

SUSAN LOUISE ETTNER

CURRICULUM VITAE

PERSONAL HISTORY

Business Address:

UCLA Department of Medicine
Division of General Internal Medicine and Health Services Research
911 Broxton Plaza, Room 106
Box 951736
Los Angeles, CA 90095-1736

Business Telephone:

310-794-2289

EDUCATION

- 1985 University of Göttingen, Germany
 UC Education Abroad Program
- 1986 University of California at Santa Barbara
 B.A. in Mathematics/Economics
 B.A. in German
- 1991 Massachusetts Institute of Technology
 Ph.D. in Economics

PROFESSIONAL EXPERIENCE

Present positions:

- 3/17-present Associate Dean
 UCLA Graduate Division
- 7/03-present Professor (primary appointment)
 Division of General Internal Medicine & Health Services Research
 UCLA Department of Medicine, Los Angeles, CA
- 7/03-present Professor (joint appointment)
 Department of Health Policy and Management (formerly Health Services)
 UCLA School of Public Health

Previous positions:

- 8/91-7/98 Margaret T. Morris Assistant Professor
Department of Health Care Policy
Harvard Medical School, Boston, MA
- 7/98-1/99 Associate Professor
Department of Health Care Policy
Harvard Medical School, Boston, MA
- 7/00-6/03 Associate Professor (joint appointment)
Department of Health Services, UCLA School of Public Health
- 2/99-6/03 Associate Professor (primary appointment)
Division of General Internal Medicine & Health Services Research
UCLA Department of Medicine, Los Angeles, CA

PROFESSIONAL ACTIVITIES

Committee Service:

- 1994 Library Accreditation Committee, Harvard Medical School
- 1992-1998 Admissions Committee, Health Policy Ph.D. Program, Harvard University
- 1994-1999 Faculty Council, Harvard Medical School
- 1999-2000 Health Subcommittee, UC Academic Senate Committee on Faculty Welfare
- 1999-2003 Faculty Liaison for Access to Streamlined Healthcare (FLASH) committee
- 2000-2003 Chair (2002-2003) and Member (2000-2003), UCLA Academic Senate Committee on Faculty Welfare
- 2003-2004 Chair, Ph.D. Committee, UCLA Department of Health Services
- 2001-2005 Gender Equity Committee, UCLA
- 2005 Member, DOM Search Committee for Clinical Trials Methodologist
- 2005 Chair of DOM Search Committee for Health Services Research Methodologist
- 2006 Chair of DOM Search Committee for Biostatistician

2008-2009	Acting Director, Department of Medicine Statistics Core
2007-2010	Chair (2009-2010) and Member (2007-2010), UCLA Academic Senate Committee on Charges
2000-2010	STAR Mentorship Committee
2000-2010	Advisory Committee, UCLA Institute of Industrial Relations
2003-2010	Advisory Board, UCLA Early Care and Education
2008-2012	Member, Provost's Committee on Faculty Work/Life Issues
2011-2012	Member, DOM Search Committee for FTE Faculty Member
2011-2013	Member, Research Advisory Committee, RWJ Clinical Scholars Program
2007-2015	Director, Steering Committee, Department of Medicine Statistics Core
2014-2015	Member, After the Moreno Report Task Force, UCLA Academic Senate
2014-2015	Member, Project Planning Committee, Developing an Interdisciplinary UCLA Program on Integrated Primary Care and Behavioral Health
1999-2016	Research Advisory Committee, RWJ Clinical Scholars Program
2016	Member, GIM & HSR Chief Search Committee, UCLA Department of Medicine
2016	Member, Graduate Division Dean Advisory Review Committee, UCLA Academic Senate
2014-2016	Faculty Executive Committee, UCLA Academic Senate
2001-present	Ph.D. Committee, UCLA Department of Health Policy and Management (formerly Health Services)
2001-present	Ph.D. Admissions Committee, UCLA Department of Health Policy and Management (formerly Health Services)
2015-present	Member, Advisory Committee, UCLA Center for the Study of Women
2016-present	Member, Grievance Advisory Council, UCLA Academic Senate

Community Service:

- 1993-1998 Member, Research Committee for Healthy Adolescent Project, Alliance for Young Families
- 2001-2002 External advisor, World Health Organization, *Mental Health Policy Project*
- 2002 CeMENT Mentor, American Economic Association, Committee on the Status of Women in the Economics Profession
- 2002-2003 Member, Technical Expert Panel, *Design, Development, and Implementation of a Prospective Payment System for Inpatient Psychiatric Hospitals and Exempt Units.*
- 2003 Guest lecture at the USC School of Public Health, "Funding for Health Services Research: Where Is It and How Do You Get It?"
- 2001-2004 Member, Scientific Advisory Board, *National Vietnam Veterans Longitudinal Study.*
- 2005 External reviewer for doctoral program in Health Services Research at the University of North Carolina, Charlotte campus
- 2006 Chair, 13th NIMH Biennial Research Conference on the Economics of Mental Health: Pharmacoeconomics
- 2006-2007 Member, External Advisory Committee, *K07: Becoming a Doctor at Albert Einstein College of Medicine: A New Curriculum* (PI: Marantz).
- 2007 External advisor, Lundy Foundation
- 2006-2009 Member, Cost Team, *California Health Benefits Review Program*
- 2011 Conducted six-day research training workshop (*Methodology of Scientific Ecological, Hygienic and Epidemiological Studies*) at the National Center of Labour Hygiene and Occupational Diseases, Karaganda, Kazakhstan, July 2011.
- 2011- 2012 Member, Scientific Advisory Committee, Los Angeles County Enhanced Comprehensive HIV Prevention Planning (ECHPP)
- 2008- 2012 Member, Science Advisory Panel, Center for Rural Mental Health Research, Western Interstate Commission for Higher Education
- 2012 Organizing Committee, NIMH Sponsored Mental Health Economics Sessions at

the 4th Biennial Conference of the American Society of Health Economists

- 2015-2016 Rater, 2015-16 Times Higher Education World University Rankings
- 2006-present Member, Task Force, California Health Benefits Review Program
- 2017 Moderator for session on “Legislating Care, Repair and Personhood” at the *Thinking Gender: Imaging Reparations* conference sponsored by the UCLA Center for the Study of Women.

Grant Reviewer For:

National Institute of Mental Health
National Institute of Alcohol and Alcohol Abuse
National Institute on Drug Abuse
National Institutes of Health, Health Services Section
National Institute of Aging
World Health Organization
Swiss National Science Foundation

Manuscript Reviewer For:

New England Journal of Medicine
Journal of the American Medical Association
Journal of Health Economics
Health Economics
Medical Care
Health Services Research
American Journal of Public Health
Journal of General Internal Medicine
Journal of Human Resources
Social Science and Medicine
Inquiry
Review of Economics and Statistics
Industrial and Labor Relations Review
Health Care Financing Review
Southern Economic Journal
Journal of Labor Economics
The Journal of Behavioral Health Services and Research
Eastern Economic Journal
Psychiatric Services
Drug and Alcohol Dependence

Professional Associations and Scholarly Societies:

- 2002 Member, Alice S. Hersch Award Nomination Committee

- Academy for Health Services Research and Health Policy
- 2002 Member, Academy Fellows Committee
Academy for Health Services Research and Health Policy
- 2005-2014 Board of Directors, American Society of Health Economists (ASHEcon)

Editorial Services

- 2005-2007 Advisory Board Member, *Health Economics*
- 2006-2009 Editorial Board, *Medical Decision Making*
- 2007-2010 Associate Editor, *Health Economics*
- 2003-present Associate Editor, *Journal of Mental Health Policy and Economics*

Consulting Activities:

- 1993-1997 Consultant, *Changes in Population Characteristics and Medicaid Utilization/Expenditures among Children and Adolescent SSI Recipients* (PI: Perrin)
- 1997 Consultant, *Alternative Medicine* (PI: Eisenberg)
- 1995-1998 Consultant, *Wisconsin Special Care Initiative Project, Evaluation of Independent Care* (PI: Leff)
- 1996-1998 Consultant, *Patterns of Referral and Care for Children on Medicaid* (PI: Perrin)
- 1998 Consultant, *Epidemiology of Children's Mental Health in Rural Maine* (PI: Zahner)
- 1998-1999 Consultant, *Mental Health Services Research Program in Managed Care* (PI: Thomas Inui and Thomas McLaughlin)
- 1999 Consultant, Texas State Government
- 1993-2000 Associate, John D. and Catherine T. MacArthur Foundation Research Network on Successful Mid-Life Development
- 1995-2000 Consultant, *Private Payers Serving Disabled Individuals and Research Synthesis on Managed Care for Persons with Disabilities* (PI: Burwell)

- 1997-2000 Consultant, National Association of State Mental Health Program Directors
- 1999-2001 Consultant, *Ineffective Utilization, Somatization, and Undetected Psychiatric Disorder* (PI: Barsky)
- 2001-2003 Consultant, *Children with Chronic Health Conditions: Family Impact* (PI: Kuhlthau)
- 2001-2004 Consultant, Children's Hospital of Los Angeles, *Clinical, Emotional and Financial Impact Associated with the Current Treatment of Fever/ Neutropenia in Low Risk Pediatric Oncology Patients: A Pilot Study.*
- 2001-2004 Consultant, Children's Hospital of Los Angeles, *Clinical, Emotional and Financial Impact Associated with Acute Sickle Cell Pain Crisis: A Pilot Study.*
- 2002-2004 Consultant, *Do Free Glucose Strips Increase Self-Monitoring* (PI: Karter)
- 2006-2007 Member, *Health Outcomes Advisory Board*, Eli Lilly and Company.
- 2001-2009 Member, Scientific Advisory Board, *Costs and Benefits of Alcohol Services and Interventions*
- 2006-2009 Consultant, *Understanding and Improving Care for High Users of Medical and Mental Health Services Within the Safety Net* (PI: Lagomasino/Mohanty)
- 2006-2009 Member, Advisory Panel, *Economic Cost of Drug Abuse* (PI: Pacula/Ringel)
- 2006-2010 Consultant, *Economic Consequences of Alcohol Use Disorders* (PI: French)
- 2010 Consultant, *A Multinational Observational Study Advancing an understanding of the factors associated with differential treatment response and the role of Insulin in the care of patients with Type 2 Diabetes: The MOSAIC Type 2 Diabetes Study*, Eli Lilly and Company (PI: Shrank).
- 2006- 2010 Consultant, *Assuring the Emotional Well-Being of Children in Child Welfare Environments Through Stable Health Insurance Coverage* (PI: Raghavan)
- 2010- 2012 Consultant, *Oregon EASA Return on Investment Study*, Western Interstate Commission on Higher Education (PI: McGee).
- 2010- 2012 Consultant, *Analysis of Community Care of North Carolina* (PI: Girod).
- 2011-2013 *Reducing Disparities in Mental Health Expenditure Among Children in Child Welfare* (PI: Raghavan)

- 2011-2013 *Strengthening Medicaid-Funded Mental Health Coverage for Children in Child Welfare* (PI: Raghavan)
- 2014-2016 *Development of a Universal Assessment for California's Home and Community-Based Services Programs* (PI: Saliba)
- 2016-present *Benefits of treatment for schizophrenia with long-acting injectable atypical antipsychotics* (PI: Goldman/Lakdawalla)

HONORS AND SPECIAL AWARDS

- 1985 Phi Beta Kappa
- 2001 AcademyHealth Annual Research Meeting Outstanding Abstract
- 2001 Alice S. Hersch New Investigator Award
Academy for Health Services Research and Health Policy
- 2014 UCLA Faculty Leadership Program
- 2016 Delta Omega Honorary Society in Public Health, Iota Chapter

