ahluwalia SC, Tisnado DM, Walling AM, Dy SM, Asch SM, **Ettner SL**, Kim B, Pantoja P, Schreibeis-Baum H, Lorenz KA. Association of Early Patient-Physician Care Planning Discussions and End-of-Life Care Intensity in Advanced Cancer. *Journal of Palliative Medicine*. 2015 Oct; 18(10):834-41. PMID: 26186553 PMCID: PMC4599129.
174. Grinshteyn EG, Eisenman DP, Cunningham WE, Andersen R, **Ettner SL**. Individual- and Neighborhood-Level Determinants of Fear of Violent Crime among Adolescents. *Family and Community Health*. 2016 April-June; 39(2):103-112. PMID: 26882413 [Not subject to NIH open access policy; no NIH grant funding used]
175. Hoffman GJ, Hays RD, Shapiro MF, Wallace SP, **Ettner SL**. Claims-Based Identification Methods and the Cost of Fall-related Injuries Among U.S. Older Adults. *Medical Care* 2016 Jul; 54:664-71. PMID: 27057747 PMCID: PMC4907826.
176. Doyle B, **Ettner SL**, Nuckols T. Supplemental Insurance Reduces Out-of-Pocket Costs in Medicare Observation Services. *Journal of Hospital Medicine*. 2016 Jul; 11(7):502-4. PMID: 27373701.
177. Sacks GD, Dawes AJ, **Ettner SL**, Brook RH, Fox CR, Maggard-Gibbons M, Ko CY, Russell MM. Surgeon Perception of Risk and Benefit in the Decision to Operate. *Annals of Surgery*. 2016 Dec; 264(6):896-903. PMID: 27192348. [Not subject to NIH open access policy; no NIH grant funding used]
178. Sacks GD, Dawes AJ, **Ettner SL**, Brook RH, Fox CR, Ko CY, Russell MM, Maggard-Gibbons M. Impact of a Risk Calculator on Risk Perception and Surgical Decision Making: A Randomized Trial. *Annals of Surgery*. 2016 Dec; 264(6):889-895. PMID: 27192347. [Not subject to NIH open access policy; no NIH grant funding used]
179. Han MA, Clarke R, **Ettner SL**, Steers N, Leng M, Mangione CM. Predictors of Out-of-ACO Care in the Medicare Shared Savings Program. *Medical Care*. 2016 Jul; 54(7):679-88. PMID: 27213548. PMCID: PMC5873290.
180. Walling AM, Tisnado D, **Ettner SL**, Asch SM, Dy SM, Pantoja P, Lee M, Ahluwalia S, Schreibeis-Baum H, Malin JL, Lorenz KA. Palliative Care Specialist Consultation is Associated with Supportive Care Quality in Advanced Cancer. *Journal of Pain and*