RESEARCH GRANTS AND FELLOWSHIPS RECEIVED

Fellowships and Scholarships:

- 1984 Scholarship, Deutscher Akademischer Austausch Dienst (DAAD)
- 1986 – 1988 Scholarship, Massachusetts Institute of Technology
- 1990 – 1991 Training grant, National Institute on Aging

Research Grants and Fellowships Received (Principal Investigator of Grant):

- Project: **The Impact of Having a Usual Source of Medical Care on the Timing of Preventive Services for Women**
- Source: Harvard University Milton Fund
- Purpose: To conduct instrumental variables analyses to assess the endogeneity of usual care to preventive services for women, using National Health Interview Survey data
- Years: 1992

Role: Principal Investigator
Direct Cost: N/A (awarded while at Harvard)
Grant: Unavailable

Project: **Costs and Outcomes of Post-Acute Care in a Managed Care Setting**
Source: Harvard University Milton Fund
Purpose: To conduct a pilot study to determine the feasibility of a research study in a Massachusetts HMO
Years: 1998
Role: Principal Investigator
Direct Cost: N/A (awarded while at Harvard)
Grant: Unavailable

Project: **Psychiatric Treatment and Outcomes of Medicare Patients**
Source: National Institute of Mental Health
Purpose: To examine the patient, provider and market characteristics associated with psychiatric treatment patterns among elderly and disabled Medicare beneficiaries
Dates: 09/28/1995 – 9/27/1999
Role: Principal Investigator
Direct Cost: N/A (awarded while at Harvard)
Grant: Unavailable

Project: **Psychiatric Treatment and Outcomes of Medicare Patients– continuation of grant awarded while at Harvard**
Source: National Institute of Mental Health
Purpose: To examine the patient, provider and market characteristics associated with psychiatric treatment patterns among elderly and disabled Medicare beneficiaries
Dates: 09/28/1999 – 11/30/2000
Role: Principal Investigator
Direct Cost: \$90,511
Grant: Unavailable

Project: **The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey**
Source: Institute for Health Policy Studies/California Wellness Foundation
Purpose: To estimate the effect of health on employment and job characteristics.
Dates: 01/01/2000 – 12/31/2000
Role: Principal Investigator
Direct Cost: \$5000
Grant: Unavailable

Project: **A Longitudinal Analysis of Mediating Factors in the SES and Health Relationship**
Source: Institute for Health Policy Studies/California Wellness Foundation
Purpose: To examine factors mediating the effect of SES on mental and physical

health
Dates: 01/01/2001 – 12/31/2001
Role: Principal Investigator
Direct Cost: \$5000
Grant: Unavailable

Project: **Measuring the Structural Effect of Depression on Changes in Insurance Coverage**

Source: ERIU, The University of Michigan
Purpose: This study will examine the structural impact of depression on health insurance, using longitudinal data and instrumental variables methods.

Dates: 04/01/2003 – 03/31/2004
Role: Principal Investigator
Direct Cost: \$85,738
Grant: Unavailable

Project: **Treatment Patterns, Expenditures, and Outcomes in MBHOs**

Source: National Institute of Mental Health
Purpose: To construct a merged MBHO database using data from the 2000 census and secondary sources, examine the relationship of patient clinical and sociodemographic characteristics with behavioral health care treatment patterns, and estimate the “dose response” relationship of the number of visits with the marginal increase in expenditures.

Dates: 01/01/2004 – 3/31/2007
Role: Principal Investigator
Direct Cost: \$389,655
Grant: R01MH065935-01A2

Project: **Costs of Preventing Alcohol Problems in Older Adults**

Source: National Institute on Alcohol Abuse and Alcoholism
Purpose: A randomized trial to study the effectiveness and cost-effectiveness of an integrated patient-provider intervention to prevent harmful (presence of alcohol-related problems such as liver disease or depression) and hazardous alcohol use (risks for problems) in older adults.

Dates: 08/01/2004 – 4/30/2010
Role: Principal Investigator
Direct Cost: \$2,085,134
Grant: 1R01AA013990-01A1

Project: **Impact of the Medicare Part D Benzodiazepine Exclusion on Managed Care Patients**

Source: National Institute of Mental Health
Purpose: To examine the implications of the loss of benzodiazepine coverage resulting from the Medicare Part D exclusion using linked prescription drug, behavioral and medical claims for UnitedHealth Group Medicare Advantage plan beneficiaries with anxiety diagnoses or a history of benzodiazepine use.

Dates: 06/01/2007-06/30/2011
Role: Principal Investigator
Direct Cost: \$400,000
Grant: 1R01MH079034-01A1

Project: **Parity and Addiction Act: Impact on Benefits, Use and Costs (Supplement)**
Source: National Institute on Drug Abuse
Purpose: We will use OptumHealth and UnitedHealth Care data from four different sources to address limitations with the data originally planned for analysis in the parent grant. Together, these datasets will provide comprehensive information on benefit design for behavioral healthcare insurance plans, including non-quantitative treatment limits; this information will in turn allow us to meet the original aims of the parent grant, an evaluation of how the Paul Wellstone and Pete Domenici Mental Health Parity and Addiction Equity Act of 2008 affected insurance coverage, utilization and expenditures for mental health and substance abuse treatment.

Dates: 03/01/2014 – 02/28/2015
Role: Principal Investigator
Direct Cost: \$99,757
Grant: 3R01DA032619-03S1

Project: **Parity and Addiction Act: Impact on Benefits, Use and Costs**
Source: National Institute on Drug Abuse
Purpose: Using administrative data from the largest managed behavioral health organization in the country, we will look at how a landmark piece of parity legislation, the Paul Wellstone and Pete Domenici Mental Health Parity and Addiction Equity Act of 2008 (MHPAEA), affected insurance coverage for mental health and substance abuse (MH/SA) treatment.

Dates: 03/15/2012-02/29/2016
Role: Principal Investigator
Direct Cost: \$1,712,471
Grant: 1R01DA032619-01

Project: **Parity and Addiction Act: Impact on Benefits, Use and Costs (Supplement)**
Source: National Institute on Drug Abuse
Purpose: We propose to use administrative databases from Optum, the largest managed behavioral health organization in the country, to examine treatment patterns for substance use disorders (SUD) among young adults aged 19 to 25 who are newly covered as dependents under their parents' insurance. Although intermediate care (such as residential treatment, recovery home and day treatment programs) is of primary interest, we would also examine patterns of outpatient and inpatient service use to identify potential substitution or complementarity effects.

Dates: 03/01/2015-02/29/2016
Role: Principal Investigator
Direct Costs: \$120,000
Grant: R01DA032619-04S1

Project: **UCLA Clinical Translational Science Institute (TL1 Component)**
Source: NIH/ NCATS
Purpose: The goal of the UCLA CTSI TL1 program is to provide comprehensive training and experience in Type 4, community-partnered translational research (with a strong foundation in Type 3 translational research) to highly-qualified pre- and post-doctoral trainees. We will train fellows to effectively engage in, and eventually lead, research and implementation activities aimed at improving population health through evidence-based interventions and policies capable of overcoming the barriers to adoption that often arise when programs are implemented in the community.
Dates: 07/01/2016 – 05/31/2021
Role: Principal Investigator
Direct Cost: \$853,390
Grant: 1TL1TR001883-01

Project: **Developing and Testing Innovative New Methodologies for Analyzing the Impact of Mental Healthcare Benefit Design on Outcomes**
Source: National Institute of Mental Health
Purpose: Although the design of health insurance coverage for mental health care is an important predictor of service use and outcomes, reliable, generalizable, and inexpensive benefit data can be inaccessible to researchers. This study will develop and test new methods for generating mental health insurance benefit information from more readily available claims data. Researchers using these methods will have powerful tools for studying how mental health insurance benefits impact mental health access, affordability, and quality.
Dates: 08/01/2018-07/31/2020
Role: Principal Investigator
Direct Cost: \$325,000
Grant: 1R01MH117013-01

Research Grants and Fellowships Received (Principal Investigator of Subcontract):

Project: **State Substance Abuse/Mental Health Managed Care Evaluation (PI: McCarty)**
Source: Center for Substance Abuse Treatment
Purpose: To evaluate the impact of managed care on the substance abuse treatment and outcomes of Medicaid and indigent patients
Dates: 08/01/2000 – 09/26/2001
Role: Principal Investigator (subcontract)
Direct Cost: \$71,777 (subcontract only)
Grant: Unavailable

Project: **HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study**

(PI: Bell)

Source: SAMHSA/James Bell Associates
Purpose: To provide economic consultation to the research teams at the individual sites, coordinate the multi-site economic analyses, and provide verbal and written guidance to sites regarding the identification of economic research questions and measurement and estimation of economic outcomes.
Dates: 09/01/1998 – 08/31/2003
Role: Principal Investigator (subcontract)
Direct Cost: \$370,040 (subcontract only)
Grant: Unavailable

Project: Costs of Care and Factors Associated with Terminal Admissions for Dying Veterans (PI: Hallenbeck)

Source: Palo Alto Institute for Research and Education, Inc./Robert Wood Johnson Foundation
Purpose: This project will compare costs at the end of life among veterans who die in a palliative care unit vs. conventional setting of care.
Dates: 10/01/2002 – 09/30/2003
Role: Principal Investigator (subcontract)
Direct Cost: \$65,324 (subcontract only)
Grant: Unavailable

Project: Development and Application of Psychiatric Risk Adjustment Methods in the VA (PI: Rosen)

Source: Department of Veteran Affairs
Purpose: To derive a diagnosis-based, psychiatric case-mix measure, specific to the VA, to profile and predict concurrent and future resource consumption among patients with MH/SA disorders across VA facilities.
Dates: 04/01/2001 – 03/31/2004
Role: Principal Investigator (subcontract)
Direct Cost: \$68,324 (subcontract only)
Grant: Unavailable

Project: Center for Research in Older People with Psychoses (PI: Jeste)

Source: NIH
Purpose: The major goals of this center are to conduct research with the goal of improving care for older persons with mental illness.
Dates: 09/01/2008 – 08/31/2009
Role: Principal Investigator (subcontract)
Direct Cost: \$12,499 (subcontract only)
Grant: 1P30MH66248-01

Project: VA ASSIST National Project (PI: Lorenz/Walling)

Source: Veterans Health Administration (VHA)
Purpose: Using a national random sample of veterans diagnosed with colorectal, pancreatic and non-small cell lung cancer from the VA national cancer registry, we will use

the Cancer Quality ASSIST indicators to evaluate (a) the overall quality of veterans' supportive cancer care; (b) patient and facility factors that may be associated with overall quality; and (c) whether palliative care use is associated with higher quality supportive care.

Dates: 10/01/2010 – 09/30/2013
Role: Principal Investigator (subcontract)
Direct Cost: \$92,724 (subcontract only)
Grant: IIR-09-097-2

Project: **Comparative Effectiveness of Comprehensive Care for Adults with SMI (PI: Gilmer)**

Source: AHRQ
Purpose: To examine the comparative effectiveness of usual care vs. the Housing First model of comprehensive care, which was implemented in California as Full Service Partnerships under the Mental Health Services Act.