- Symptom Management*. 2016 Oct; 52(4):507-514. PMID: 27401515. PMCID: PMC5173291.
181. Hoffman GJ, Hays RD, Shapiro MF, Wallace SP, **Ettner SL**. The Costs of Fall-Related Injuries Among Older Adults: Annual Per-Faller, Service Component, and Patient Out-Of-Pocket Costs. *Health Services Research*. 2016 Sep 1. PMID: 27581952. PMCID: PMC5583303.
  182. Green JB, Shapiro MF, **Ettner SL**, Malin J, Ang A, Wong MD. Physician Variation in Lung Cancer Treatment at the End of Life. *American Journal of Managed Care*. 2017 Apr; 23(4):216-223. PMID: 28554208. PMCID: PMC5762116.
  183. Harwood J, Azocar F, Thalmayer A, Xu H, Ong MK, Tseng CH, Wells KB, Friedman S, **Ettner SL**. The Mental Health Parity and Addiction Equity Act Evaluation Study: Impact on Specialty Behavioral Healthcare Utilization and Spending Among “Carve-In” Enrollees. *Medical Care*. 2017 Feb; 55(2):164-172. PMID: 27632769. PMCID: PMC5233645.
  184. Narain K, Bitler M, Ponce N, Kominski G, **Ettner SL**. The Impact of Welfare Reform on the Health Insurance Coverage, Utilization and Health of Low Education Single Mothers. *Social Science & Medicine* 2017 May; 180:28-3. PMID: 28319907.
  185. **Ettner SL**, Harwood J, Thalmayer A, Ong MK, Xu H, Bresolin MJ, Wells KB, Tseng CH, Azocar F. The Mental Health Parity and Addiction Equity Act Evaluation Study: Impact on Specialty Behavioral Health Utilization and Expenditures Among “Carve-Out” Enrollees. *Journal of Health Economics*. 2016 Dec; 50:131-143. PMID: 27736705 PMCID: PMC5127782.
  186. Thalmayer A, Friedman S, Azocar F, Harwood J, Xu H, **Ettner SL**. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Quantitative Treatment Limits (QTLs). *Psychiatric Services*. 2017 May; 68(5):435-442. PMID: 27974003 PMCID: PMC5411313.
  187. Hoffman GJ, Wallace SP, Hays RD, Shapiro MF, **Ettner SL**. Receipt of Caregiving and Fall Risk in U.S. Community-Dwelling Older Adults. *Medical Care*. 2017 Apr; 55(4):371-378. PMID: 27875481 PMCID: PMC5352465.
  188. Hoffman GJ, **Ettner SL**, Shapiro MF, Wallace SP, Hays RD. Depressive Symptomatology and Fall Risk Among Community-Dwelling Older Adults. *Social Science and Medicine*. 2017 Apr; 178:206-213. PMID: 28279573 PMCID: PMC5411980.
  189. Friedman S, Thalmayer A, Azocar F, Xu H, Harwood J, Ong MK, Johnson LL, **Ettner SL**. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Mental Health Financial Requirements Among Commercial “Carve-In” Plans.

- Health Services Research*. 2016 Dec; 53(6):4584-4608 PMID: 27943277 PMCID: PMC5785319.
190. Narain K, **Ettner SL**. The Impact of Exceeding TANF Time Limits on the Access to Healthcare of Low-Income Mothers. *Social Work in Public Health*. 2017 Aug; 17:1-9. PMID: 28816637.
  191. Friedman S, Xu H, Harwood JM, Azocar F, Hurley B, **Ettner SL**. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Specialty Behavioral Healthcare Utilization and Spending Among Enrollees with Substance Use Disorders. *Journal of Substance Abuse Treatment*. 2017 Sep; 80:67-78. PMID: 28755776 PMCID: PMC6224161.
  192. Grinshteyn EG, Cunningham WE, Eisenman DP, Andersen R, **Ettner SL**. Fear of Violent Crime and Anxiety/Depression among Adolescents. *Mental Health & Prevention*. 2017. 8:39-45.
  193. Grinshteyn, EG, Xu H, Manteuffel B, **Ettner SL**. The Associations of Area-Level Violent Crime Rates and Self-Reported Violent Crime Exposure with Adolescent Behavioral Health. *Community Mental Health Journal*. 2018. 54(3):252-258. PMID: 28861664.
  194. Tran LD, Xu H, Azocar F, **Ettner SL**. Behavioral Health Treatment Patterns among Employer-Insured Adults in Same- and Different-Gender Partnerships. *Psychiatric Services*. 2018. 69(5):572-579. PMCID: PMC5930123.
  195. Cunningham WE, Weiss RE, Nakazono T, Malek MA, Shoptaw SJ, **Ettner SL**, Harawa NT. Effectiveness of a Peer Navigation Intervention to Sustain Viral Suppression Among HIV-Positive Men and Transgender Women Released from Jail: The LINK LA Randomized Controlled Clinical Trial. *JAMA Internal Medicine* Apr 1 2018; 178(4):542-553. PMCID: PMC5885257.
  196. Thalmayer AG, Harwood JM, Friedman S, Azocar F, Watson A, Xu H, **Ettner SL**. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Non-Quantitative Treatment Limits for Specialty Behavioral Health Care. *Health Services Research*. May 8, 2018. PMID: 29740807 PMCID: PMC6232447.
  197. Friedman SA, Azocar F, Xu H, **Ettner SL**. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Did Parity Differentially Affect SUD and MH Benefits Offered By Behavioral Healthcare "Carve-Out" and "Carve-In" Plans? *Drug and Alcohol Dependence*. 2018. 190:151-158. PMID: 30032052 PMCID: PMC6197987.
  198. Chukmaitov AS, Kaidarova DR, Talaeyva ST, Sheppard VB, Xu H, Siangphoe U, **Ettner SL**. Analysis of Delays in Breast Cancer Treatment and Late-Stage Diagnosis in Kazakhstan. *Asian Pacific Journal of Cancer Prevention* 2018. 19(9): 2519-2525.