Dates: 09/30/2010 – 09/29/2013
Role: Principal Investigator (sub-contract)
Direct Cost: \$341,150 (subcontract only)
Grant: R01HS019986

Project: **National Evaluation of the Comprehensive Community Mental Health Services for Children and Their Families Program (PI: Manteuffel)**

Source: SAMHSA/ICF MACRO
Purpose: The major goal of this project is to conduct a national multisite evaluation of the implementation of CMHS-funded systems of care (SOC). The evaluation will describe the children and families served by SOC; determine the nature and extent of clinical and functional outcomes for children and families served in SOC; examine how children and families experience services within SOC and how they use services and supports (i.e., utilization patterns); assess the effectiveness of the SOC approach compared to usual service delivery approaches; and assess the effectiveness of evidence-based treatments within SOC.

Dates: 09/30/2008 – 09/29/2013
Role: Principal Investigator (subcontract)
Direct Cost: \$172,637 (subcontract only)
Grant: DHHS334309SI334

Project: **The Cost of Quality Home Care (PI: Mukamel)**

Source: NIA
Purpose: This project will investigate the relationship between costs and quality in home health care. It will offer HHAs and policy makers, such as the Centers of Medicare and Medicaid (CMS), information about the effectiveness and costliness of specific quality improvement strategies. It will inform efforts by CMS as it designs and updates the home health prospective payment system.

Dates: 09/01/16 – 08/31/20
Role: Co-Investigator, Site PI

Direct Cost: \$62,639 (Y1)
Grant: 1R01AG051719 - 01A1

Research Grants and Fellowships Received (Co-Investigator):

Project: **Risk Adjustment of Payments for Mental Health and Substance Abuse (PI: Richard Frank and Thomas McGuire)**
Source: Health Care Financing Administration
Purpose: To evaluate the performance of commercial risk adjustment systems in predicting behavioral health care expenditures and to develop a risk adjustment system specific to behavioral health
Dates: 1994-1997
Role: Co-Investigator
Grant: Unavailable

Project: **Health, Work and Family in Mid-life (PI: Cleary)**
Source: John D. and Catherine T. MacArthur Foundation
Purpose: To examine the relationships between work, health, and work-family conflict among a representative sample of Americans in mid-life
Years: 1995 – 1997
Role: Co-Investigator
Grant: Unavailable

Project: **State Substance Abuse/Mental Health Managed Care Evaluation (PI: McCarty)**
Source: Center for Substance Abuse Treatment (CSAT)
Purpose: To evaluate the impact of managed care on the substance abuse treatment and outcomes of Medicaid and indigent patients
Years: 1996 – 1999
Role: Co-Investigator
Grant: Unavailable

Project: **California Treatment Outcome Project (PI: Hser)**
Source: Center for Substance Abuse Treatment (CSAT)
Purpose: To evaluate the outcomes and potential cost offset associated with substance abuse treatment.
Dates: 10/01/1998 – 09/30/2002
Role: Co-Investigator
Direct Cost: \$176,786
Grant: Unavailable

Project: **California Regional Alzheimer's Disease Care Improvement Project (PI: Vickrey/Mittman)**
Source: *California Health Care Foundation*
Purpose: To develop, demonstrate and evaluate an integrated, community-wide, collaborative quality improvement strategy for the management of

Alzheimer's Disease and other dementias in the greater San Diego area

Dates: 02/01/2000 – 1/31/2003
 Role: Co-Investigator
 Direct Cost: \$1,000,000
 Grant: Unavailable

Project: Cost Analysis and Operation Assessment of Community-based Alcohol and Drug Treatment Programs (PI: Hser)

Source: Robert Wood Johnson Foundation (RWJF)
 Purpose: Supplement to California Treatment Outcome Project. The major goal of this project is to collect program operation information and cost data from community-based treatment programs participating in the California Outcome Project (CalTOP) in order to identify key program factors influencing treatment effectiveness and to support the “cost-offset” analysis

Dates: 08/01/2002 – 05/31/2003
 Role: Co-Investigator
 Direct Cost: \$90,946
 Grant: Unavailable

Project: Care Management by Nurse Practitioner/Hospitalist Team (PI: Cowan)

Source: National Institute of Nursing Research (NINR)
 Purpose: To compare the effectiveness and costs of care management by a hospitalist MD/ nurse practitioner care management team to usual care

Dates: 07/01/2000 – 06/30/2003
 Role: Co-Investigator
 Direct Cost: \$2,381,229
 Grant: Unavailable

Project: Improving Alzheimer's Disease Care Through Education and Community Collaboration (PI: Vickrey/Mittman)

Source: CA/HHS/Dept of Health Services University of California, San Francisco
 Purpose: Supplement to California Regional Alzheimer's Disease Care Improvement Project

Dates: 07/01/2001 – 06/30/2003
 Role: Co-Investigator
 Direct Cost: \$331,481
 Grant: Unavailable

Project: Interventions for Outreach and Access for Underserved with HIV Infection (PI: Cunningham)

Source: Health Resources Service Agency (HRSA)
 Purpose: To evaluate the Mobile HIV Outreach Program, a mobile HIV testing unit that serves South Central Los Angeles

Dates: 09/01/2001 – 08/30/2003
 Role: Co-Investigator

Direct Cost: \$200,000 (Y1 only)
Grant: Unavailable

Project: **Long-Term Economic Outcomes in Depressed Patients (PI: Wells/Schoenbaum)**

Source: National Institute of Mental Health (NIMH)
Purpose: To determine how remission from an initial depressive episode affects four-year followup economic outcomes.

Dates: 04/01/2001 – 03/28/2004

Role: Co-Investigator

Direct Cost: \$339,203 (Y1 only)

Grant: Unavailable

Project: **A Self-care Intervention for Latino Adults with Diabetes (PI: Mangione)**

Source: NIH/NIDDK

Purpose: To evaluate the costs and outcomes of a culturally appropriate intervention to improve diabetes self-care practices by enhancing self-efficacy, empowerment and knowledge among Latinos over age 55.

Dates: 07/01/2001 – 03/31/2005

Role: Co-Investigator

Direct Cost: \$1,646,633

Grant: Unavailable

Project: **Evaluation of the Substance Abuse and Crime Prevention Act of 2000 (PI: Longshore)**

Source: State of California/Department of Alcohol and Drug Programs

Purpose: To evaluate the effectiveness and financial impact of the Substance Abuse and Crime Prevention Act of 2000

Dates: 06/05/2001 – 06/30/2005

Role: Co-Investigator

Direct Cost: \$775,910

Grant: Unavailable

Project: **Post-Acute Care Practice Patterns After Major Orthopedic Surgery: The Impact of the Balanced Budget Act of 1997 (PI: FitzGerald)**

Source: Arthritis Foundation

Purpose: Medicare data between 1997 through 1999 will be analyzed to determine the impact of post-acute care policy changes implemented by the Balanced Budget Act of 1997. Acute and post-acute care utilization will be examined for patients undergoing either elective joint replacement surgery or surgical management of hip fracture. Re-hospitalization and specific post-operative complications will be analyzed.

Dates: 06/10/2002 – 06/30/2005

Role: Co-Investigator

Direct Cost: \$225,000

Grant: Unavailable

Project: **Impact of Medicare Policies on Utilization and Outcomes (PI: FitzGerald)**

Source: Agency for Healthcare Research and Quality (AHRQ)

Purpose: To study the impact of the Balanced Budget Act expenditure cuts on post-acute care utilization and clinical outcomes among Medicare patients who have undergone elective joint replacement surgery or surgical management of hip fracture; and managed care disenrollment prior to planned surgery and disenrollment prior to unplanned surgery.

Dates: 07/01/2002 – 06/30/2005

Role: Co-Investigator

Direct Cost: \$491,051

Grant: Unavailable

Grant: Unavailable

Project: **UCLA/RAND/Drew Program to Address Disparities in Health (PI: Shapiro)**

Source: Agency for Health Care Research and Quality (AHRQ)

Purpose: To study disparities in health and health care and develop interventions to improve health outcomes of African-Americans and Latinos.

Dates: 09/01/2001-08/31/2005

Role: Co-Investigator

Direct Cost: \$1,273,224

Grant: Unavailable

Project: **Racial and Ethnic Disparities in Health Policy Model (PI: Wong)**

Source: National Institute on Aging (NIA)

Purpose: To create a Markov cycle tree simulation model that will identify those diseases that contribute most to the disparities in mortality among African-Americans, Latinos, and Asians compared to Whites. This Disparities health Policy Model will also allow us to determine the degree to which the lower life expectancy among African-Americans can be attributed to a greater risk of getting a disease as opposed to a higher death rate from the disease once it has developed. Finally, we will use the model to predict the impact of selected clinical and policy interventions on the racial disparity in years of potential life lost.

Dates: 06/10/2002 – 06/30/2007

Role: Co-Investigator

Direct Cost: \$581,682

Grant: Unavailable

Project: **Racial and Ethnic Disparities in Health Policy Model (PI: Wong)**

Source: Health Resources Service Agency (HRSA)

Purpose: To create a simulation model to address health disparities among minorities in the U.S.

Dates: 09/30/2002 – 08/31/2007

Role: Co-Investigator
Direct Cost: \$539,047
Grant: Unavailable

Project: **Center for Research on Quality in Managed Care (PI: Wells)**
Source: National Institute of Mental Health (NIMH)
Purpose: The Center seeks to improve quality of care for diverse populations, across disorders, age groups and ethnically diverse low-income populations.
Dates: 08/01/2003 – 08/31/2008
Role: Co-Investigator
Direct Cost: \$1,298,396
Grant: 1P30MH068639

Project: **Reducing the Disproportionate Burden of Orofacial Injury (PI: Shetty)**
Source: National Institute of Dental and Craniofacial Research (NIDCR)/National Institute on Drug Abuse (NIDA)
Purpose: To test the effectiveness of a personalized motivational intervention in promoting recovery from the initial orofacial injury; to test the effectiveness of the intervention in decreasing occurrence and severity of re-injury and increasing time to any re-injury; and to determine whether the intervention's effects on re-injury are mediated by recovery from the initial injury, reduced behavioral problems, and reduced psychological distress; and to perform a cost-offset analysis of the intervention.
Dates: 09/30/2003 – 06/30/2009
Role: Co-Investigator
Direct Cost: \$2,303,438
Grant: 1R01DA16850

Project: **Partners in Care for Children (PI: Zima)**
Source: National Institute of Mental Health (NIMH)
Purpose: To identify the factors related to the quality of ADHD care within publicly-funded carve-out mental health services
Dates: 09/01/2002 – 08/31/2009
Role: Co-Investigator
Direct Cost: \$764,872 (Y1 only)
Grant: 1R01MH61540

Project: **Translational Research Centers for Diabetes Control within Managed Care Settings (PI: Mangione)**
Source: Centers for Disease Control and Prevention (CDC)
Purpose: To examine quality of life and costs among 5,000 PacifiCare patients with diabetes.
Dates: 02/01/2004 – 01/31/2010
Role: Co-Investigator
Direct Cost: \$3,702,599

Grant: U48-CCU916380

Project: **RCT of an intervention to enable stroke survivors in the LA County Hospitals to "Stay With the Guidelines" (PI: Vickrey)**

Source: American Heart Association (AHA)

Purpose: To improve the delivery of stroke preventive services in disadvantage populations at three LA County hospitals by implementing a chronic care model-based intervention called SUSTAIN. It builds upon our successfully implemented in-hospital stroke prevention program including group clinics, self-management education and support, report cards, decision support through care protocols, and coordination and delivery of stroke prevention care by a specially trained nurse practitioner.

Dates: 10/01/2008 – 09/30/2010

Role: Co-Investigator

Direct Cost: \$3,891,800

Grant: 0875134N

Project: **Physician Variations in Care and the Impact on Cancer Care Disparities (PI: Wong)**

Source: American Cancer Society (ACS)

Purpose: The objective of this study is to examine racial disparities in cancer care and identify within- and between-physician variations in care.

Dates: 07/01/2007 – 06/30/2011

Role: Co-Investigator

Direct Cost: \$639,167

Grant: RSGT-07-231-01

Project: **Understanding and Improving Financial Literacy With Respect to Health Care Expenditures in Retirement (PI: Jackson/Hoffman)**

Source: Social Security Administration

Purpose: This project will survey a random sample of 1500 individuals between the ages of 50 and 75 to ascertain their expectations regarding health care expenditures in retirement. The survey will include various treatment groups to determine the extent to which framing devices (such as information regarding average current retiree health care costs) can improve individual estimates of retiree health care costs.

Dates: 10/01/2010 – 9/29/2011

Role: Co-Investigator

Direct Cost: \$50,640 (UCLA subcontract only)

Grant: Unavailable

Project: **Early Detection, Prevention, and Treatment of HIV Core Support (PI: Rotheram)**

Source: National Institute of Mental Health (NIMH)

Purpose: Aims are to enhance understanding, research, and training to promote early detection of HIV, effective prevention and treatment programs, and to reduce HIV

stigmatization at the societal, community and individual levels.
Dates: 09/01/1997 – 2012
Role: Co-Investigator
Direct Cost: \$10,994,556
Grant: P30MH58107

Project: **A Multidisciplinary Lens on Racial/Ethnic and Educational Disparities in Contraceptive Use (PI: Sweeney)**

Source: UCLA Transdisciplinary Seed Grant
Purpose: Using data from the 2006-08 National Survey of Family Growth (NSFG), we combine insights from sociology, public health, economics, and clinical medicine to clarify reasons for race/ethnic- and education-based differences in use of the most effective contraceptive methods.

Dates: 9/01/2011 – 8/31/2012
Role: Co-Investigator
Direct Cost: \$25,000
Grant: N/A

Project: **The Impact of Cost-Sharing Among Persons with Multiple Sclerosis (PI: Cheng)**

Source: National Multiple Sclerosis Society (NMSS)
Purpose: This project will use Medical Expenditure Panel Survey data set to compare the level and trends of cost-sharing and out-of-pocket burden among MS and non-MS populations, and to determine the impact of cost-sharing and out-of-pocket burden on utilization, patient satisfaction, QOL, and access to care in the MS vs non-MS population.

Dates: 10/01/2011 – 9/30/2012
Role: Co-Investigator
Direct Cost: \$100,000
Grant: 20110439

Project: **Methamphetamine Abuse: Long-term Trajectories, Correlates, Treatment Effects (PI: Brecht)**

Source: National Institute on Drug Abuse (NIDA)
Purpose: To provide additional detail on the life course of methamphetamine (MA) use and an empirical basis for improving strategies for treatment outreach, engagement, service delivery, and continuing care.

Dates: 07/15/2009 – 05/31/2013
Role: Co-Investigator
Direct Cost: \$1,404,027
Grant: R01DA025113

Project: **Translating Research Into Action for Diabetes (TRIAD) Legacy Study (PI: Mangione)**

Source: Centers for Disease Control and Prevention (CDC)
Purpose: The goal of the Translating Research into Action for Diabetes (TRIAD) legacy

studies is to examine the system- and patient-level factors that are associated with long-term processes of care, morbidity, costs, and mortality of persons with diabetes.

Dates: 07/12/2010 – 07/11/2013
Role: Co-Investigator
Direct Cost: 4359,241
Grant: 1U58DP002642-01

Project: **UCLA-RAND NIMH Partnered Research Center for Quality Care (PI: Wells)**

Source: National Institute of Mental Health (NIMH)

Purpose: A collaboration of UCLA, RAND, USC, and health plan, services agency, and community and consumer partners, the proposed Center focuses on improving access to quality mental health services in communities through studying the impact of interventions at policy, practice, and community levels; and partnerships in research and program development, implementation, and evaluation to achieve science that is formed by and can inform community-based services delivery.

Dates: 08/01/2008 - 7/31/2013
Role: Co-Investigator
Direct Cost: \$6,196,888
Grant: P30MH082760

Project: **The Coverage Gap and Clinical Outcomes in a Medicare Advantage Population (PI: Duru)**

Source: National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)

Purpose: To examine the impact of the Medicare Part D coverage gap on medication use and clinical outcomes, including metabolic control, emergency department use, and hospitalizations, among older adults with diabetes in managed care.

Dates: 08/01/2010 – 07/31/2013
Role: Co-Investigator
Direct Cost: \$375,000
Grant: 1R03DK089122-01

Project: **Expanding the Role of Medicaid in Cancer Care for the Uninsured (PI: Zingmond)**

Source: American Cancer Society (ACS)

Purpose: An In-depth population-based evaluation of the treatment of six cancers to evaluate the surgical care of the poor and underserved and to investigate potential improvements deriving from an expansion of Medicaid.

Dates: 01/01/2011 – 12/31/2013
Role: Co-Investigator
Direct Cost: \$400,000
Grant: RSGI-11-005-01-CPHPS

Project: **Effectiveness of Peer Navigation to Link Released HIV+ Jail Inmates to HIV Care(PI: Cunningham)**
Source: National Institute on Drug Abuse (NIDA)
Purpose: The goal of the study is to adapt an existing, theory-based intervention to maximize its feasibility and acceptability to HIV+ ex-inmates.
Dates: 10/01/2010 – 09/30/2015
Role: Co-Investigator
Direct Cost: \$4,293,013
Grant: R01DA030781

Project: **The Diabetes Health Plan: a System Level Intervention to Prevent & Treat Diabetes (PI: Mangione)**
Source: Centers for Disease Control and Prevention (CDC)
Purpose: A partnership with United Healthcare (UC) to form a cooperative agreement with the CDC to evaluate an innovative health system-based insurance product called the Diabetes Health Plan (DHP) that offers preventive treatments and services with established efficacy at very low or no cost to patients with pre-diabetes and diabetes.
Dates: 09/28/2010 – 09/27/2015
Role: Co-Investigator
Direct Cost: \$2,015,173
Grant: U58DP002722

Project: **A Partnered Evaluation of UHC Medicaid Plan Innovations for Diabetes Patients (PI: Mangione/Duru)**
Source: CDC
Purpose: This project will evaluate two innovative Medicaid programs known as the Accountable Care Communities (ACC) program and the Health Home (HH) program. Both programs are delivery system transformations with on-the-ground partnerships to support improvements in care and population management strategies for Medicaid beneficiaries, many of whom have diabetes and other complex care needs. The overall goal of the research is to employ rigorous evaluation of the impact of these innovative programs on the delivery, outcomes, and cost of care for adult Medicaid enrollees with diabetes.
Dates: 09/30/2015
Role: Co-Investigator
Direct Costs: \$450,000
Grant: 1U18DP006128-01

Project: **UCLA Clinical Translational Science Institute (PI: Dubinett)**
Source: NIH/ NCATS
Purpose: The CTSA consortium was established by the NIH to enhance biomedical research by accelerating the translation of laboratory discoveries into effective treatments for patients, more actively engaging communities in clinical research and training future generations of researchers to think and work in this bench-to-bedside continuum.

Dates: 06/01/2011 – 02/29/2016
Role: Co-Investigator
Direct Cost: \$12,879,753
Grant: 1UL1RR033176-01

Project: **The California Endowment Care Connections Pathways (CCP) Evaluation (PI: Clemens Hong)**

Source: The California Endowment

Purpose: This project will 1) Involve Los Angeles County Department of Health Services (LACDHS), Worker Education & Resource Center (WERC), Care Connections Program (CCP) Steering Committee (with representatives from each demonstration primary care practice), and Community Advisory Board in CCP evaluation. 2) Conduct Care Connections Program (CCP) effectiveness evaluation to inform LACDHS program expansion decisions. 3) Conduct formative evaluation by using continuous quality improvement to assess and inform LACDHS and WERC on Care Connections Program (CCP) adoption and implementation 4) Inform by plan created by key stakeholders, disseminate Care Connections Program (CCP) evaluation results widely through LACDHS and WERC websites, publications, social media, local networks, key coalitions, key government officials, and national healthcare networks

Dates: 03/01/2015-02/28/2017

Role: Co-Investigator

Direct Costs: \$102,863

Grant: 20142087

Project: **UCLA/DREW Project EXPORT (PI: Norris)**

Source: National Institutes of Health (NIH)

Purpose: To promote academic careers that will focus on improving health disparities among minorities in the U.S.

Dates: 09/30/2002 – 05/31/2017

Role: Co-Investigator

Direct Cost: \$3,107,568

Grant: P20MD000182

Project: **Project Edgar (PI: Norris)**

Source: Hospital

Purpose: This project will 1. Assess prevalence of eGFR measurements among all adult enrollees stratified by race ethnicity (will help to assess who might be missed and does the missing group look like the non-missing group) 2. Assess prevalence of eGFR measurements among adult enrollees with diabetes. 3. Assess prevalence of eGFR measurements among adult enrollees with HTN. 4. Assess prevalence of urinary albumin (or protein) measurements among adult enrollees with diabetes, stratified by GFR and race/ethnicity. 5. Assess prevalence of urinary albumin (or protein) measurements among adult enrollees with HTN, stratified by GFR and race/ethnicity.

Dates: 08/01/2015-07/31/2017

Role: Co-Investigator
Direct Costs: \$957,728
Grant: Grant (Grant number not assigned)

Project: **VA Center of Innovation (PI: Yano)**
Source: Department of Veterans Affairs HSR&D Service
Purpose: This Center's mission is to advance and deploy scientific methods for understanding patient, provider and organizational predictors of quality and economic outcomes, developing and testing needed innovations for improving the effectiveness and value of VA healthcare, and accelerating multilevel adoption, implementation, spread and sustainability of effective practices and policies. Focused areas of partnered research include emphases on improving primary care, women's health and care for vulnerable populations. Emerging areas of innovation include advances in delivery models for clinical genetics, emergency management, infectious diseases and integrative medicine.

Dates: 10/1/13-9/30/18
Role: Co-Investigator
Direct Cost: \$17,145
Grant: HFP 94-028

Project: **Encouraging Mail Order Pharmacy Use to Improve Outcomes and Reduce Disparities**

Source: NIH/NIDDK (Kaiser Sub)
Purpose: The purpose of this application is to test whether standardized and personalized outreach strategies to encourage mail order pharmacy use in diabetes patients at high risk for poor cardiovascular disease outcomes will result in greater medication adherence and improved cardiovascular disease risk factor control. We will assess differences in the impact of the intervention across racial/ethnic and socioeconomic subgroups, and evaluate the barriers and facilitators to sustaining and disseminating the intervention. This research will provide a foundation for implementing cost-effective, health system-level interventions to improve medication adherence in diabetes patients.

Dates: 06/01/2015-05/31/2019
Role: Co-Investigator
Direct Costs: \$51,894
Grant: 1R18DK104249-01A1

Project: **Effect of Peer Navigation and Contingency Management on Retention in HIV Care (PI: Cunningham)**

Source: NIH
Purpose: The proposed study will assess outcomes of the following interventions in comparison to usual care: 1) contingency management (CM) only; 2) peer navigation (PN) only; and 3) a combined approach that integrates both CM and PN (CA).