PMID: 30256046 PMCID: PMC6249466.

199. Chung B, Ong M, **Ettner SL**, Jones F, Gilmore J, McCreary M, Ngo VK, Sherbourne C, Tang L, Dixon E, Koegel P, Miranda J, Wells K. 12-Month Cost Outcomes of Community Engagement Versus Technical Assistance To Implement Depression Collaborative Care: A Partnered, Cluster Randomized, Comparative Effectiveness Trial. *Ethnicity and Disease* 2018. 28(2):349-356. PMID: 30202187. PMCID: PMC6128344.
200. Narain KA, Xu H, Azocar F, **Ettner SL**. Racial/Ethnic Disparities in Specialty Behavioral Healthcare Treatment Patterns and Expenditures Among Commercially Insured Patients in Managed Behavioral Healthcare Plans. *Health Services Research* 2019;1-11 Available online at <https://onlinelibrary.wiley.com/doi/epdf/10.1111/1475-6773.13121> PMID: 30734279. PMCID: PMC6505415 (Available 6-1-2020).
201. Zhao B, Childers CP, Hays RD, **Ettner SL**, Alban RF, Maggard-Gibbons M, Clary BM. Surgeon Awareness of the Relative Costs of Common Surgical Instruments. *JAMA Surgery* 2019; 154(9): 877-878. DOI:10.1001/jamasurg.2019.1746.
202. Takada S, **Ettner SL**, Harawa NT, Garland WH, Shoptaw SJ, Cunningham WE. Life Chaos is Associated with Reduced HIV Testing, Engagement in Care, and ART Adherence Among Cisgender-Men and Transgender Women upon Entry into Jail. *AIDS and Behavior* 2020 24:491-505. PMID: 31396766.
203. Childers CP, **Ettner SL**, Hays RD, Kominski G, Maggard-Gibbons M, Alban RF. Variation in Intraoperative and Postoperative Utilization for 3 Common General Surgery Procedures. *Annals of Surgery*. Published online August 23, 2019. DOI: 10.1097/SLA.0000000000003571 PMID: 31460881.
204. Friedman S, **Ettner SL**, Chuang E, Azocar F, Harwood JM, Xu H, Ong M. The Effects of Three Kinds of Insurance Benefit Design Features on Specialty Mental Health Care Use. *Journal of Mental Health Policy and Economics* 2019. 22(2): 43-59. PMID: 31319375.
205. **Ettner SL**, Xu H, Azocar F. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: What Happens When Employers Switch from a “Carve-Out” to a “Carve-In” Model of Managed Behavioral Health? Evaluation of a Natural Experiment. *Journal of Mental Health Policy and Economics* 2019. 22(3):85-94. PMID: 31811752.
206. **Ettner SL**, Zinn JS, Xu H, Ladd H, Nuccio E, Sorkin D, Mukamel DB. Certificate of Need and the Cost of Competition in Home Healthcare Markets. *Home Health Care Services Quarterly* 2020. 39(2): 51-64. DOI: 10.1080/01621424.2020.1728464.
207. Moin T, Li J, Duru OK, **Ettner SL**, Turk N, Chan C, Keckhafer AM, Luchs RH, Ho S, Mangione CM. Results from NEXT-D: The Association of a Prediabetes Specific Health

Plan and Rates of Incident Diabetes Among A National Sample of Working Age Adults. *BMJ Open Diabetes Research & Care* 2020; 8:e001093. DOI:10.1136/bmjdr-2019-001093.