Dates: 9/01/2014 – 08/31/2019
Role: Co-Investigator

Direct Cost: \$499,995
Grant: 1R01MH103076-01A1 (Cunningham)

Project: **A Cluster-Randomized Trial of Pharmacist-Coordinated Implementation of the DPP (PI: Duru/Mangione)**

Source: NIH/NIDDK

Purpose: To evaluate a novel program that uses the electronic health record to identify patients with prediabetes and offers consultation with a primary care based pharmacist who uses a shared decision making tool to increase the proportion of patients with prediabetes who know about the condition and who participate in lifestyle change and/or use of metformin to prevent diabetes.

Dates: 04/01/15 – 04/31/20

Role: Co-Investigator

Direct Cost: \$500,000

Grant: R18DK105464-01 (Duru/Mangione)

Project: **UCLA Clinical Translational Science Institute (PI: Dubinett)**

Source: NIH/ NCATS

Purpose: The CTSA consortium was established by the NIH to enhance biomedical research by accelerating the translation of laboratory discoveries into effective treatments for patients, more actively engaging communities in clinical research and training future generations of researchers to think and work in this bench-to-bedside continuum.

Dates: 07/01/2016 – 05/31/2021

Role: Co-Investigator

Direct Cost: \$12,601,789

Grant: UL1TR001881

Project: **PARENT = Parent-focused Redesign for Encounters, Newborns to Toddlers (PI: Coker)**

Source: NIMH

Purpose: Aim #1: Measure the effect of PARENT on receipt of nationally-recommended WCC services and parent experiences of care. H1: PARENT will improve receipt of WCC services and parent experiences of care. Aim #2: Determine the effects of PARENT on WCC, urgent care, and ED utilization, and on net costs. H2a: PARENT will result in improved up-to-date rates for WCC visits and reduced ED utilization. H2b: In a cost/cost-offset analysis, we will demonstrate that direct intervention costs of PARENT are offset by net reductions in ED utilization. Aim #3: Examine the effect of PARENT on physician time allocation for WCC and urgent care visits. H3: Physicians will shift time from providing routine WCC services in well-visits to chronic disease management and urgent care. Aim #4: Assess the effect of PARENT on parent-focused outcomes in an exploratory analysis. H4: PARENT will result in improved parental mental health, parenting self-efficacy, and positive behaviors.

Dates: 07/01/16 – 06/30/21

Role: Co-Investigator

Direct Cost: \$500,000 (Y1)
Grant: 1R01HD088586 – 01

LECTURES AND PRESENTATIONS:

Ettner SL, Weissman J. *Utilization of Formal and Informal Home Care by AIDS Patients in Boston: A Comparison of Intravenous Drug Users and Homosexual Males.* American Public Health Association Meetings, San Francisco, CA, October 1993.

Ettner SL. *Is Working Good For You? Evidence on the Endogeneity of Mental and Physical Health to Female Employment.* American Economic Association meetings, Boston, MA, January 1994.

Ettner SL. *Is Working Good For You? Evidence on the Endogeneity of Mental and Physical Health to Female Employment.* Labor Economics Workshop, National Bureau of Economic Research, Cambridge, MA, May 1994.

Ettner SL. *Is Working Good For You? Evidence on the Endogeneity of Mental and Physical Health to Female Employment.* Syracuse University, Syracuse, NY, 1994.

Ettner SL. *Is Working Good For You? Evidence on the Endogeneity of Mental and Physical Health to Female Employment.* Tufts University, Medford, MA, 1994.

Ettner SL. *Adverse Selection and the Purchase of Medigap Insurance.* Health Workshop, National Bureau of Economic Research Summer Institute, Cambridge, MA, July 1995.

Ettner SL. *Does Having a Usual Source of Medical Care Improve Access to Preventive Services for Women and Children?* American Public Health Association Meetings, San Diego, CA, October 1995.

Ettner SL. *Adverse Selection and the Purchase of Medigap Insurance.* MIT-Harvard-Boston University Health Economics Seminar, Boston, MA, February 1996.

Ettner SL, Frank RG, Kessler RC. *The Impact of Psychiatric Disorders on Labor Market Outcomes.* Cornell Labor Seminar, Ithaca, NY, April 1996.

Ettner SL, et al. *Risk Adjustment of Mental Health and Substance Abuse Payments.* International Health Economics Association meetings, Vancouver, British Columbia, Canada, May 1996.

Ettner SL. *Designing a Cost-Effectiveness Analysis of Psychotherapy in Canada.* Canadian Psychiatric Association meetings, Quebec City, Canada, October 1996.

Ettner SL. *The Relationship Between Labor Market Outcomes and Physical and Mental Health: Exogenous Human Capital or Endogenous Health Production?* Health Institute

Seminar, February 1997.

Ettner, SL. *The Work-Health Relationship.* “Work Place ‘97”, Brookline Cable Access Television, Brookline, MA, April 1997.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* Harvard Mental Health Services Seminar, Boston, MA, April 1997.

Ettner SL. *Risk Adjustment of Capitation Payments for Mental Health and Substance Abuse Services.* CMHS 47th National Conference on Mental Health Statistics, Washington, DC, May 1997.

Ettner SL. *The Perceived Impact of Jobs on Health: When is Employment Good for You?* MacArthur Foundation Research Network on Successful Mid-life Development, June 1997.

Ettner SL. *Is Psychotherapy Cost-Effective?* “Psychotherapy: Treatment Protocols”, conference sponsored by The Cambridge Hospital, Cambridge, MA, June 1997.

Ettner SL. *On the Use of Cost-Effectiveness Studies to Make Policy: Caveat Emptor.* New Clinical Drug Evaluation Unit (NCDEU) meeting, Boca Raton, FL, June 1997.

Ettner SL. *Economics and the Future of Mental Health Services.* VA Psychology Services Workshop, “Application of Health Services Research to Mental Health”, Boston, MA, June 1997.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* Healthcare Workshop, National Bureau of Economics Research Summer Institute, Cambridge, MA, July 1997.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* Fifth Northeast Regional Health Economics Research Symposium, Newport, RI, August 1997.

Ettner SL, Hermann RC, Tang H. *Differences Between the General Medical and Mental Health Specialty Sectors in the Expenditures and Utilization Patterns of Medicare Patients Treated for Psychiatric Disorders.* Eleventh International NIMH Conference on Mental Health Problems in the General Health Care Sector: Spotlight on the Elderly, Washington, DC, September 1997.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* NIMH Conference on Improving the Condition of People with Mental Illness: The Role of Services Research, Washington, DC, September 1997.

Ettner SL. *The Relationship Between Labor Market Outcomes and Physical and Mental Health: Exogenous Human Capital or Endogenous Health Production?* International Atlantic Economic Conference, Vienna, Austria, October 1997.

Ettner SL. *Is Psychotherapy Cost-Effective?* Mt. Auburn Hospital Psychiatry Grand Rounds Lecture Series, Cambridge, MA, October 1997.

Ettner SL, Hermann RC, Tang H. *Differences Between the General Medical and Mental Health Specialty Sectors in the Expenditures and Utilization Patterns of Medicare Patients Treated for Psychiatric Disorders.* Mental Health Policy Institute, Rutgers University, New Brunswick, NJ, December 1997.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* UCLA School of Medicine, Los Angeles, CA, Spring 1998.

Ettner SL and Hoyle G. *Health Economics and Financial Accounting.* Workshop presented at the Catholic Health Initiatives (CHI) conference, Denver, CO, October 6, 1998.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* University of Alabama at Birmingham, Birmingham, AL, Spring 1998.

Ettner SL. *The Relationship Between Labor Market Outcomes and Physical and Mental Health: Exogenous Human Capital or Endogenous Health Production?* American Economic Association meetings, New York, NY, January 1999.

Ettner SL. *The Opportunity Costs of Elder Care: Implications for Public Policy.* Panel on Emerging Trends in Intergenerational Relations, Conference on Inter-generational Policy and Society, University of Illinois at Champaign-Urbana, Champaign, IL, April 1999.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* Syracuse University School of Public Policy, Syracuse, NY, April 1999.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* Harris School of Public Policy, University of Chicago, Chicago, IL, April 1999.

Ettner SL, et al. *Risk Adjustment Alternatives in Paying for Behavioral Health Under Medicaid.* International Health Economic Association meetings, Rotterdam, The Netherlands, June 1999.

Ettner SL. *The Relationship Between Labor Market Outcomes and Physical and Mental*

Health: Exogenous Human Capital or Endogenous Health Production? International Health Economic Association meetings, Rotterdam, The Netherlands, June 1999.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* International Health Economic Association meetings, Rotterdam, The Netherlands, June 1999.

Ettner SL. *Use of Medicare Administrative Data for Aging Research.* RAND Annual Summer Institute (RSI) on the Demography, Economics, and Epidemiology of Aging. Santa Monica, CA, July 1999.

Ettner SL. *The Relationship Between Labor Market Outcomes and Physical and Mental Health: Exogenous Human Capital or Endogenous Health Production?* 19th Arne Ryde Symposium: Individual Decisions for Health, Lund, Sweden, August 1999.

Ettner SL. *Risk Adjustment of Payments in Behavioral Health Applications: What Do We Know and Where Do We Go from Here?* Mental Health Outcomes Risk Adjustment: A National Research Development Conference, Spokane, WA, August 1999.

Ettner SL. *Risk Adjustment of Capitation Payments: An Overview.* State Government Staff Meeting, Austin, TX, November 1999.

Ettner SL, Hermann RC. *The Role of Profit Status under Imperfect Information: Evidence from the Treatment Patterns of Elderly Medicare Beneficiaries Admitted to Psychiatric Hospitals.* American Economic Association meetings, Boston, Massachusetts, January 2000.

Ettner SL. *The Impact of Patient, Provider and Market Characteristics on the Inpatient Substance Abuse Treatment Patterns of Medicare Beneficiaries.* International Atlantic Economic Society meetings, Munich, Germany, March 2000.

Ettner SL, et al. *Risk Adjustment Alternatives in Paying for Behavioral Health Under Medicaid.* NIMH Challenges for the 21st Century: Mental Health Services Research, Washington, DC, July 2000.

Grzywacz J, **Ettner SL.** *Lost Time on the Job: The Effect of Depression versus Physical Health Conditions.* NIMH Challenges for the 21st Century: Mental Health Services Research, Washington, DC, July 2000.

Barsky AJ, **Ettner SL**, et al. *Resource Utilization of Patients with Hypochondriacal Health Anxiety and Somatization.* NIMH Challenges for the 21st Century: Mental Health Services Research, Washington, DC, July 2000.

Ettner SL. *Older Medicare Beneficiaries Hospitalized for Psychiatric Disorders: Factors Influencing Treatment Patterns.* 5th Annual Research Conference on Aging, UCLA Center on Aging & Multicampus Program in Geriatric Medicine and Gerontology, Los Angeles, CA, July 2000.

Ettner SL. *The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey.* The CWHS Second Annual Research Conference, San Francisco, CA, December 2000.

Ettner SL. *The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey.* American Economic Association Meetings, New Orleans, LA, January 2001.

Ettner, SL. *How Should We Choose Risk Adjusters? The Role of Economic Incentives.* Risk Adjustment II: Achieving Fair and Accurate Mental Health Outcomes Information, Spokane, WA, March 2001.

Ettner, SL. *HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study.* American Foundation for AIDS Research (AMFAR) Conference, San Francisco, CA, March 2001.

Ettner SL, Grzywacz J. *Socioeconomic Status and Health Among Californians: An Examination of Multiple Pathways.* Research to Action: Shaping our Health Care Future. Academy for Health Services Research and Health Policy 2001 Annual Meeting, Atlanta, GA, June 2001.