208. Moin T, Harwood JM, Mangione CM, Jackson N, Ho S, **Ettner SL**, Duru OK. Trends in Costs of Care and Utilization for Medicaid Patients with Diabetes in Accountable Care Communities: A Natural Experiment for Translation in Diabetes (NEXT-D) 2 Study. *Medical Care* 2020 Vol. 58: S40-S45. DOI: 10.1097/MLR.0000000000001318.
209. Duru OK, Harwood J, Moin T, Jackson NJ, **Ettner SL**, Vasilyev A, Mosley DG, O’Shea DL, Ho S, Mangione CM. Evaluation of a National Care Coordination Program to Reduce Utilization among High-cost, High-need Medicaid Beneficiaries with Diabetes. *Medical Care* 2020 Vol. 58: S14-S21. DOI: 10.1097/MLR.0000000000001315.

B. RESEARCH PAPERS – IN PRESS

210. Friedman S, Xu H, Azocar F, **Ettner SL**. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Financial Requirements in Carve-Out Plans. Forthcoming in *Health Services Research*.
211. Keller M, Xu H, Azocar F, **Ettner SL**. Do Behavioral Health Diagnoses Predict Self-Selection into Lower-Deductible Health Plans? Forthcoming in *Psychiatric Services*.
212. Block E, Xu H, Azocar F, Harwood J, **Ettner SL**. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Child and Adolescent Behavioral Health Service Expenditures and Utilization. Forthcoming in *Health Economics*.

C. RESEARCH PAPERS – PEER REVIEWED (SUBMITTED)

1. Mukamel DB, Ladd H, Nuccio E, Zinn J, **Ettner SL**. The Cost of Quality in Home Health.
2. Friedman S, Xu H, Azocar F, **Ettner SL**. Comparing Gold-Standard Copayments and Coinsurance Values from Claims Processing Engines to Values Derived from Claims Databases.
3. Yazbeck M, Xu H, Azocar F, **Ettner SL**. Spousal Peer Effects in Specialty Behavioral Health Services Use: Do Spillovers Vary by Gender, Subscriber Status and Sexual Orientation?
4. Sacks GD, Dawes AJ, Tsugawa Y, Brook RH, Russell MM, Ko CY, Maggard-Gibbons M, **Ettner SL**. The Association between Risk Aversion of Surgeons and Their Clinical Decision-Making.



5. Grzenda A, Xu H, Miranda J, **Ettner SL**. Impact of the Transition to the Trump-Pence Administration on the Quality of Life of Gender and Sexual Minorities: A Difference-in-Differences Analysis.
6. Admon LK, Dalton VK, Kolenic GE, **Ettner SL**, Tilea A, Haffajee RL, Brownlee RM, Zochowski MK, Tabb KM, Muzik M, Zivin K. Trends in Suicidality Among Commercially Insured Childbearing Individuals in the United States, 2006-2017.
7. Barnett ML, Dopp AR, Klein C, **Ettner SL**, Powell BJ, Saldana L. Collaborating with Health Economists to Advance Implementation Science: A Qualitative Study.
8. Narain K, Duru OK, **Ettner SL**, Harwood J, Mangione, CM. The Impact of Dual Eligible Special Need Plan Regulations on Healthcare Utilization.
9. Moin T, Steers WN, **Ettner SL**, Duru OK, Turk N, Chan C, Neugebauer R, Luchs RH, Kirvan A, Ho S, Mangione CM. The Association of a Diabetes-Specific Health Plan with Emergency Room and Inpatient Hospital Utilization: A Natural Experiment for Translation in Diabetes (NEXT-D) Study.
10. Moin T, Steers WN, Duru OK, Turk N, Chan C, Keckhafer AM, Luchs R, Ho S, Mangione CMM, **Ettner SL**. A Comparison of Changes Over Time in Medical and Prescription Expenditures Between Disease-Specific and Standard Benefit Plans: A Natural Experiment for Translation in Diabetes (NEXT-D) Study.
11. Wong EC, Turk N, Harwood JM, Duru OK, Yank V, Moin T, **Ettner SL**, Mangione CM. Impact of State-Level Medicaid Spending on Rates of Screening for Chronic Kidney Disease Among Beneficiaries with Diabetes and/or Hypertension.
12. Huang C, Turk N, **Ettner SL**, Mangione CM, Duru OK. Does the Diabetes Health Plan Have a Differential Impact on Medication Adherence Among Patients with Fewer Financial Resources?