Ettner SL. *The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey.* Research to Action: Shaping our Health Care Future. Academy for Health Services Research and Health Policy 2001 Annual Meeting, Atlanta, GA, June 2001.

Ettner, SL, et al. *The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Maryland Medicaid Beneficiaries: A Natural Experiment.* Research to Action: Shaping our Health Care Future. Academy for Health Services Research and Health Policy 2001 Annual Meeting, Atlanta, GA, June 2001.

Ettner SL. *The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey.* International Health Economics Association Third International Conference, York, England, July 2001.

Ettner, SL, et al. *The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Maryland Medicaid Beneficiaries: A Natural Experiment.* International Health Economics Association Third International Conference, York, England, July 2001.

Ettner, SL, et al. *The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Maryland Medicaid Beneficiaries: A Natural Experiment.* Health Services Research Seminar, UCLA School of Medicine, Los Angeles, CA November 2001.

Ettner SL. Panelist, *Human Resources Round Table Program on "Managing Organizational and Personal Uncertainty in the Work Place.* UCLA Institute for Labor and Employment, Los

Angeles, CA, December 2001.

Ettner SL. *A Longitudinal Analysis of Mediating Factors in the SES and Health Relationship.* The CWSHS Third Annual Research Conference, San Francisco, CA, December 2001.

Ettner SL. *Cost-Offset and Cost-Effectiveness in the CalTOP Study.* State of California Drug and Alcohol Program meeting, Sacramento, CA, January 2002.

Ettner SL, Crimmins E. *Economic Measures in Health Surveys.* NIH Workshop on Economic Measures in Health Surveys. Bethesda, MD, January 2002.

Ettner SL. *Sample Selection Bias: What Is It and What Can We Do About It?* UCLA Drug and Alcohol Research Center Methods Seminar, Los Angeles, CA, February 2002.

Ettner SL. *Improving Access to Mental Health Care for Elderly and Disabled Medicare Beneficiaries: Are Carve-Out Models a Viable Option?* Gerontology Colloquium, Pennsylvania State University, Altoona, PA, October 2002.

Ettner SL. *Cost-Effectiveness of Depression in Primary Care: Bridging Evidence and Practice.* Workshop at the NIMH/NIAAA/NIDA conference Beyond the Clinic Walls: Expanding Mental Health, Drug and Alcohol Services Research Outside the Specialty Care System, Washington, DC, March 10, 2003

Ettner SL et al. *Improving Access to Mental Health Care for Elderly and Disabled Medicare Beneficiaries: Are Carve-out Models a Viable Option?* Poster Presentation at the 2003 AcademyHealth Annual Research Meeting, Nashville, TN, June 29, 2003.

Ettner SL et al. *Quality of Care for HMO Patients with Diabetes: Do Physician Reimbursement Incentives Matter?* Poster Presentation at the 2003 AcademyHealth Annual Research Meeting, Nashville, TN, June 29, 2003.

Ettner SL et al. *Cost Offset in the California Treatment Outcomes Project (CalTOP) Study.* Presentation at the 2003 AcademyHealth Annual Research Meeting, Nashville, TN, June 29, 2003.

Schoenbaum M, **Ettner SL,** et al. *The Long-Term Effects of Quality-Improvement Programs for Depression on Patients' Household Wealth.* Presentation at the 2003 Annual Meeting of the International Health Economics Association, San Francisco, CA, June 17, 2003.

Ettner SL, et al. *Cost Offset in the California Treatment Outcomes Project (CalTOP) Study.* Presentation at the 2003 Annual Meeting of the International Health Economics Association, San Francisco, CA, June 17, 2003.

Ettner SL et al. *Cost Offset in the UCLA Hospitalist-Nurse Practitioner Intervention.* Poster Presentation at the 2003 Annual Meeting of the International Health Economics Association, San

Francisco, CA, June 17, 2003.

Ettner SL et al. *Quality of Care for HMO Patients with Diabetes: Do Physician Reimbursement Incentives Matter?* Presentation at the 2003 Annual Meeting of the International Health Economics Association, San Francisco, CA, June 17, 2003.

Ettner SL. *Bitter Medicine – The Advances in, and Costs of, Stopping Pain.* Panel Participation at Renaissance Weekend, Charleston, SC, December 30, 2003.

Ettner SL. *Medicare Revised? Consumer Choice, Industry, Economic & Policy Consequences.* Panel Participation at Renaissance Weekend, Charleston, SC. December 29, 2003.

Ettner SL. *Cost Offset in the California Treatment Outcome Project.* Allied Social Science Associations Annual Meeting, San Diego, CA. January 5, 2004.

Ettner SL. *A Brief Tutorial on Endogeneity Bias and Instrumental Variables as a Possible Solution.* UCLA/NPI Center for Community Health and Health Services Research Center with the UCLA/Drew EXPORT Center, Los Angeles, CA. March 1, 2004.

Ettner SL. *Behavioral Health and Work Productivity Losses: An Overview of the Evidence.* National Managed Health Care Congress Conference, Washington, DC. May 7, 2004

Ettner SL. *Methods for Addressing Selection Bias in Observational Studies.* Society of General Internal Medicine Annual Meeting, Chicago, IL, May 15, 2004.

Ettner SL. *Methods for Addressing Selection Bias in Observational Studies.* National Research Service Award Training Workshop, San Diego, CA, June 5, 2004.

Ettner SL, Conover C. *Services Outcomes and/or Costs.* Panel Presentation at Complexities of Co-Occurring Conditions Conference, Washington, DC, June 23-25, 2004.

Masaquel, A and **SL Ettner.** *How Does the Persistence of Depression Influence the Continuity, Type and Generosity of Health Insurance Coverage?* Presentation at “School, Work, Retirement: Coverage Impacts Across the Lifespan,” Economic Research Initiative on the Uninsured, Ann Arbor, MI, July 7, 2005.

Ettner SL et. al. *Does Geographic Access Influence the Use of VA vs. Medicare Services Among Dually Eligible VA Patients with Psychiatric Problems?* International Health Economics Association 5th World Congress Conference, Barcelona, Spain, July 11, 2005.

Ettner SL, et al. *Do Neighborhood Socioeconomic Characteristics Influence Psychiatric Treatment Patterns Among Managed Behavioral Healthcare Patients? A Multi-Level Modeling Approach.* International Health Economics Association 5th World Congress Conference, Barcelona, Spain, July 12, 2005.

Conover C, **Ettner SL**, et al. *Costs of Care for People living with Combined HIV/AIDS, Chronic Mental Illness, and Substance Abuse Disorders*. Addiction Health Services Research Conference, Santa Monica, CA, October 26, 2005.

Ettner SL. *Provider Incentives to Improve the Quality of Mental Health Care*. Visiting Professorship Lecture, Program on Health Outcomes, University of North Carolina at Chapel Hill, Chapel Hill, NC, April 7, 2006.

Ettner SL, Ang A, Hamilton E, Brown J and Jones E. *Behavioral Health Outcomes: Does Intensity of Treatment Matter? An Instrumental Variables Approach*. American Society of Health Economists First Biennial Meeting, Madison, WI, June 2006.

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AA Moore, Ramirez KD, Tallen L, Zamora E, Camacho D, Vie LL, Gewa C, Escobar D, Conroy L, Ang A, Kim S, **Ettner SL**. *Older At-Risk Drinkers in Primary Care*. Research Society on Alcoholism 30th Annual Scientific Meeting, Chicago, IL, July 2007.

Kim C, Tierney E, Herman WH, Thompson TJ, Mangione C, **Ettner SL**. *Physician Perception of Reimbursement for Outpatient Procedures and Association with Performance of Procedures Among Managed Care Enrollees with Diabetes*. American Society of Health Economists Second Biennial Meeting, Durham, NC, June 2008.

Ettner SL, MacLean JC, French MT. *Does Having a Dysfunctional Personality Hurt Your Career? Axis II Personality Disorders and Labor Market Outcomes*. American Society of Health Economists Second Biennial Meeting, Durham, NC, June 2008.

Ettner SL. *Economic Incentives in Mental Health*. Invited Keynote Speech, 30th Australian Conference of Health Economists, Adelaide, South Australia, October 2008.

Shrank W, **Ettner SL**, Slavin PH, Kaplan HJ. *Effect of Physician Reimbursement Methodology on the Rate and Cost of Cataract Surgery*. Kaiser Ophthalmology Symposium, Anaheim California, July 2009.

Ettner SL, Steers N, Duru OK, Turk N, Quiter E, Mangione CM. *How Did Medicare Part D Affect Prescription Drug and Medical Expenditures Among Medicare Advantage Enrollees With and Without Gap Coverage? Investigation of a Natural Experiment*. American Society of Health

Economists Third Biennial Meeting, Ithaca, NY June 2010.

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Ettner SL. *Methods for Addressing Selection Biases in Health Services Research Studies: An Introduction*. Invited Workshop. From Disparities Research to Disparities Interventions: Lessons Learned and Opportunities for the Future of Behavioral Health Services, Washington, DC, April 2011.

Mello M, **Ettner SL**, Epstein A, Volpp K. *Building a Successful Career in Academia*. Expert panel at AcademyHealth, Advance Research, Policy and Practice in Seattle, WA, June 2011.

Ong M, Xu H, Azocar F, Zhang L, **Ettner SL**. *Effect of Medicare Part D Benzodiazepine Exclusion on Psychotropic Use Among Benzodiazepine Users*. NIMH Mental Health Services Research Conference, Washington, DC, July 2011.

Ettner SL. *Policy Evaluations Using Administrative Databases: A Research Agenda*. Invited seminar, Washington University in St. Louis, St. Louis, MO, March 2012.

Ettner SL. *Does Having a Dysfunctional Personality Hurt Your Career?* UCLA Semel Institute Grand Rounds, Los Angeles, CA, April 17, 2012.

Ettner SL. *Methods (“Instrumental Variables 101”)*. Natural Experiments for Translation in Diabetes (NEXT-D) for the CDC Steering Committee Meeting, San Francisco, CA, April 19, 2012.

Ettner SL. *Axis II Personality Disorders and Health Behaviors: Some Preliminary Findings*. UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA, May 4, 2012.

Ettner SL. *Methods (“Instrumental Variables 101”)*. CHIME (Center for Health Improvement for Minority Elders / Project EXPORT (WiP Seminar, Los Angeles, CA, May 21, 2012.

Ong MK, Zhang L, Xu H, Azocar F, **Ettner SL**. *Effect of Medicare Part D Benzodiazepine Exclusion on Psychotropic Use Among Patients with New Anxiety Disorders*. American Society of Health Economists Fourth Biennial Conference, Minneapolis, MN, June 12, 2012.

Gilmer T, **Ettner SL**. *Full Service Partnerships Among Adults with Serious Mental Illness in California: Impact on Days Homeless, Service Utilization, and Costs*. UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA, May 24, 2013.

Ettner SL, Azocar F, Friedman S, Harwood J, Ong, MK, Thalmayer A, Tseng CH, Wells K (co-

authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Behavioral Health Services Access, Utilization and Expenditures*. Virginia Commonwealth University, Department of Health Policy and Management, Richmond, VA. April 3, 2014.

Ettner SL, Azocar F, Friedman S, Harwood J, Ong, MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Behavioral Health Services Access, Utilization and Expenditures*. University of Minnesota School of Public Health, Minneapolis, MN. April 4, 2014.

Ettner SL, Azocar F, Friedman S, Harwood J, Ong, MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Behavioral Health Services Access, Utilization and Expenditures Among “Carve-Out” Enrollees*. UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA. June 6, 2014.