D. RESEARCH PAPERS – NON-PEER REVIEWED (PUBLISHED)

1. **Ettner SL**, Frank R. Risk Adjustment of Mental Health and Substance Abuse Payments. *Technical Assistance Paper, Center for Mental Health Services*, 1999.
2. Kaskie B, **Ettner SL**. Promoting Mental Health, Preventing Mental Illness and Providing Effective Psychological Treatment to California's Aging Population. Report, *Older Californian's Strategic Planning Initiative*. Online with the ERIC database at: <http://askeric.org>, document number ED467101.
3. Kaskie B, **Ettner SL**. The Mental Health Status of Older Californians. *California Policy Research Center Brief – Strategic Planning on Aging No 8*, May 2001.

4. **Ettner SL**, Crimmins E. National Institutes of Health Background Paper: Economic Measures in Health Surveys. Commissioned by NIH for the Workshop on Economic Measures in Health Surveys. January 2002.
5. **Ettner, SL**. Does Treatment ‘Pay for Itself’? Looking at the Economic Argument for Addiction Treatment. *Behavioral Healthcare*. 2006 May; 26 (5): 32-4.
6. California Health Benefits Review Program (CHBRP). (2007). *Analysis of Assembly Bill 423: Mental Health Parity*. Report to California State Legislature. Oakland, CA: CHBRP. 07-03.
7. California Health Benefits Review Program (CHBRP). (2007). *Analysis of Potential Impacts of Senate Bill 365: Out-of-State Carriers*: Report to California State Legislature. Oakland, CA: CHBRP. 07-06.
8. California Health Benefits Review Program (CHBRP). (2008). *Analysis of Assembly Bill 1774: Health Care Coverage: Gynecological Cancer Screening Tests: Report to California State Legislature*. Oakland, CA: CHBRP. 08-05.
9. California Health Benefits Review Program (CHBRP). (2008). *Analysis of Assembly Bill 1887: Health Care Coverage: Mental Health Services: Report to California State Legislature*. Oakland, CA: CHBRP. 08-07.
10. California Health Benefits Review Program (CHBRP). (2009). *Analysis of Assembly Bill 98: Maternity Services: Report to California State Legislature*. Oakland, CA: CHBRP. 09-03.
11. California Health Benefits Review Program (CHBRP). (2009). *Analysis of Assembly Bill 244: Mental Health Services: Report to California State Legislature*. Oakland, CA: CHBRP. 09-04.

E. RESEARCH PAPERS – NON-PEER REVIEWED (IN PRESS)

None.

F. RESEARCH PAPERS – NON-PEER REVIEWED (SUBMITTED)

None.

## **CHAPTERS**

CHAPTERS (PUBLISHED)