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Popescu I, Xu H, Krivelyova A, **Ettner SL**. *Racial and Ethnic Disparities in Receipt of Specialty Services among Children with Mental Health Need Enrolled in the Children’s Mental Health Initiative*. American Society of Health Economists Fifth Biennial Conference, Los Angeles, CA. June 23, 2014.

Ettner SL, Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Utilization and Expenditures Among “Carve-Out” Enrollees with Substance Use Disorders*. 2014 Addiction Health Services Research conference, Boston, Massachusetts. October 16, 2014.

Ettner SL, Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Specialty Behavioral Healthcare Benefit Design, Utilization and Expenditures*. UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA. May 22, 2015.

Ettner SL, Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Specialty Behavioral Healthcare Benefit Design, Utilization and Expenditures*. Center for Healthcare Informatics and Policy Seminar Series, Department of Healthcare Policy and Research, Weill Cornell Medical College, New York, NY. May 13, 2015.

Ettner SL, Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Specialty Behavioral Healthcare Benefit Design, Utilization and Expenditures*. Centre for Addiction and Mental Health (CAMH) and the Canadian Centre for Health Economics (CCHE), University of Toronto, Toronto, Canada. October 9, 2015.

Azocar F, Beidle S, **Ettner SL**, Friedman S, Harwood J, Lambert Johnson L, Thalmayer A (all authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on SUD Benefit Design*. 2015 Addiction Health Services Research conference, Marina del Rey, CA. October 16, 2015.

Ettner SL. *Overview of the Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study*. Presented at the “Advancing Research and Policy on Mental Health Addiction Parity” invitation-only policy forum at the Johns Hopkins Bloomberg School of Public Health, Baltimore, MD. October 21, 2015.

Ettner SL. *Integrated Behavioral-Medical Care: The Role of Incentives*. Presented by invitation at the Integration of Behavioral Health and Medical Services in the Health Care Delivery System workshop, Steinberg Institute, Oakland, CA. March 29, 2016.

Ettner SL (co-presenter and senior author), Moin T (co-presenter and lead author), Steers WN, Duru OK, Turk N, Chan C, Keckhafer AM, Luchs R, Ho S, Mangione CM. *A Comparison of Disease-Specific Health Plans for Patients with Diabetes and Pre-Diabetes: What’s the Bottom Line?* UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA. May 20, 2016.

Ettner SL. *Large-Scale Evaluations Using Administrative Databases: A Research Agenda*. Invited keynote lecture, Canadian Health Economics Study Group, Ottawa, Canada. June 1, 2016.

Ettner SL. *Beyond Cost-Effectiveness: A Fresh Look at How Economics Can Inform Health Policy, Particularly for Public Health and Preventive Health Care*. PHACTually Speaking seminar, Public Health Agency of Canada, Ottawa, Canada. June 2, 2016.

Ettner SL. *Beyond Cost-Effectiveness: A Closer Look at Analytic Methods for Studies Based on Observational Data*. HDCDP Branch Special International Cluster presentation, Public Health Agency of Canada, Ottawa, Canada. June 2, 2016.

Ettner SL, Azocar F, Friedman S, Harwood J, Ong MK, Thalmayer A, Tseng CH, Wells K (co-authors in alphabetical order). *The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Impact on Financial Requirements and Quantitative Treatment Limits for Substance Abuse Treatment Among “Carve-In” Plans*. American Society of Health Economists (ASHEcon) Sixth Biennial Conference, Philadelphia, PA. June 14, 2016.

Ettner SL (presenter and senior author), Moin T (lead author), Steers WN, Duru OK, Turk N, Chan C, Keckhafer AM, Luchs R, Ho S, Mangione CM. *A Comparison of Changes in Medical*

and Prescription Expenditures Over Time Between Disease-Specific and Standard Benefit Plans: A Natural Experiment for Translation in Diabetes (NEXT-D) Study. American Society of Health Economists (ASHEcon) Sixth Biennial Conference, Philadelphia, PA. June 14, 2016.

Ettner SL. *Improving Access and Treatment of Mental Health and Substance Use Disorders: How Far Can Policy Take Us?* Invited speaker, William Anlyan MD and Richard Jenrette Lectureship, Duke University, Durham, NC. September 20, 2016.

Ettner SL. *Improving Access and Treatment of Substance Use Disorders: How Far Can Parity Laws Take Us?* Invited plenary speaker for panel on “Changing Landscapes, Changing Policies,” Addiction Health Services Research Conference, Seattle, WA. October 14, 2016.

Narain KL and **Ettner SL** (co-presenter and senior author). *Racial/Ethnic Disparities in Specialty Behavioral Healthcare Treatment Patterns and Expenditures Among Commercially Insured Patients in Managed Behavioral Healthcare Plans.* UCLA Division of General Internal Medicine and Health Services Research Seminar, Los Angeles, CA. May 12, 2017. Talk open to faculty, fellows & staff.

Ettner SL (presenter and first author), Xu H, Azocar F. *What happens when employers switch from a “carve-out” to a “carve-in” model for managed behavioral healthcare benefits? Evaluation of a natural experiment.* American Society of Health Economists (ASHEcon) Seventh Biennial Conference, Atlanta, GA. June 11, 2018.

Mukamel D, Ladd H, Xu H, Nuccio E, Zinn JS, Sorkin DH, **Ettner SL** (presenter and senior author). *Certificate of Need and the Cost of Competition in Home Healthcare Markets.* American Society of Health Economists (ASHEcon) Seventh Biennial Conference, Atlanta, GA. June 13, 2018.

Ettner SL. *Two Decades of Federal Mental Health Parity: Impact?* Presented as part of an expert panel on "Population Health: Improving Behavioral Health Services" at the CalPERS Board Offsite meeting, Concord, CA. July 17, 2018.

Ettner SL (presenter and first author), Xu H, Azocar F. *What happens when employers switch from a “carve-out” to a “carve-in” model for managed behavioral healthcare benefits? Evaluation of a natural experiment.* 24th NIMH Conference on Mental Health Services Research, Bethesda, MD. August 1, 2018.

PUBLICATION / BIBLIOGRAPHY

RESEARCH PAPERS

A. RESEARCH PAPERS – PEER REVIEWED

1. **Ettner SL.** Do Elderly Medicaid Patients Experience Reduced Access to Nursing Home Care? *Journal of Health Economics.* 1993; 12(3):259-280.

2. **Ettner SL.** The Effect of the Medicaid Home Care Benefit on Long-term Care Choices of the Elderly. *Economic Inquiry*. 1994; 32(1):103-127.
3. **Ettner SL, Weissman J.** Utilization of Formal and Informal Home Care by AIDS Patients in Boston: A Comparison of Intravenous Drug Users and Homosexual Males. *Medical Care*. 1994; 32(5):459-470.
4. Callahan TL, Hall JE, **Ettner SL**, Christiansen CL, Greene MF, Crowley WF. The Economic Impact of Multiple-Gestation Pregnancies and the Contribution of Assisted-Reproductive Techniques to Their Incidence. *New England Journal of Medicine*. 1994; 331(4):244-9.
5. **Ettner SL.** The Impact of "Parent Care" on Female Labor Supply Decisions. *Demography*. 1995; 32(1):63-80.
6. **Ettner SL.** The Opportunity Costs of Elder Care. *Journal of Human Resources*. 1996; 31(1): 189-205.
7. **Ettner SL.** New Evidence on the Relationship Between Income and Health. *Journal of Health Economics*. 1996; 15(1): 67-86.
8. **Ettner SL.** The Timing of Preventive Services for Women and Children: The Effect of Having a Usual Source of Care. *American Journal of Public Health*. 1996; 86(12): 1748-1754. PMID: PMC1380728
9. **Ettner SL.** Measuring the Human Cost of a Weak Economy: Does Unemployment Lead to Alcohol Abuse? *Social Science and Medicine*. 1997; 44(2): 251-260.
10. **Ettner SL.** Medicaid Participation Among the Eligible Elderly. *Journal of Policy Analysis and Management*. 1997; 16(3):237-255.
11. **Ettner SL.** Adverse Selection and the Purchase of MediGap Insurance by the Elderly. *Journal of Health Economics*. 1997; 16(5):543-562.
12. **Ettner SL.** Mental Health Services Under Medicare: The Influence of Economic Incentives. *Harvard Review of Psychiatry*. 1997; 4(1):283-286.
13. **Ettner SL, Notman E.** How Well Do Ambulatory Care Groups Predict Expenditures of Mental Health and Substance Abuse Patients? *Administration and Policy in Mental Health*. 1997; 24(4): 339-357.
14. **Ettner SL, Frank R, Kessler R.** The Impact of Psychiatric Disorders on Labor Market Outcomes. *Industrial and Labor Relations Review*. 1997; 51(1):64-81.
15. **Ettner SL, Hermann RC.** Provider Specialty Choice Among Medicare Beneficiaries

- Treated for Psychiatric Disorders. *Health Care Financing Review*. 1997; 18(3):1-17. PMID: PMC4194498.
16. Perrin J, **Ettner SL**, McLaughlin T, Gortmaker S, Bloom S, Kuhlthau K. State Variations in Supplemental Security Income Enrollment for Children and Adolescents. *American Journal of Public Health*. 1998; 88(6):928-31. PMID: PMC1508231.
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 18. **Ettner SL**, Christiansen CL, Callahan TL, Hall JE. How Low Birthweight and Gestational Age Contribute to Increased Inpatient Costs for Multiple Births. *Inquiry*. Winter 1997/1998; 34: 325-339.
 19. **Ettner SL**, Frank RG, McGuire TG, Newhouse JP, Notman E. Risk Adjustment of Mental Health and Substance Abuse Payments. *Inquiry*. 1998; 35(2): 223-239.
 20. Hermann RC, **Ettner SL**, Dorwart RA, Hoover CW, Yeung E. Characteristics of Psychiatrists Who Perform ECT. *American Journal of Psychiatry*. 1998; 155(7): 889-894.
 21. **Ettner SL**, Hermann RC. Inpatient Psychiatric Treatment of Elderly Medicare Beneficiaries, 1990-1991. *Psychiatric Services*. 1998; 49(9): 1173-1179.
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- Research*. 1999; 34(3): 737-760. PMID: PMC1089035.
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- B. RESEARCH PAPERS – PEER REVIEWED (IN PRESS)
1. Chukmaitov AS, Kaidarova DR, Talaeyva ST, Sheppard VB, Xu H, Siangphoe U, **Ettner**

- SL.** Analysis of Delays in Breast Cancer Treatment and Late-Stage Diagnosis in Kazakhstan. *Asian Pacific Journal of Cancer Prevention.*
2. Chung B, Ong M, **Ettner SL**, Jones F, Gilmore J, McCreary M, Ngo V, Sherbourne C, Tang L, Dixon E, Koegel P, Miranda J, Wells K. 12-Month Cost Outcomes of Community Engagement Versus Technical Assistance To Implement Depression Collaborative Care: A Partnered, Cluster Randomized, Comparative Effectiveness Trial. *Ethnicity and Disease.*
 3. Friedman SA, Azocar F, Xu H, **Ettner SL.** The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Did Parity Differentially Affect SUD and MH Benefits Offered By Behavioral Healthcare "Carve-Out" and "Carve-In" Plans? *Drug and Alcohol Dependence.*
- C. RESEARCH PAPERS – PEER REVIEWED (SUBMITTED)
1. Narain KA, Xu H, Azocar F, **Ettner SL.** Racial/Ethnic Disparities in Specialty Behavioral Healthcare Treatment Patterns and Expenditures Among Commercially Insured Patients in Managed Behavioral Healthcare Plans.
 2. Friedman S, Chuang E, Azocar F, Harwood JM, Xu H, Ong M, **Ettner SL.** The Effects of Three Kinds of Insurance Benefit Design Features on Specialty Mental Health Care Use.
 3. Block E, Xu H, Azocar F, Harwood J, **Ettner SL.** The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Child and Adolescent Behavioral Health Service Expenditures and Utilization.
 4. Sacks GD, Dawes AJ, Tsugawa Y, Brook RH, Russell MM, Ko CY, Maggard-Gibbons M, **Ettner SL.** The Association between Risk Aversion of Surgeons and Their Clinical Decision-Making.
 5. Duru OK, Mangione CM, Turk N, Tseng CH, Schmittiel J, Karter AJ, **Ettner SL.** Mail-Order Pharmacy Use and Statin Adherence.
 6. Moin T, Li J, Duru OK, **Ettner SL,** Turk N, Chan C, Keckhafer AM, Luchs RH, Ho S, Mangione CM. Results from NEXT-D: Does a Disease Specific Health Plan Reduce Incident Diabetes Among a National Sample of Working Age Adults?
 7. Moin T, Steers WN, **Ettner SL,** Duru OK, Turk N, Chan C, Neugebauer R, Luchs RH, Kirvan A, Ho S, Mangione CM. The Association of a Diabetes-Specific Health Plan with Emergency Room and Inpatient Hospital Utilization: A Natural Experiment for Translation in Diabetes (NEXT-D) Study.
 8. Moin T, Steers WN, Duru OK, Turk N, Chan C, Keckhafer AM, Luchs R, Ho S, Mangione CMM, **Ettner SL.** A Comparison of Changes Over Time in Medical and

Prescription Expenditures Between Disease-Specific and Standard Benefit Plans: A Natural Experiment for Translation in Diabetes (NEXT-D) Study.

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10. Schoenbaum M, Fox MA, Olfson M, **Ettner SL**. Assessing Disease Burden in Monetary Terms.

D. RESEARCH PAPERS – NON-PEER REVIEWED (PUBLISHED)

1. **Ettner SL**, Frank R. Risk Adjustment of Mental Health and Substance Abuse Payments. *Technical Assistance Paper, Center for Mental Health Services*, 1999.
2. Kaskie B, **Ettner SL**. Promoting Mental Health, Preventing Mental Illness and Providing Effective Psychological Treatment to California’s Aging Population. Report, *Older Californian’s Strategic Planning Initiative*. Online with the ERIC database at: <http://askeric.org>, document number ED467101.
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4. **Ettner SL**, Crimmins E. National Institutes of Health Background Paper: Economic Measures in Health Surveys. Commissioned by NIH for the Workshop on Economic Measures in Health Surveys. January 2002.
5. **Ettner, SL**. Does Treatment ‘Pay for Itself’? Looking at the Economic Argument for Addiction Treatment. *Behavioral Healthcare*. 2006 May; 26 (5): 32-4.
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11. California Health Benefits Review Program (CHBRP). (2009). *Analysis of Assembly Bill 244: Mental Health Services: Report to California State Legislature*. Oakland, CA: CHBRP. 09-04.

E. RESEARCH PAPERS – NON-PEER REVIEWED (IN PRESS)

None.

F. RESEARCH PAPERS – NON-PEER REVIEWED (SUBMITTED)

None.

CHAPTERS

CHAPTERS (PUBLISHED)

1. **Ettner SL**, Frank RG. “The Use of Risk Adjustment to Set Capitation Rates.” Chapter 6 in Manderscheid and Henderson (eds.), *Mental Health U.S.*, 1998.
2. **Ettner SL**. “The Relationship Between Labor Market Outcomes and Mental and Physical Health: Exogenous Human Capital or Endogenous Health Production?” Chapter 1 in Salkever and Sorkin (eds.), *The Economics of Disability*, Series on Research in Human Capital and Development. JAI Press, 2000.
3. **Ettner SL**. “Mental Health Services and Policy Issues.” Chapter 12 in Andersen, Rice and Kominski (eds.), *Changing the U.S. Health Care System, 2nd Edition*. Jossey-Bass Publishers, 2001.
4. **Ettner SL**. “Reimbursement and an Integrated System of Care.” Chapter 4 in Kiser, Lefkowitz and Kennedy (eds.), *The Integrated Behavioral Health Continuum: Theory and Practice*. American Psychiatric Publishing, Inc., 2001.
5. **Ettner SL**, Schoenbaum M. “The Role of Economic Incentives in Improving the Quality of Mental Health Care.” In Jones (ed.), *The Elgar Companion to Health Economics*. Edward Elgar, Northampton, MA, 2006.
6. **Ettner SL**, Link JC. “Mental Health Services and Policy Issues.” In Andersen, Rice and Kominski (eds.), *Changing the U.S. Health Care System, 3rd Edition*, Jossey-Bass Publishers, 2007.

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8. **Ettner SL**. “Personality Disorders and Work.” Chapter 9 in Schultz and Rogers (eds.), *Work Accommodation and Retention in Mental Health*, Springer 2011.

CHAPTERS (IN PRESS)

None.

LETTERS TO THE EDITOR

None.

REVIEWS

None.

EDITORIALS

None.

PAPERS IN PREPARATION (RESEARCH COMPLETED)

1. Spousal Peer Effects and Mental Health Services Use: Do Your Gender and Sexual Orientation Matter? (Senior-Authored)
2. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: How Did Parity Affect Cost-Sharing for Mental Health Services Among Behavioral Healthcare "Carve-Out" Plans? (Senior-Authored)
3. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: What Happens When Employers Drop Their Carve-Out? (First-Authored)
4. The Mental Health Parity and Addiction Equity Act (MHPAEA) Evaluation Study: Incentives to Use In-Network vs. Out-of-Network Care? (First-Authored)
5. Do Behavioral Health Diagnoses Predict Self-Selection into Lower-Deductible Health Plans? (Senior-Authored)
6. Potential Mediators of Peer Navigation to Link Released HIV+ Jail Inmates to HIV Care: Early Results of an RCT Study. (Co-Authored)
7. The Effects of Passive Urbanization on Children’s Family Support for Elders in China. (Senior-Authored)

8. Certificate of Need and the Cost of Competition in Home Healthcare Markets (Senior-Authored)

ABSTRACTS

None.

DOCTORAL STUDENT COMMITTEES

Chris Childers (chair)	Understanding Variation In Surgeon-Level Intraoperative Costs	N/A
Courtney Coles (chair)	The Effect of Employee Assistance Programs (EAPs) on Behavioral Healthcare Utilization	N/A
Amy Bonilla	Potential benefits of investing in behavioral health services (BHS) and integration at FQHCs	N/A
Dahai Yue	Health and Health Care Among Older Adults: The Role of Education	N/A
Tara Russell (chair)	Evaluating Liver Transplant Allocation Policy in the Context of Health Resource Utilization: An Assessment of Share 35	June 2018
Sarah Friedman (chair)	Sensitivity of Mental Health Use and Expenditures to Exogenous Changes in Insurance Benefit Design, Including Financial and Non-Financial Components	June 2017
Greg Sacks (chair)	Decision-Making in Surgery: The Role of Risk Assessment and Risk Attitudes	June 2016
Kimberly Narain (chair)	Single Mothers and Health in the Era of Welfare Reform	June 2016
Geoffrey Hoffman (chair)	Predictors and Outcomes of Falls and Fall-related Injuries in Persons 65 and Older in the United States	June 2015
Sean Fahle	Three Essays in Health Economics	June 2015
Jessica Williams (chair)	Employee Pain, Health Expenditures and Productivity: The Role of Supportive Workplaces	June 2013
Erin Grinshteyn (chair)	Causes and Consequences of Fear: The Impact of Fear on Mental Health and Mental Health Service Use Among Adolescents	June 2013
Melissa Gatchell	Understanding the Disparities in Cervical Cancer Screening for Low Income Women	June 2012
Sarah Starks (chair)	Cost and Effectiveness of Full Service Partnerships for Severe Mental Illness	December 2011

Andrew Barnes (chair)	From Rush Hour to Happy Hour: The Impact of Increased Labor Force Participation on Binge Drinking	June 2011
Jean Yoon (chair)	Adherence to Prescription Drugs and Adverse Health Events for the Chronically Ill	June 2008
Adele Kirk (chair)	The Relationship Between Education and Health: Is it Causal?	August 2007
Danielle Rose Ash	Testing for Discrimination in Health Care	September 2006
Anthony Masaquel (chair)	The Impact of Depression on Health Insurance Coverage	June 2005
John FitzGerald (chair)	Post Acute Care Practice Patterns After Major Orthopedic Surgery: Impact of the Balanced Budget Act of 1997	June 2005
Tanya Burton (chair)	Health Information on the Internet: Who Seeks It and How Does It Affect the Utilization of Physician Services?	January 2005
Shaista Malik (chair)	Effect of Organizational Factors on Cardiovascular Outcomes in Persons with Diabetes in Managed Care	March 2005
Lynn Soban (chair)	The Influence of Organizational Context on Receipt of Colorectal Cancer Screening Among Patients in the Veterans Health Administration	March 2005
Soonim Huh	Prescription Drug Coverage and Drug Use Among Elderly Medicare Beneficiaries	March 2005
Yee-Wei Lim	Access to Care for the Indigent in Los Angeles County's Public Health System: Impact of 1115 Waiver Related Restructuring Program	December 2003
Karen Swanson	Quality of Care for Depression	June 2002
Kevin Heslin	Specialist Self-Referral Insurance Policies and Access to Physicians with HIV Clinical Expertise: Impact on Patient Health-Related Quality of Life and Treatment Expenditures	June 2002
David Zingmond	A Description of the Vertical Integration of Acute and Post-Acute Care and Its Impact on Patients with Stroke in California, 1997 to 1998	March 2002

MASTER'S AND FELLOWSHIP COMMITTEES

Maria Han
Kimberly Narain
Jonas Green

Jason Fish
Kristina Cordasco
Josh Pevnick
Will Shrank
Karl Lorenz
David Zingmond
Miaomiao Liu
Fasiha Kanwal

CAREER AWARD MENTEES

Amy Kelley (Brookdale Leadership in Aging Fellowship Career Development Award)
Yuhua Bao (K01)
Kenrik Duru (Harold Amos Medical Faculty Development Award)
John FitzGerald (KO8)
David Zingmond (KO8)
Mitchell Wong (KO8)
Karl Lorenz (VA career development award)
Roberto Vargas (EXPORT)
Kevin Heslin (EXPORT)

TEACHING

Program directorships:

Director, TL1 training component, UCLA CTSI Clinical and Translational Science Institute, 2016-present.

Director, TL1 pre-doctoral fellowship program, Education Core, UCLA Clinical and Translational Science Institute, 2011-2016.

Courses taught:

HS225A/HPM225A	Health Services Research Design
HS225B/HPM225B	Health Services Research Design
HS237A	Special Topics in Health Services Research Methodology
HS237C	Issues in Health Services Methodologies

Lectures:

HS100/HPM100	Mental Health Services
HS225A	Health Services Research Design
HS200B	Mental Health
K30	Introduction to the Assessment of Costs and Cost-Effectiveness
HE249E	Mental Health Policy
CCH Methods Tutorial	A Brief Tutorial on Endogeneity Bias and Instrumental Variables

N248D

MPH Practicum (USC)

HPM266

as a Possible Solution

Alternative Ways of Assessing Cost-Effectiveness of Health Care

HSR Funding Opportunities

Community-Based Participatory Research