1. **Ettner SL**, Frank RG. “The Use of Risk Adjustment to Set Capitation Rates.” Chapter 6 in Manderscheid and Henderson (eds.), *Mental Health U.S.*, 1998.
2. **Ettner SL**. “The Relationship Between Labor Market Outcomes and Mental and Physical Health: Exogenous Human Capital or Endogenous Health Production?” Chapter 1 in Salkever and Sorkin (eds.), *The Economics of Disability*, Series on Research in Human Capital and Development. JAI Press, 2000.
3. **Ettner SL**. “Mental Health Services and Policy Issues.” Chapter 12 in Andersen, Rice and Kominski (eds.), *Changing the U.S. Health Care System, 2<sup>nd</sup> Edition*. Jossey-Bass Publishers, 2001.
4. **Ettner SL**. “Reimbursement and an Integrated System of Care.” Chapter 4 in Kiser, Lefkowitz and Kennedy (eds.), *The Integrated Behavioral Health Continuum: Theory and Practice*. American Psychiatric Publishing, Inc., 2001.
5. **Ettner SL**, Schoenbaum M. “The Role of Economic Incentives in Improving the Quality of Mental Health Care.” In Jones (ed.), *The Elgar Companion to Health Economics*. Edward Elgar, Northampton, MA, 2006.
6. **Ettner SL**, Link JC. “Mental Health Services and Policy Issues.” In Andersen, Rice and Kominski (eds.), *Changing the U.S. Health Care System, 3<sup>rd</sup> Edition*, Jossey-Bass Publishers, 2007.
7. **Ettner SL**, Schoenbaum M, Williams J. “The Role of Economic Incentives in Improving the Quality of Mental Health Care.” In Jones (ed.), *The Elgar Companion to Health Economics*. Edward Elgar, Northampton, MA, 2012.
8. **Ettner SL**. “Personality Disorders and Work.” Chapter 9 in Schultz and Rogers (eds.), *Work Accommodation and Retention in Mental Health*, Springer 2011.

#### CHAPTERS (IN PRESS)

None.

#### **LETTERS TO THE EDITOR**

None.

#### **REVIEWS**

None.

#### **EDITORIALS**

None.

### **PAPERS IN PREPARATION (RESEARCH COMPLETED)**

1. Coles C, Xu H, Thomas C, Pourat N, Azocar F, **Ettner SL**. Racial/Ethnic Disparities in Use of Employee Assistance Programs.
2. Coles C, Xu H, Pourat N, Thomas C, Azocar F, **Ettner SL**. Do Employee Assistance Programs Serve as a Substitute or Complement For Specialty Behavioral Healthcare?
3. **Ettner SL**, Friedman S, Xu H, Azocar F. Hidden Costs: Balance-Billing in Specialty Behavioral Healthcare.
4. Friedman S, Xu H, Azocar F, **Ettner SL**. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Incentives to Use In-Network vs. Out-of-Network Care?
5. Chukmaitov A, Xu H, **Ettner SL**. Breast Cancer Treatment Patterns in Kazakhstan.
6. The Effects of Passive Urbanization on Children's Family Support for Elders in China. (Author list TBD)

### **ABSTRACTS**

None.

### **DOCTORAL STUDENT COMMITTEES**

Amy Bonilla	Potential Benefits of Investing in Behavioral Health Services (BHS) and Integration at FQHCs	TBD
Dahai Yue	Health and Health Care Among Older Adults: The Role of Education	June 2020
Courtney Coles (chair)	The Effect of Employee Assistance Programs (EAPs) on Behavioral Healthcare Utilization: The Role of Race/Ethnicity	June 2019
Chris Childers (chair)	Understanding Variation In Surgeon-Level Intraoperative Costs	March 2019
Tara Russell (chair)	Implications of Liver Transplant Allocation Policy for Healthcare Resource Utilization: An Analysis of United Network for Organ Sharing Share 35 Policy	June 2018

Sarah Friedman (chair)	Sensitivity of Mental Health Use and Expenditures to Exogenous Changes in Insurance Benefit Design, Including Financial and Non-Financial Components	June 2017
Greg Sacks (chair)	Decision-Making in Surgery: The Role of Risk Assessment and Risk Attitudes	June 2016
Kimberly Narain (chair)	Single Mothers and Health in the Era of Welfare Reform	June 2016
Geoffrey Hoffman (chair)	Predictors and Outcomes of Falls and Fall-related Injuries in Persons 65 and Older in the United States	June 2015
Sean Fahle	Three Essays in Health Economics	June 2015
Jessica Williams (chair)	Employee Pain, Health Expenditures and Productivity: The Role of Supportive Workplaces	June 2013
Erin Grinshteyn (chair)	Causes and Consequences of Fear: The Impact of Fear on Mental Health and Mental Health Service Use Among Adolescents	June 2013
Melissa Gatchell	Understanding the Disparities in Cervical Cancer Screening for Low Income Women	June 2012
Sarah Starks (chair)	Cost and Effectiveness of Full Service Partnerships for Severe Mental Illness	December 2011
Andrew Barnes (chair)	From Rush Hour to Happy Hour: The Impact of Increased Labor Force Participation on Binge Drinking	June 2011
Jean Yoon (chair)	Adherence to Prescription Drugs and Adverse Health Events for the Chronically Ill	June 2008
Adele Kirk (chair)	The Relationship Between Education and Health: Is it Causal?	August 2007
Danielle Rose Ash	Testing for Discrimination in Health Care	September 2006
Anthony Masaquel (chair)	The Impact of Depression on Health Insurance Coverage	June 2005
John FitzGerald (chair)	Post Acute Care Practice Patterns After Major Orthopedic Surgery: Impact of the Balanced Budget Act of 1997	June 2005
Tanya Burton (chair)	Health Information on the Internet: Who Seeks It and How Does It Affect the Utilization of Physician Services?	January 2005
Shaista Malik (chair)	Effect of Organizational Factors on Cardiovascular Outcomes in Persons with Diabetes in Managed Care	March 2005

Lynn Soban (chair)	The Influence of Organizational Context on Receipt of Colorectal Cancer Screening Among Patients in the Veterans Health Administration	March 2005
Soonim Huh	Prescription Drug Coverage and Drug Use Among Elderly Medicare Beneficiaries	March 2005
Yee-Wei Lim	Access to Care for the Indigent in Los Angeles County's Public Health System: Impact of 1115 Waiver Related Restructuring Program	December 2003
Karen Swanson	Quality of Care for Depression	June 2002
Kevin Heslin	Specialist Self-Referral Insurance Policies and Access to Physicians with HIV Clinical Expertise: Impact on Patient Health-Related Quality of Life and Treatment Expenditures	June 2002
David Zingmond	A Description of the Vertical Integration of Acute and Post-Acute Care and Its Impact on Patients with Stroke in California, 1997 to 1998	March 2002

#### **MASTER'S AND FELLOWSHIP MENTEES**

Jacob Quinton  
Shaw Natsui  
Tarak Trivedi  
Maria Han  
Kimberly Narain  
Jonas Green  
Jason Fish  
Kristina Cordasco  
Josh Pevnick  
Will Shrank  
Karl Lorenz  
David Zingmond  
Miaomiao Liu  
Fasiha Kanwal

#### **FACULTY MENTEES**

Lindsay Admon (K08 – University of Michigan)  
Enrico Castillo (K23)  
Rie Sakai-Bizmark (R21)  
Miya Barnett (K01)  
Amy Kelley (Brookdale Leadership in Aging Fellowship Career Development Award)  
Yuhua Bao (K01)

Kenrik Duru (Harold Amos Medical Faculty Development Award)  
John FitzGerald (KO8)  
David Zingmond (KO8)  
Mitchell Wong (KO8)  
Karl Lorenz (VA career development award)  
Roberto Vargas (EXPORT)  
Kevin Heslin (EXPORT)

## **TEACHING**

### *Program directorships:*

Director, TL1 training component, UCLA CTSI Clinical and Translational Science Institute, 2016-2017 (stepped down due to COI with Associate Deanship).

Director, TL1 pre-doctoral fellowship program, Education Core, UCLA Clinical and Translational Science Institute, 2011-2016.

### *Courses taught:*

HS225A/HPM225A	Health Services Research Design
HS225B/HPM225B	Health Services Research Design
HS237A	Special Topics in Health Services Research Methodology
HS237C	Issues in Health Services Methodologies

### *Lectures:*

HS100/HPM100	Mental Health Services
HS225A	Health Services Research Design
HS200B	Mental Health
K30	Introduction to the Assessment of Costs and Cost-Effectiveness
HE249E	Mental Health Policy
CCH Methods Tutorial	A Brief Tutorial on Endogeneity Bias and Instrumental Variables as a Possible Solution
N248D	Alternative Ways of Assessing Cost-Effectiveness of Health Care
MPH Practicum (USC)	HSR Funding Opportunities
HPM266	Community-Based Participatory Research